

# *Quantum transport and absence of Anderson localization in strong and weak topological insulators*

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LBL & UC Berkeley

KITP, Wed., Oct. 5 2011  
- discussion -



Refs: PRL, **99**, 106801, (2007)  
PRL, **105**, 156803, (2011)  
arXiv:1109.3201



# Collaborators



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# Classification scheme

Cartan nomenclature	TRS	PHS	SLS	Hamiltonian	NLSM (ferm. replicas)	$d = 1$	$d = 2$	$d = 3$
A (unitary)	0	0	0	$U(N)$	$U(2n)/U(n) \times U(n)$	-	$\mathbf{Z}$	-
AI (orthogonal)	+1	0	0	$U(N)/O(N)$	$Sp(2n)/Sp(n) \times Sp(n)$	-	-	-
AII (symplectic)	-1	0	0	$U(2N)/Sp(2N)$	$O(2n)/O(n) \times O(n)$	-	$\mathbf{Z}_2$	$\mathbf{Z}_2$
AIII (chiral unit.)	0	0	1	$U(N+M)/U(N) \times U(M)$	$U(n)$	$\mathbf{Z}$	-	$\mathbf{Z}$
BDI (chiral orthog.)	+1	+1	1	$SO(N+M)/SO(N) \times SO(M)$	$U(2n)/Sp(n)$	$\mathbf{Z}$	-	-
CII (chiral sympl.)	-1	-1	1	$Sp(2N+2M)/Sp(2N) \times Sp(2M)$	$U(2n)/O(2n)$	$\mathbf{Z}$	-	$\mathbf{Z}_2$
D	0	+1	0	$SO(2N)$	$O(2n)/U(n)$	$\mathbf{Z}_2$	$\mathbf{Z}$	-
C	0	-1	0	$Sp(2N)$	$Sp(n)/U(n)$	-	$\mathbf{Z}$	-
DIII	-1	+1	1	$SO(2N)/U(N)$	$O(2n)$	$\mathbf{Z}_2$	$\mathbf{Z}_2$	$\mathbf{Z}$
CI	+1	-1	1	$Sp(2N)/U(N)$	$Sp(n)$	-	-	$\mathbf{Z}$

What is the effect of topological term on the Anderson localization?

Symplectic class in 3D: Strong vs. weak topological insulators

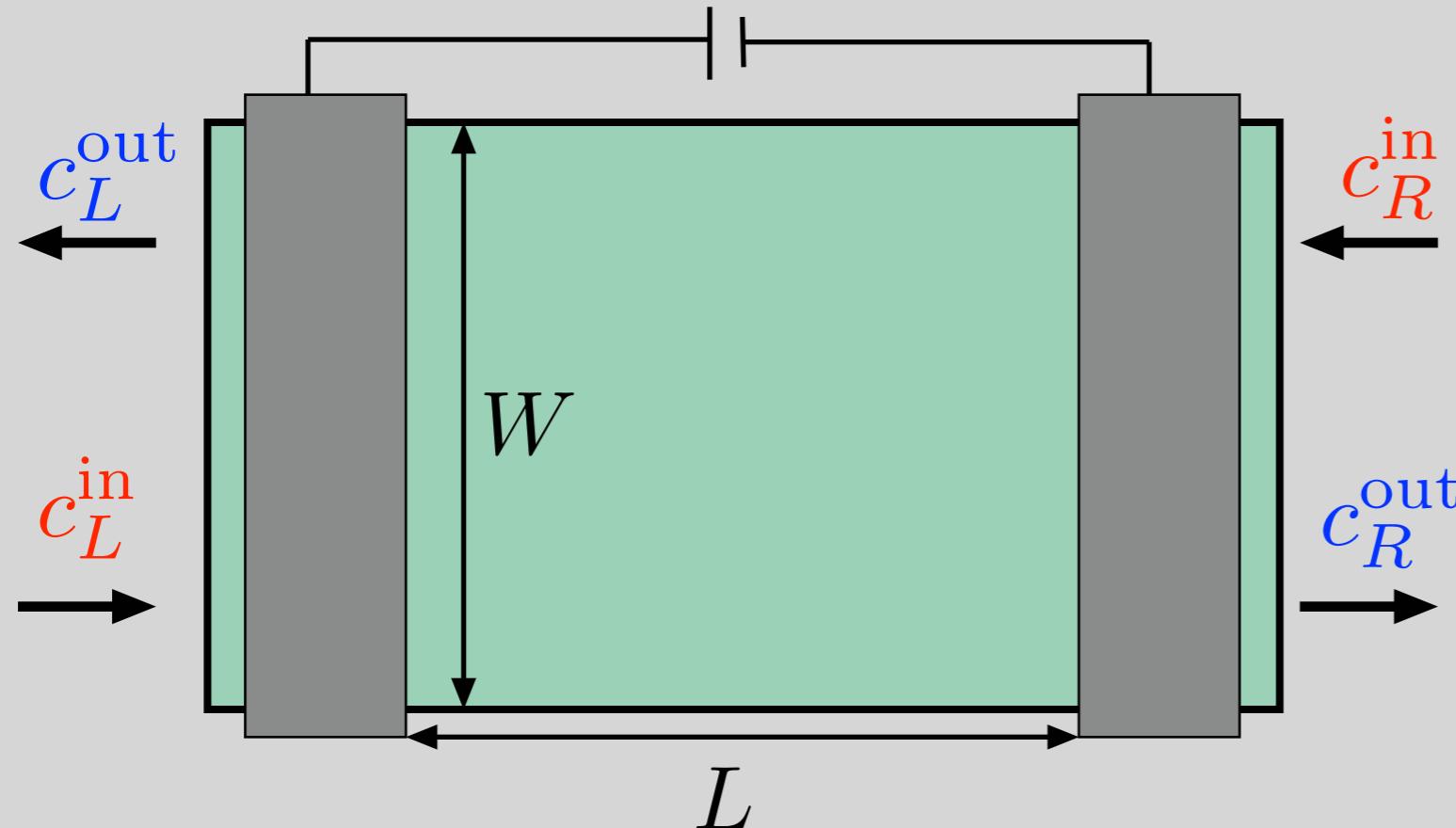
# Symplectic class (AII) -- Consequences of time reversal

Time reversal:

$$T^2 = -1$$

$$THT^{-1} = H$$

## Schematic setup and basic definitions



$$\begin{pmatrix} c_L^{out} \\ c_R^{out} \end{pmatrix} = S \begin{pmatrix} c_L^{in} \\ c_R^{in} \end{pmatrix}$$

$$S = \begin{pmatrix} r & t' \\ t & r' \end{pmatrix}$$

Landauer conductance:  $G = \text{tr } t^\dagger t = \text{tr } (1 - r^\dagger r) = \sigma W/L$

# Symplectic class (AII) -- Consequences of time reversal

Time reversal:

