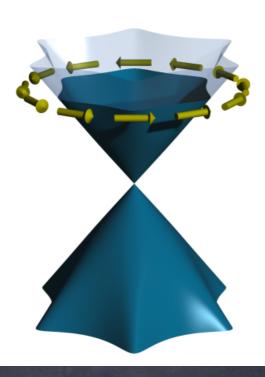
Majorana Fermions in proximity-coupled TI nanowiress

M. Franz and A. Cook University of British Columbia









perspective

Majorana returns

Frank Wilczek

In his short career, Ettore Majorana made several profound contributions. One of them, his concept of 'Majorana fermions' — particles that are their own antiparticle — is finding ever wider relevance in modern physics.

nrico Fermi had to cajole his friend Ettore Majorana into publishing his big idea: a modification of the Dirac equation that would have profound ramifications for particle physics. Shortly afterwards, in 1938, Majorana mysteriously disappeared, and for 70 years his modified equation remained a rather obscure footnote in theoretical physics (Box 1). Now suddenly, it seems, Majorana's concept is ubiquitous, and his equation is central to recent work not only in neutrino physics, supersymmetry and dark matter, but also on some exotic states of ordinary matter.

Indeed, when, in 1928, Paul Dirac discovered¹ the theoretical framework for describing spin-½ particles, it seemed that complex numbers were unavoidable (Box 2). Dirac's original equation contained both real and imaginary numbers, and therefore it can only pertain to complex fields. For Dirac, who was concerned with describing electrons, this feature posed no problem, and even came to seem an advantage because it 'explained' why positrons, the antiparticles of electrons, exist.

Enter Ettore Majorana. In his 1937 paper², Majorana posed, and answered, the

number of electrons minus the number of antielectrons, plus the number of electron neutrinos minus the number of antielectron neutrinos is a constant (call it L_e). These laws lead to many successful selection rules. For example, the particles (muon neutrinos, v_{μ}) emitted in positive pion (π) decay, $\pi^+ \Rightarrow \mu^+ + v_{\mu}$, will induce neutronto-proton conversion $v_{\mu} + n \Rightarrow \mu^- + p$, but not proton-to-neutron conversion $v_{\mu} + p \Rightarrow \mu^+ + n$; the particles (muon antineutrinos, \bar{v}_{μ}) emitted in the negative pion decay $\pi^- \Rightarrow \mu^- + \bar{v}_{\mu}$ obey the opposite pattern. Indeed, it was through studies of this kind that the existence of different

perspective

Majorana returns

Frank Wilczek

In his short care modern physics

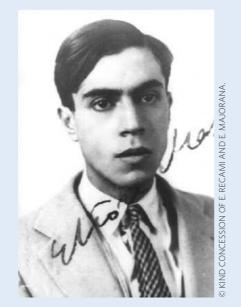
nrico Fermi had Ettore Majorana his big idea: a m Dirac equation that v is central to recent w neutrino physics, sur matter, but also on so ordinary matter.

Box 1 | The romance of Ettore Majorana

"There are many categories of scientists: people of second and third rank, who do their best, but do not go very far; there of 'Majorana fer are also people of first-class rank, who make great discoveries, fundamental to the development of science. But then there are the geniuses, like Galileo and Newton. Well Ettore Majorana was one of them." Enrico Fermi, not known for flightiness

or overstatement, is the source of these much-quoted lines. The bare facts of Majorana's life are ramifications for par briefly told. Born in Catania, Italy, on afterwards, in 1938, 1 5 August 1906, into an accomplished family, disappeared, and for he rose rapidly through the academic ranks, equation remained a became a friend and scientific collaborator footnote in theoretic: of Fermi, Werner Heisenberg and other Now suddenly, it seel luminaries, and produced a stream of concept is ubiquitous high-quality papers. Then, beginning in 1933, things started to go terribly wrong. He complained of gastritis, became reclusive, with no official position, and published nothing for several years. In 1937, he allowed Fermi to write-up and submit, under his (Majorana's) name, his last and most profound paper — the point of departure of this article — containing results he had derived some years before.

At Fermi's urging, Majorana applied for professorships and was awarded the Chair in Theoretical Physics at Naples,



which he took up in January 1938. Two months later, he embarked on a mysterious trip to Palermo, arrived, then boarded a ship straight back to Naples and disappeared without a trace.

Majorana published only nine papers in his lifetime, none very lengthy. They are collected, with commentaries, all in both Italian and English versions, in a slim volume³⁰. Each is a substantial contribution to quantum physics. At least two are

masterpieces: the last, as mentioned, and another on the quantum theory of spins in magnetic fields, which anticipates the later brilliant development of molecular-beam and magnetic resonance techniques.

In recent years, a small industry has developed, bringing Majorana's unpublished notebooks into print (see for example ref. 31). They are impressive documents, full of original calculations and expositions covering a wide range of physical problems. They leave an overwhelming impression of gathering strength; physics might have advanced more rapidly on several fronts had Majorana pulled this material together and shared it with the world.