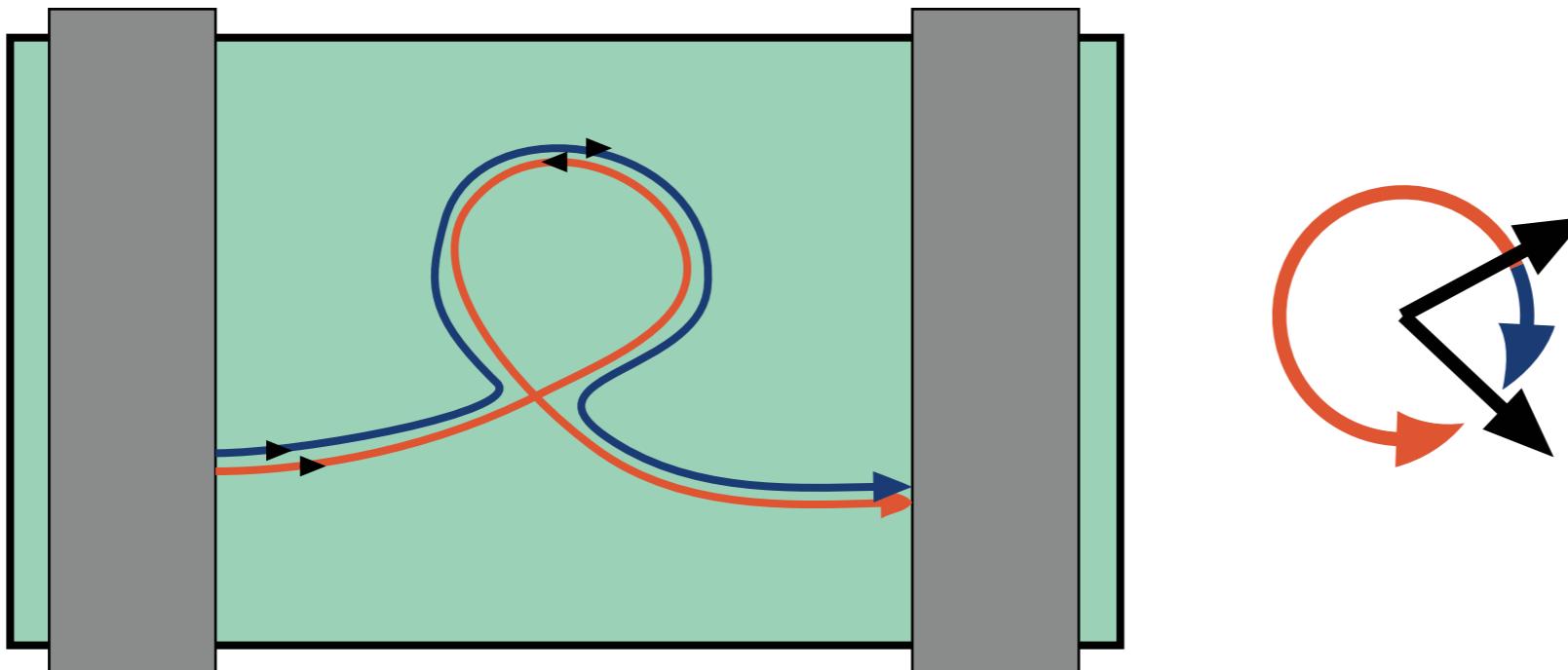
$$T^2 = -1$$

$$THT^{-1} = H$$

- i)  $S^T = -S \Rightarrow \left\{ \begin{array}{l} \text{Absence of backscattering (also for multiple scattering)} \\ \text{Kramers' degeneracy of transmission eigenvalues} \\ \text{Perfectly transmitted mode if odd number of modes} \\ (\text{minimum conductance of } e^2/h) \end{array} \right.$

- ii) Weak anti-localization

$$\sigma = \sigma_0 + \frac{1}{\pi} \ln L$$



# Single parameter scaling and non-linear sigma model

Effective low energy field theory of a strong TI:

S. Ryu, C. Mudry, H. Obuse, and A. Furusaki, Phys. Rev. Lett. **99**, 116601 (2007).  
P. M. Ostrovsky, I. V. Gornyi, and A. D. Mirlin, Phys. Rev. Lett. **98**, 256801 (2007).

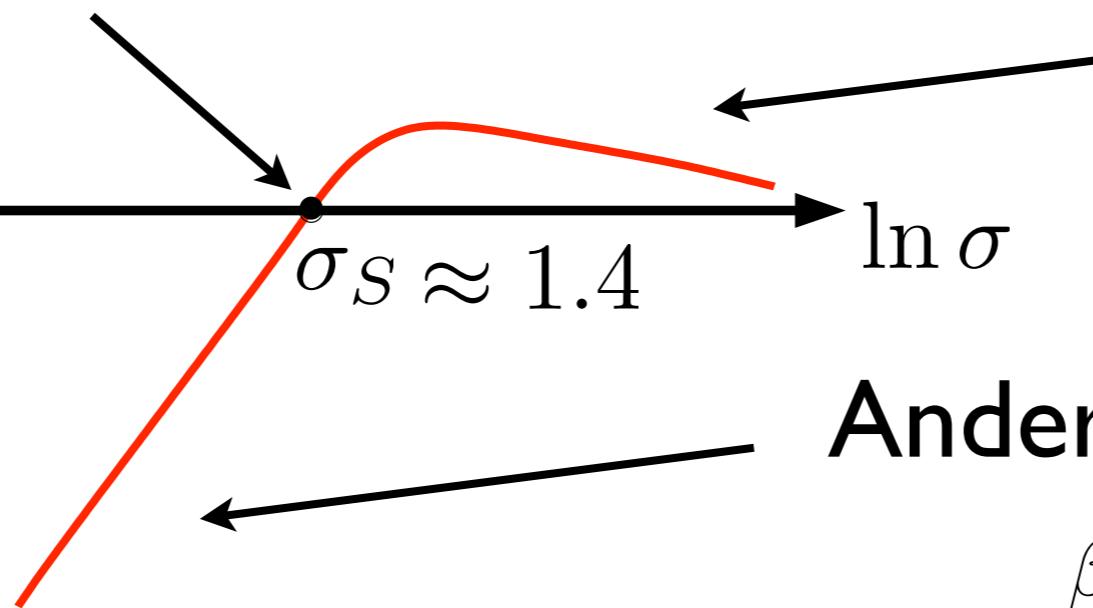
$$S = S_{\text{symp}}^{\text{NL}\sigma\text{M}} + S_{\text{topological}}$$

**Without topological term:**

S. Hikami, A. I. Larkin and Y. Nagaoka (1980)  
P. Markoš and L. Schweitzer (2006)

$$\beta(\sigma) = \frac{d \ln \sigma}{d \ln L}$$

metal-insulator transition



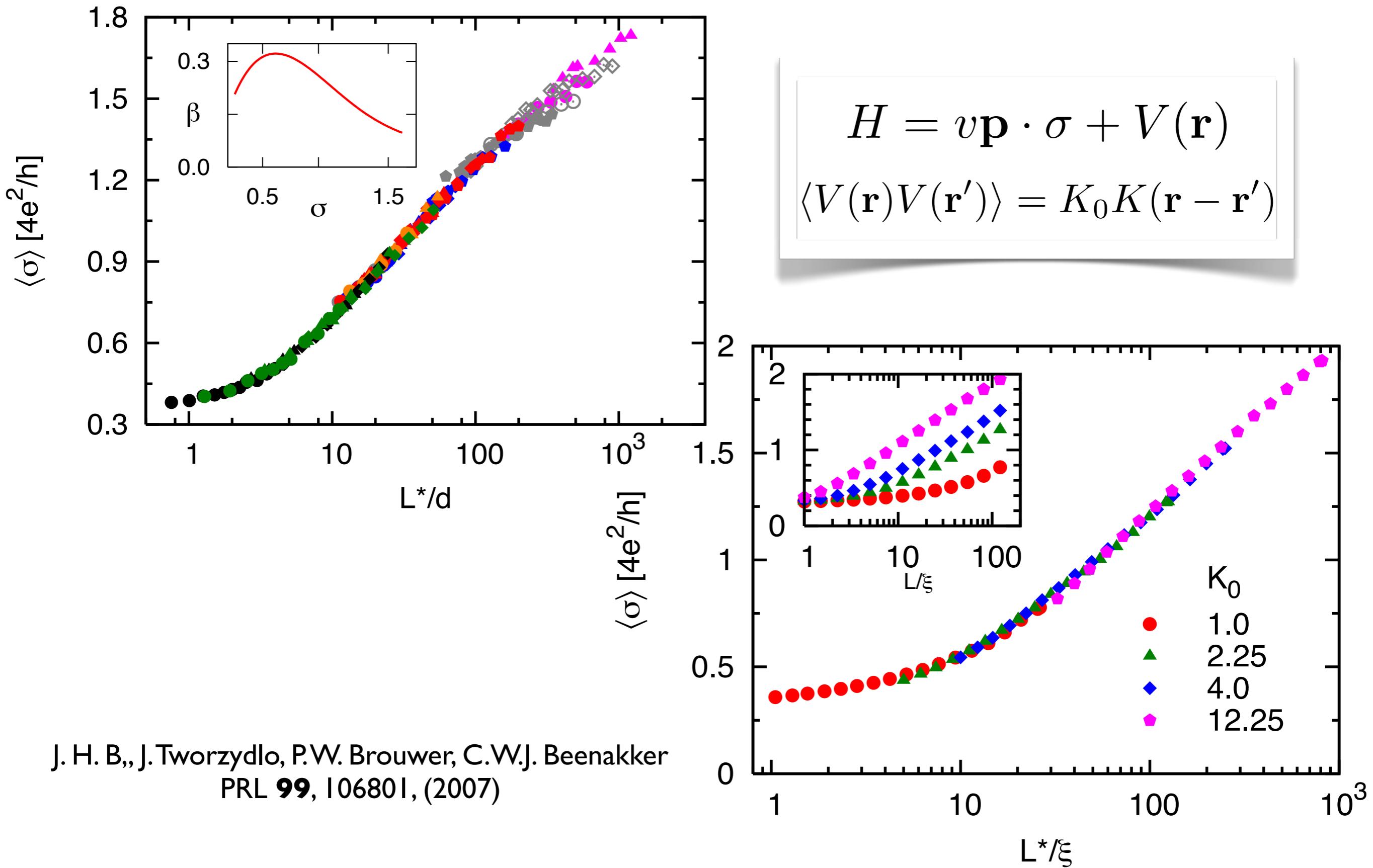
**Weak anti-localization:**

$$\beta(\sigma) \sim \frac{1}{\pi \sigma}$$

**Anderson-localization**

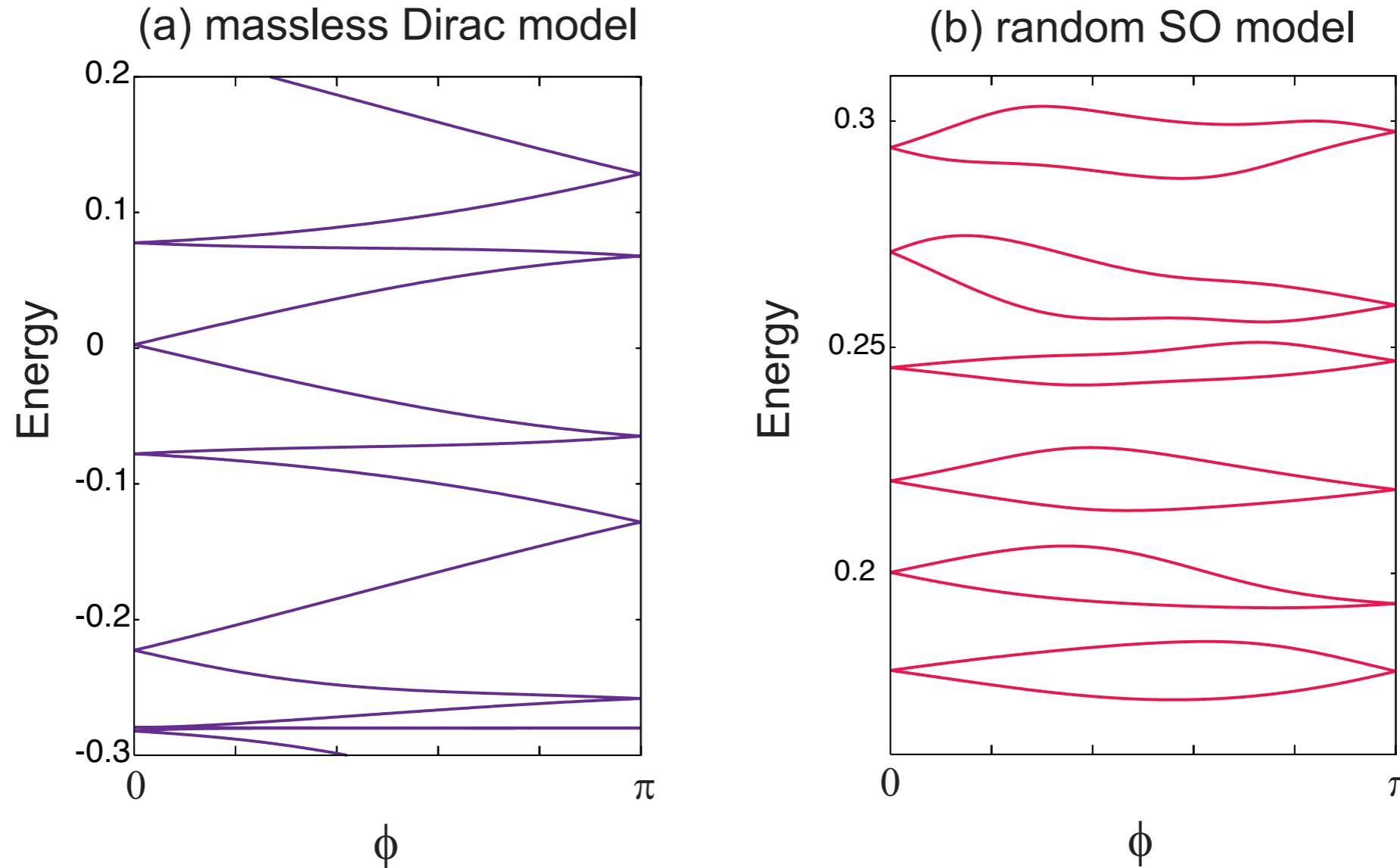
$$\beta(\sigma) \sim -\ln \sigma$$

# Landauer conductivity, single parameter scaling and metallic fixed point



# Effect of topological term: band topology

-- Twisted boundary conditions --



Thouless conductance:

$$g \sim \left( \frac{\Delta E}{\delta E} \right)^\alpha$$

# Single parameter scaling and non-linear sigma model

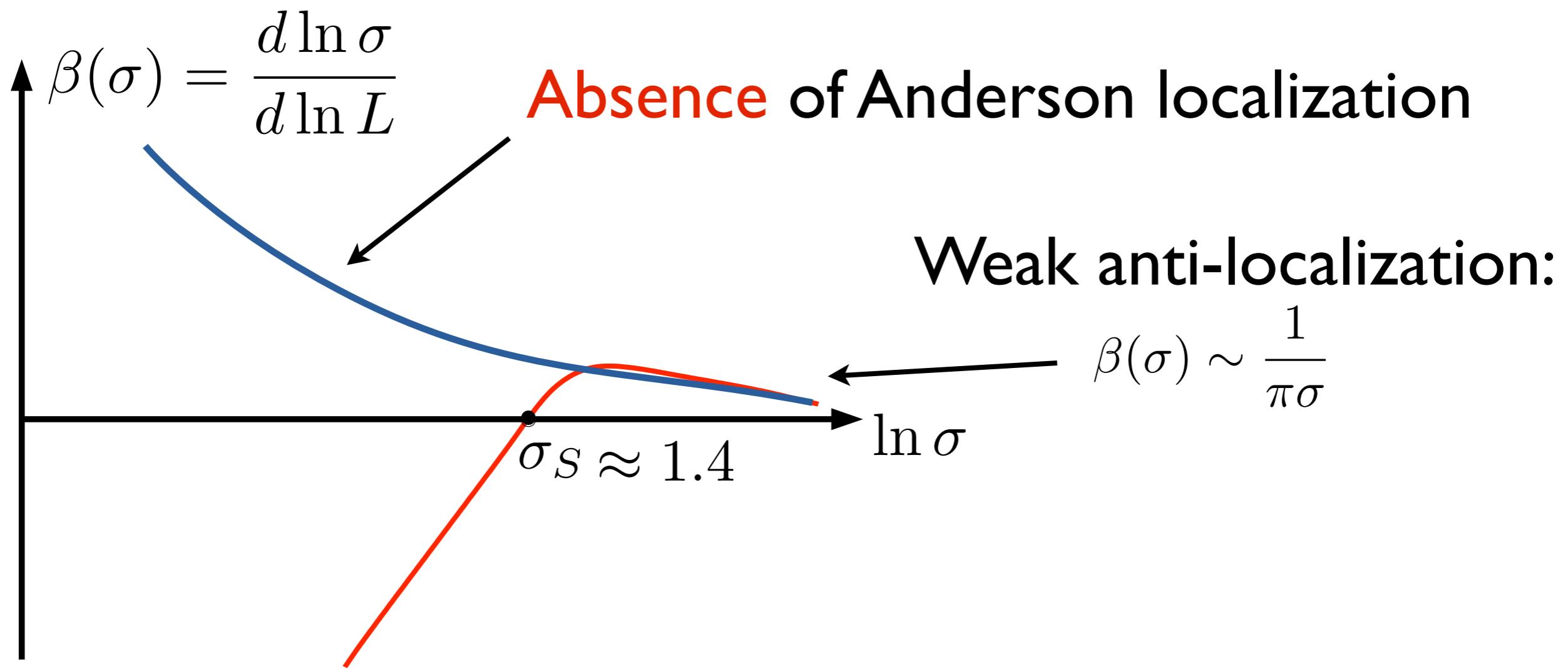
Effective low energy field theory of a strong TI:

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P. M. Ostrovsky, I. V. Gornyi, and A. D. Mirlin, Phys. Rev. Lett. **98**, 256801 (2007).

$$S = S_{\text{symp}}^{\text{NL}\sigma^M} + S_{\text{topological}}$$

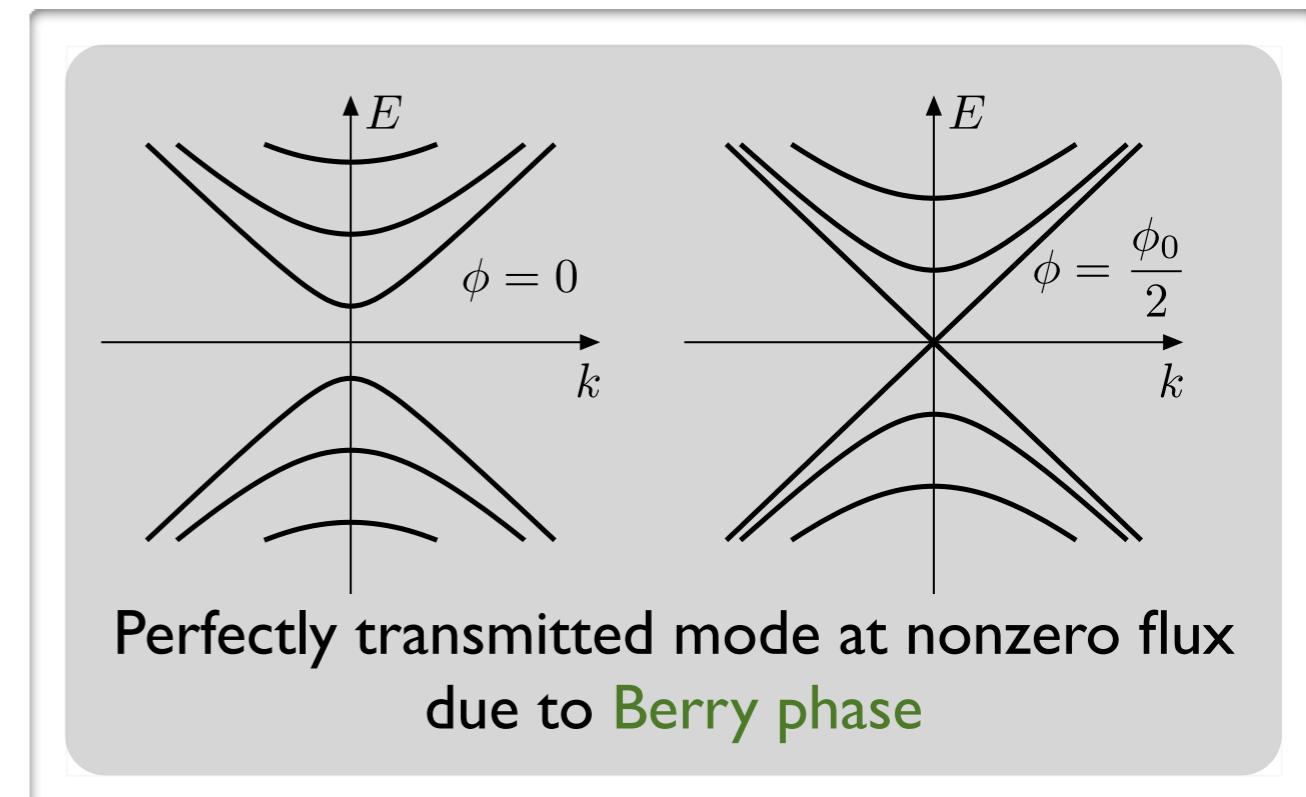
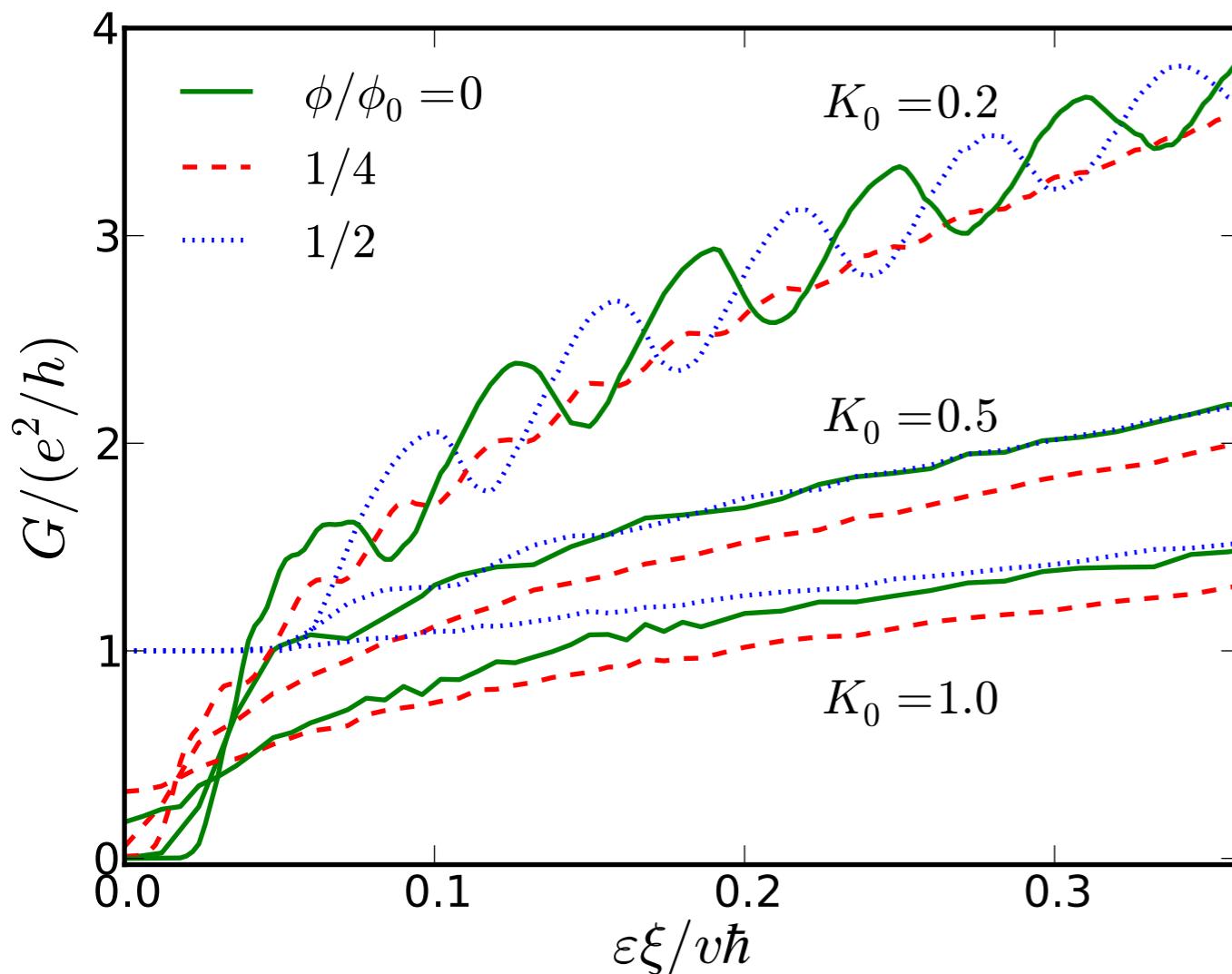
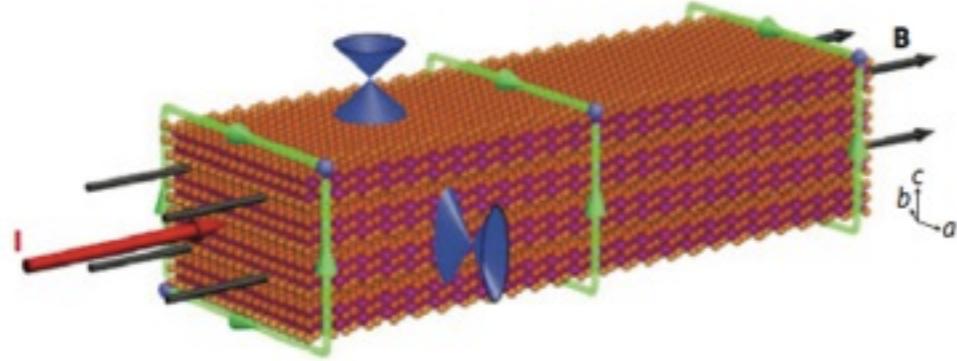
With topological term:

J. H. B., J. Tworzydlo, P.W. Brouwer, C.W.J. Beenakker  
PRL **99**, 106801, (2007)



# Aharonov-Bhm oscillations in nanowires

J.H.B., P.W. Brouwer, and J.E. Moore, PRL (2010)

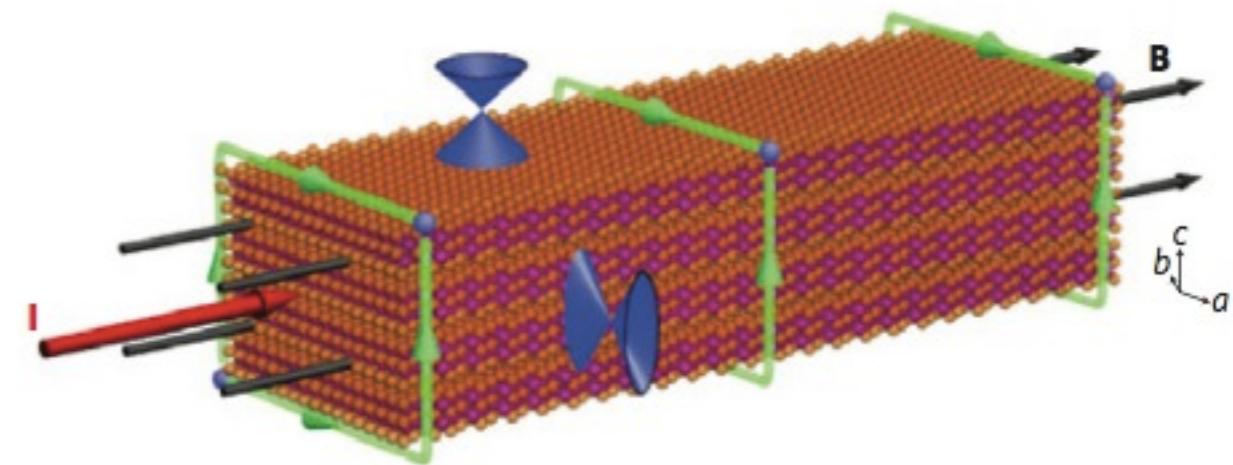
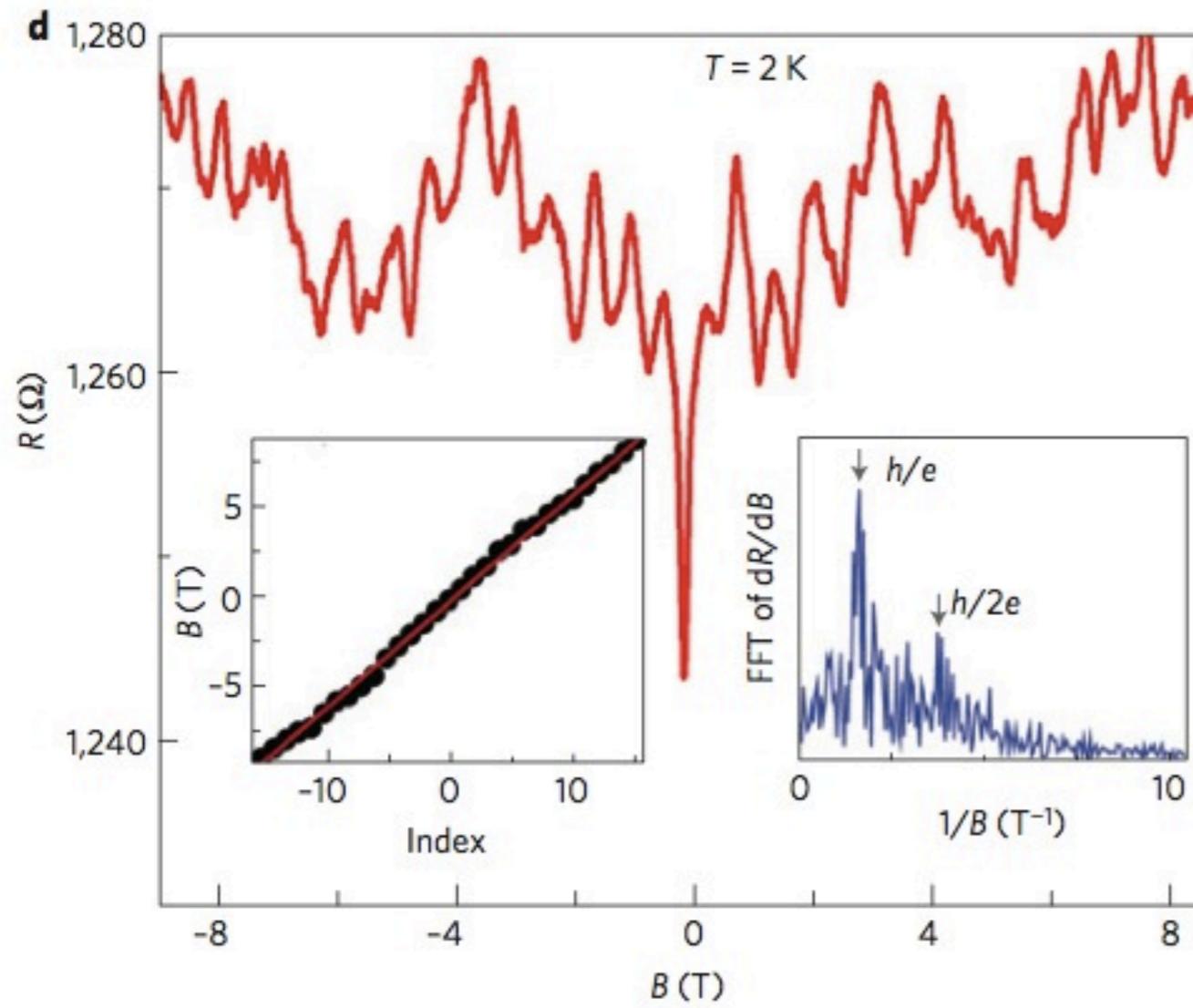


Three regimes:

- i) Dirac point. **period  $h/e$ , min  $G$**
- ii) Weak disorder, away from Dirac point, **period  $h/e$ , min or max  $G$**
- iii) Strong disorder, away from Dirac point, **period  $h/2e$  (WAL)**

# Aharonov-Bohm interference in topological insulator nanoribbons

Hailin Peng<sup>1,2\*</sup>, Keji Lai<sup>3,4\*</sup>, Desheng Kong<sup>1</sup>, Stefan Meister<sup>1</sup>, Yulin Chen<sup>3,4,5</sup>, Xiao-Liang Qi<sup>4,5</sup>, Shou-Cheng Zhang<sup>4,5</sup>, Zhi-Xun Shen<sup>3,4,5</sup> and Yi Cui<sup>1†</sup>



- Oscillations of the magnetoconductance with period  $h/e$  (one flux quantum)
- Maximum conductance at zero flux
- No periodic oscillations in wide ribbons

# Quantum transport in weak TIs

R. S. K. Mong, J.H.B, J.E. Moore, arXiv:1109.3201

$$H = \hbar v_D \tau^0 (\sigma^x k_x + \sigma^y k_y) + V(\mathbf{r})$$

$$V(\mathbf{r}) = \sum_{\alpha\beta} V_{\alpha\beta}(\mathbf{r}) \tau^\alpha \otimes \sigma^\beta$$

$$\langle \delta V_{\alpha\beta}(\mathbf{r}) \delta V_{\alpha\beta}(\mathbf{r}') \rangle = g_{\alpha\beta} K(\mathbf{r} - \mathbf{r}')$$

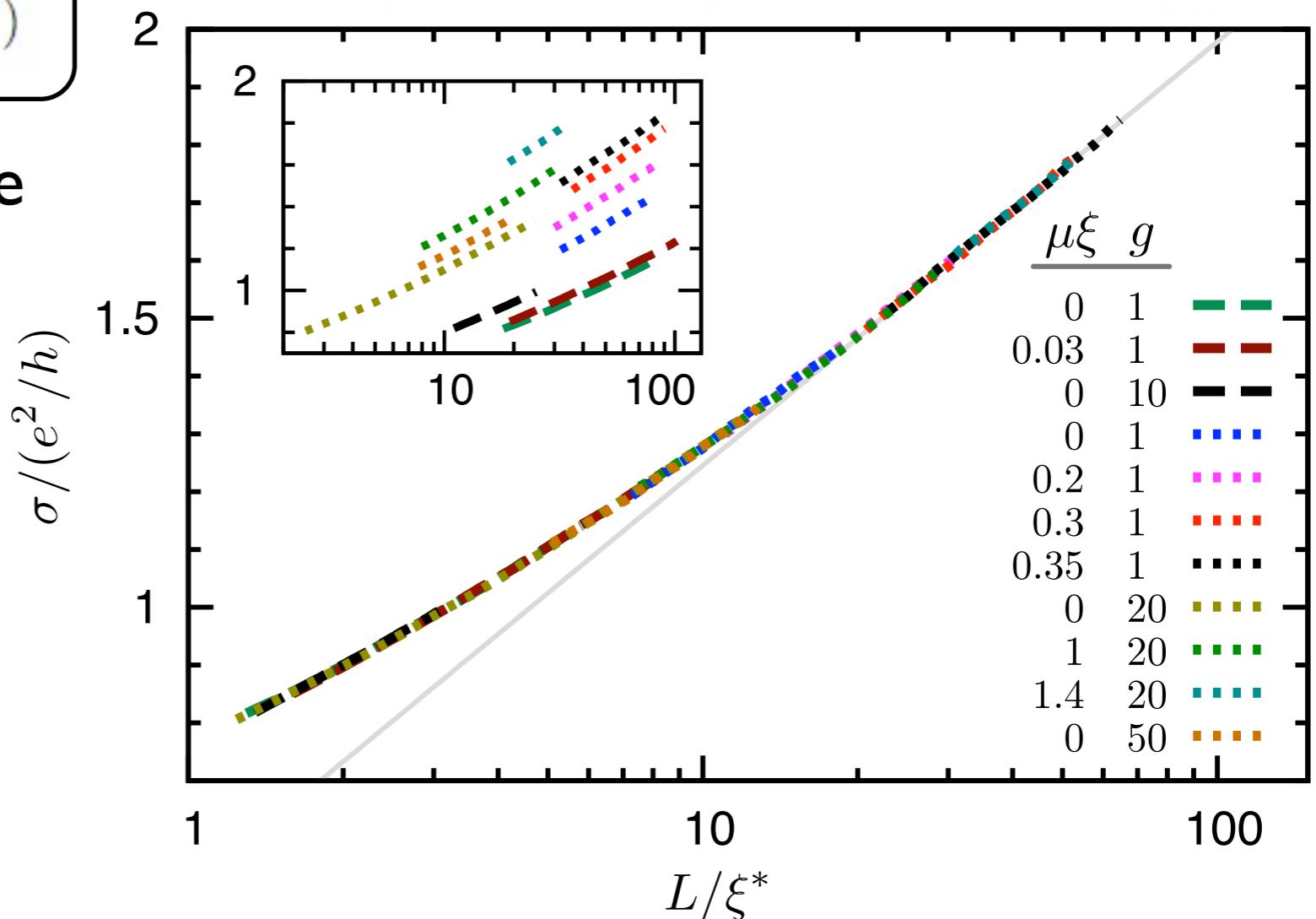
No topological term in the NL $\sigma$ M!

but

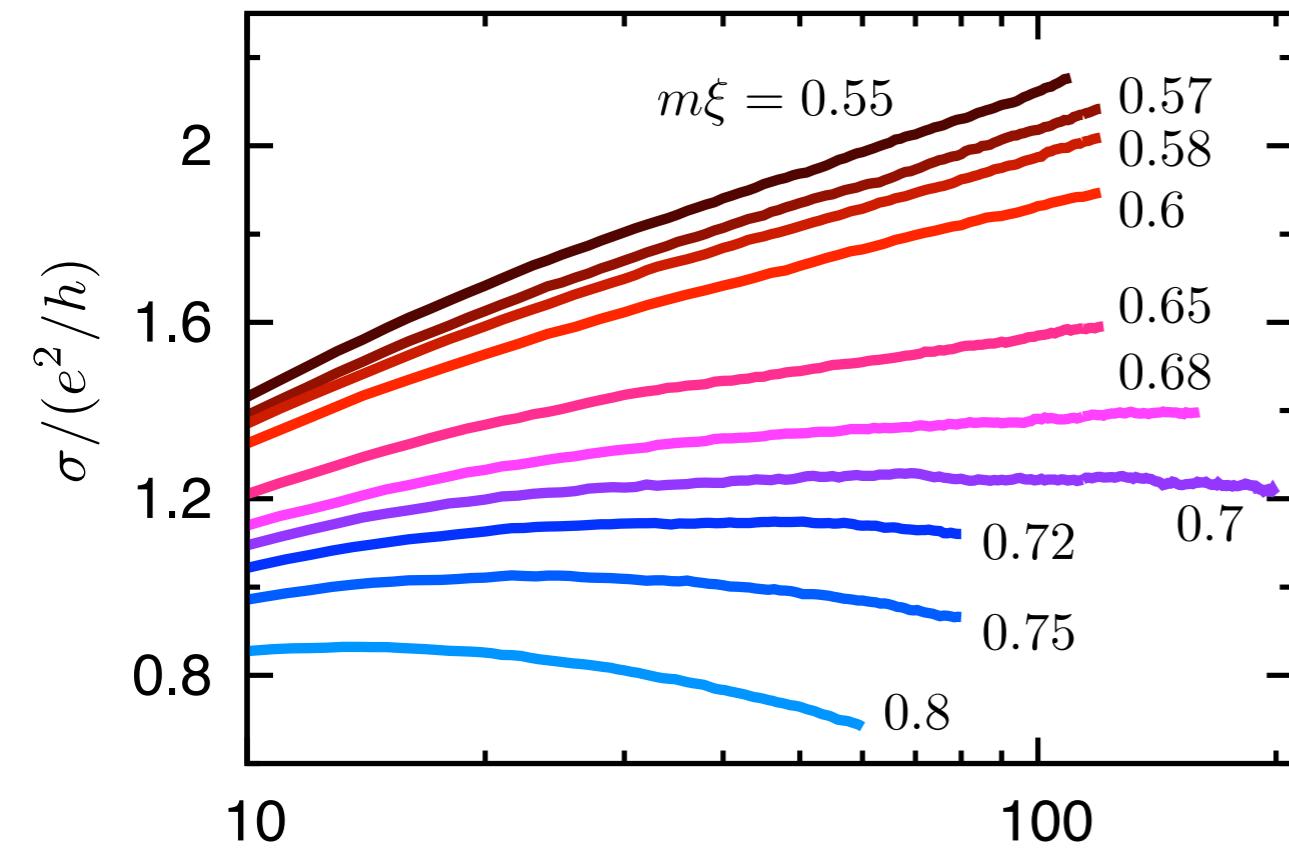
for  $m = 0$

Single parameter scaling.  
Flow to **symplectic metal**.

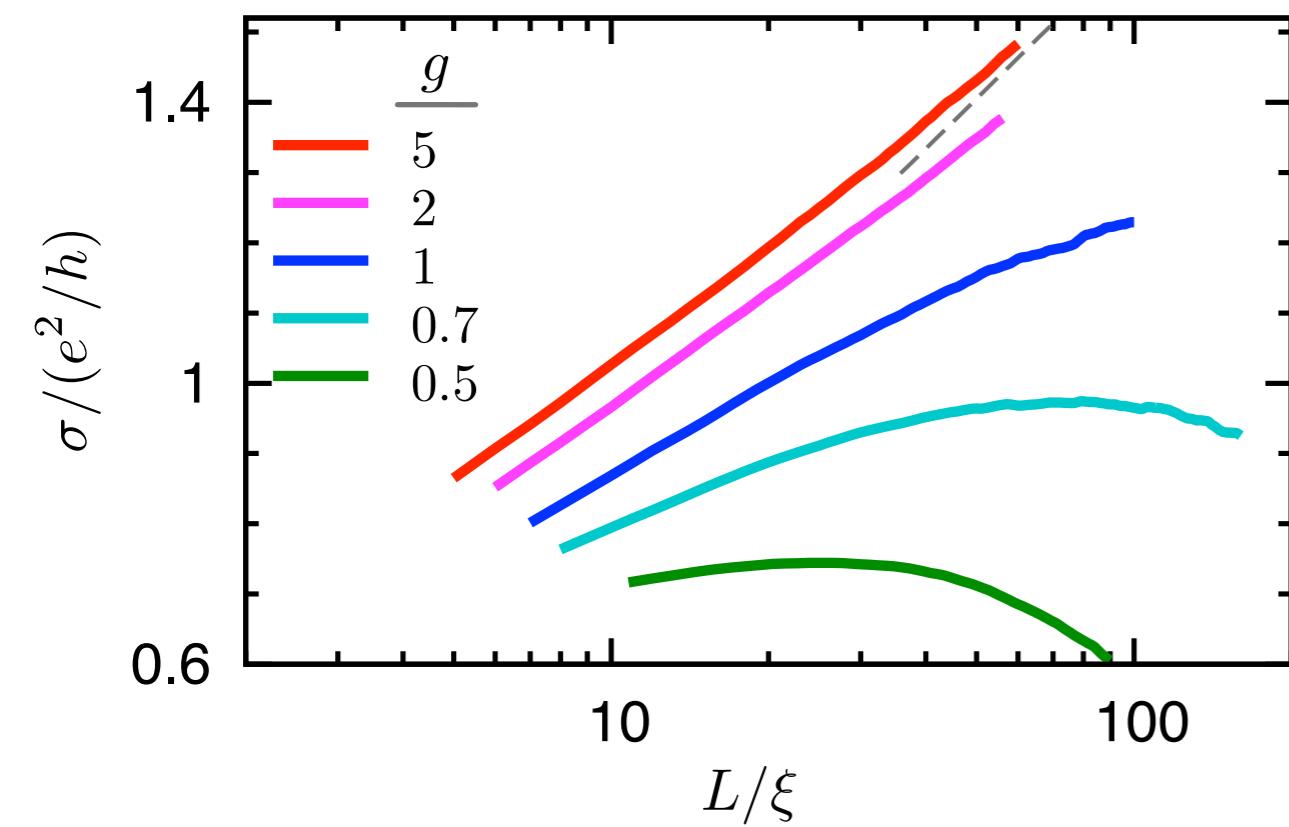
Disorder structure	Disorder type	Notation
$V_{x0} \cdot \tau^x$	scalar potential ( $2 \times$ AII)	
$V_{yx} \cdot \tau^y \sigma^x$	gauge potential ( $2 \times$ AIII)	
$V_{yy} \cdot \tau^y \sigma^y$	gauge potential ( $2 \times$ AIII)	
$V_{yz} \cdot \tau^y \sigma^z$	mass ( $2 \times$ D)	$m = \langle V_{yz} \rangle$
$V_{z0} \cdot \tau^z$	scalar potential ( $2 \times$ AII)	
$V_{00} \cdot \mathbb{1}$	scalar potential ( $2 \times$ AII)	$\mu = -\langle V_{00} \rangle$



# Effect of nonzero mass $m$

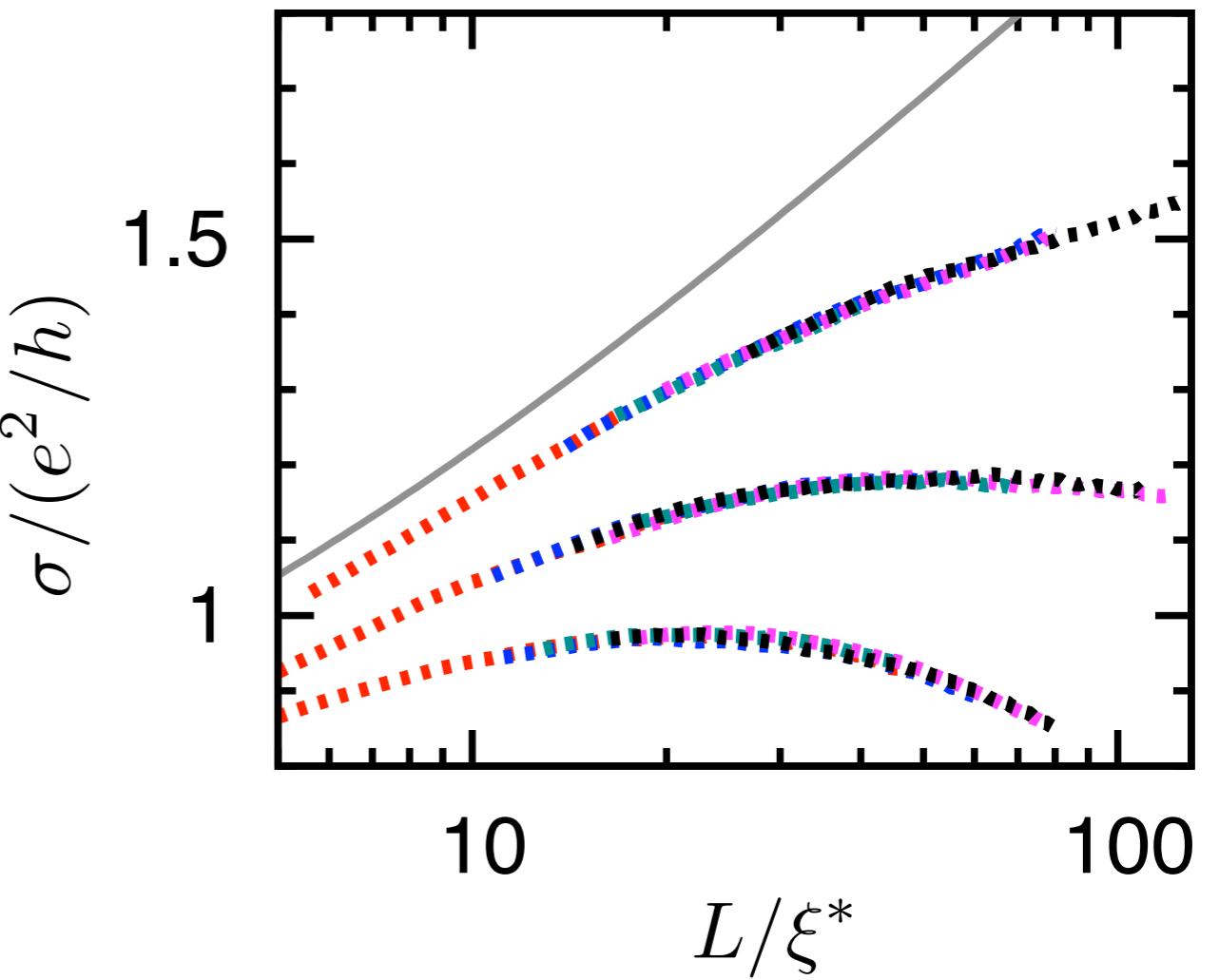
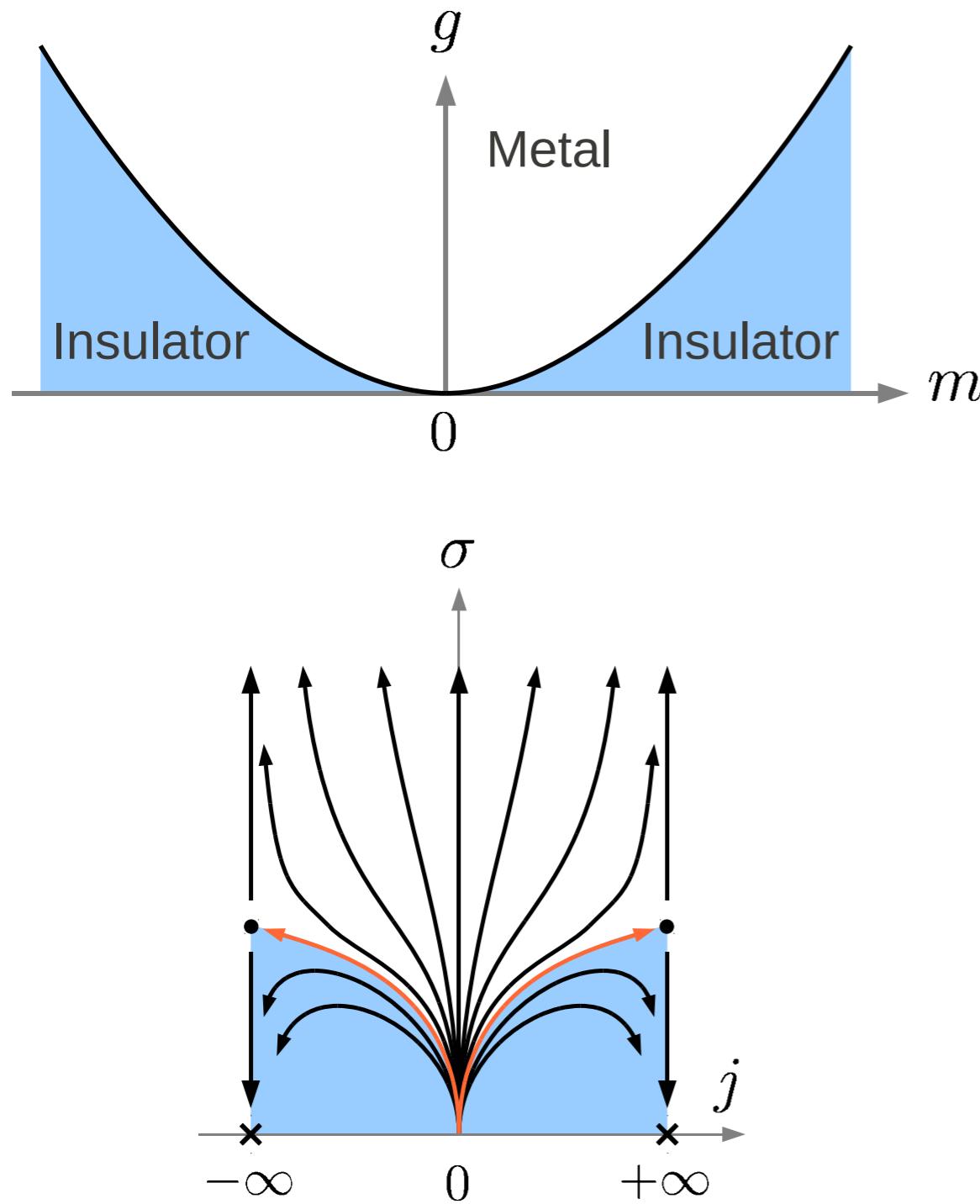


Increasing mass drives the system insulating



Increasing disorder drives the system metallic

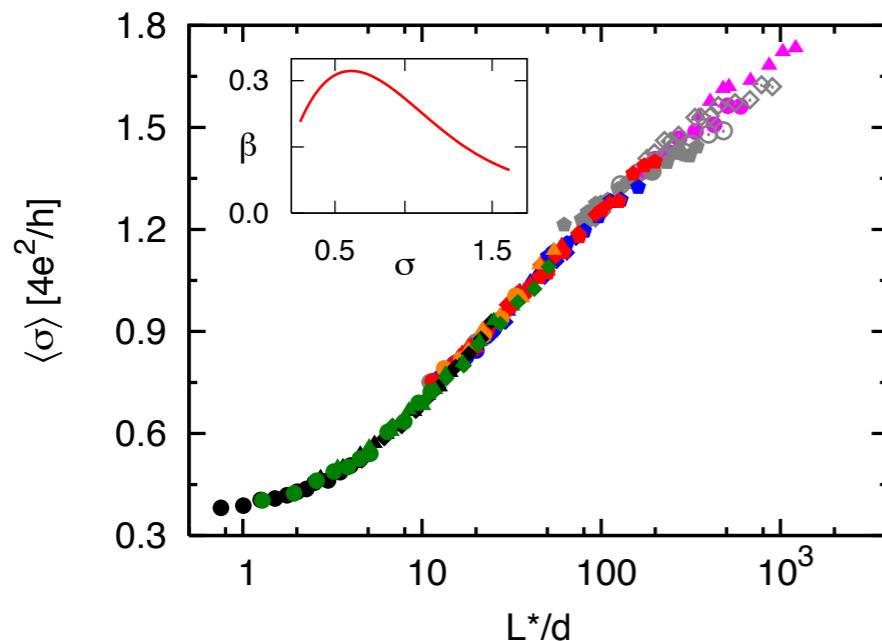
# Phase diagram and possible two parameter scaling



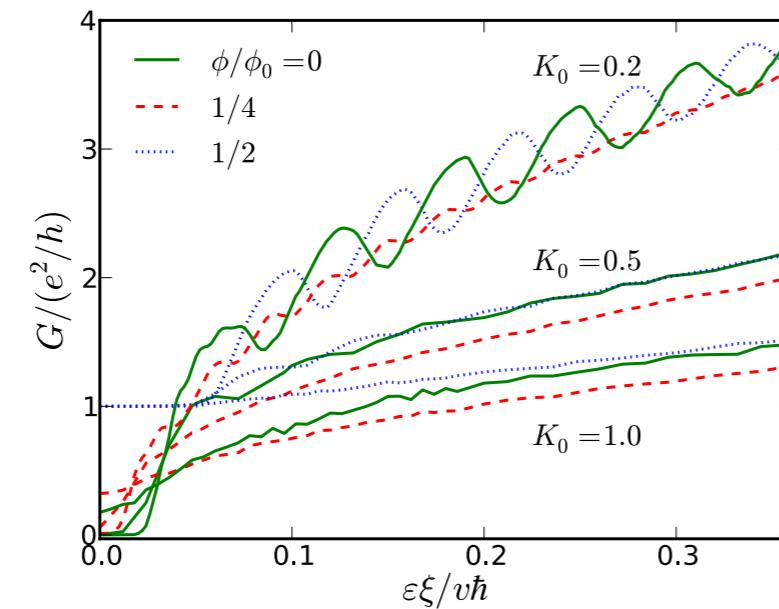
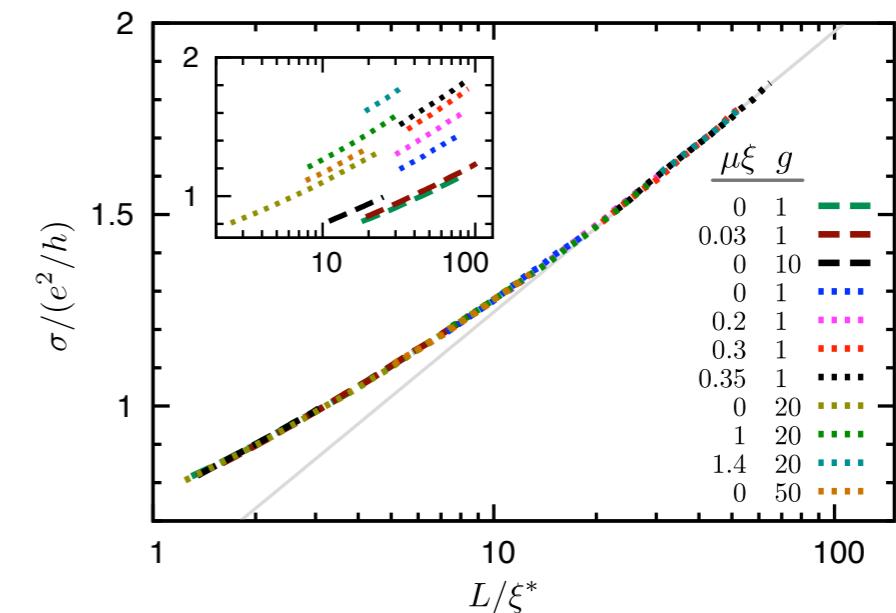
See also: Obuse, Furusaki, Ryu and Mudri PRB, 2007  
Essin and Moore, RRB 2007, Shindou and Murakami PRB 2009

# Conclusions

Disorder always drives the strong insulator surface into the **symplectic metal** phase, characterized by weak anti-localization



In weak topological insulators, similar behavior is observed in the **absence of mass**. Including mass gives arise to two parameter scaling



WAL also obtained in wires,  
expect close to the Dirac point  
(dominated by perfectly  
transmitted mode) or at very  
weak disorder