How did he vanish? There are two leading theories. According to one, he retired to a monastery, to escape a spiritual crisis and accept the embrace of his deep Catholic faith (not unlike another tortured scientific genius, Blaise Pascal). According to another, he jumped overboard, an act of suicide recalling the alienated supermind of fiction, Odd John³². Fermi's appreciation had a wistful conclusion, which is less well known: "Majorana had greater gifts than anyone else in the world. Unfortunately he lacked one quality which other men generally have: plain common sense."

perspective

Majorana returns

Frank Wilczek

In his short care modern physics

nrico Fermi had Ettore Majorana his big idea: a m Dirac equation that v is central to recent w neutrino physics, sur matter, but also on so ordinary matter.

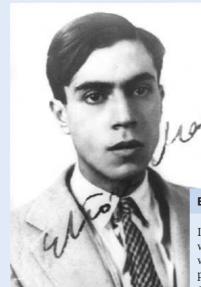
Box 1 | The romance of Ettore Majorana

"There are many categories of scientists: people of second and third rank, who do their best, but do not go very far; there of 'Majorana fer are also people of first-class rank, who make great discoveries, fundamental to the development of science. But then there are the geniuses, like Galileo and Newton. Well Ettore Majorana was one of them."

Enrico Fermi, not known for flightiness or overstatement, is the source of these much-quoted lines.

The bare facts of Majorana's life are ramifications for par briefly told. Born in Catania, Italy, on afterwards, in 1938, 1 5 August 1906, into an accomplished family, disappeared, and for he rose rapidly through the academic ranks, equation remained a became a friend and scientific collaborator footnote in theoretic: of Fermi, Werner Heisenberg and other Now suddenly, it seel luminaries, and produced a stream of concept is ubiquitous high-quality papers. Then, beginning in 1933, things started to go terribly wrong. He complained of gastritis, became reclusive, with no official position, and published nothing for several years. In 1937, he allowed Fermi to write-up and submit, under his (Majorana's) name, his last and most profound paper — the point of departure of this article — containing results he had derived some years before. At Fermi's urging, Majorana applied for professorships and was awarded the

Chair in Theoretical Physics at Naples,



which he took up in January 1938. Tv months later, he embarked on a myste trip to Palermo, arrived, then boarder a new method in theoretical physics, straight back to Naples and disappear emphasizing mathematical aesthetics as without a trace.

in his lifetime, none very lengthy. The that it applies Dirac's method to Dirac's are collected, with commentaries, all equation itself, to distill from it an both Italian and English versions, in volume³⁰. Each is a substantial contri years, Majorana's idea seemed to be an to quantum physics. At least two are

masterpieces: the last, as mentioned, and another on the quantum theory of spins in magnetic fields, which anticipates the later brilliant development of molecular-beam and magnetic resonance techniques.

In recent years, a small industry has developed, bringing Majorana's unpublished notebooks into print (see for example ref. 31). They are impressive documents, full of original calculations and expositions covering a wide range of physical problems. They leave an overwhelming impression of gathering

(in which I have adopted units such that

of a spin- $\frac{1}{2}$ particle with mass *m*.

Dirac found a suitable set of 4×4

y matrices, whose entries contain both real

and imaginary numbers. For the equation to

make sense, ψ must then be a complex field.

this consequence as a good feature, because electrons are electrically charged, and the

Schrödinger equation. This is also true in the

language of quantum field theory. In quantum

particle A (and destroys its antiparticle \overline{A}), the

complex conjugate φ^* will create \bar{A} and destroy

A. Particles that are their own antiparticles must be associated with fields obeying $\varphi = \varphi^*$,

positrons are distinct, the associated fields ψ

and ψ^* and must therefore be different; this feature appeared naturally in Dirac's equation. Majorana inquired whether it might

be possible for a spin-1/2 particle to be its

own antiparticle, by attempting to find the equation that such an object would satisfy.

To get an equation of Dirac's type (that is, suitable for spin-¹/₂) but capable of governing

a real field, requires γ matrices that satisfy the Clifford algebra and are purely imaginary.

Majorana found such matrices. Written as

they take the form:

tensor products of the usual Pauli matrices σ ,

that is, real fields. Because electrons and

Dirac and most other physicists regarded

description of charged particles requires

complex fields, even at the level of the

field theory, if a given field φ creates the

 $\hbar = c = 1$). Furthermore, we require that γ^0 be

Hermitian, and the remaining marices anti-

Hermitian. These conditions ensure that the

equation properly describes the wavefunction

Box 2 | The Majorana equation

In 1928, Dirac proposed his relativistic wave equation for electrons³³. This was a watershed event in theoretical physics, leading to a new understanding of spin, predicting the existence of antimatter, and impelling - for its

adequate interpretation — the creation of quantum field theory. It also inaugurated a source of inspiration. Majorana's most Majorana published only nine pat influential work is especially poetic, in equation both elegant and new. For many ingenious but unfulfilled speculation. Recently, however, it has come into its own, and now occupies a central place

in several of the most vibrant frontiers of modern physics.

Dirac's equation connects the four components of a field ψ . In modern (covariant) notation it reads

$$(i\gamma^{\mu}\partial_{\mu}-m)\psi=0$$

The γ matrices are required to obey the rules of Clifford algebra, that is

$$\{\gamma^{\mu}\gamma^{\nu}\} \equiv \gamma^{\mu}\gamma^{\nu} + \gamma^{\nu}\gamma^{\mu} = 2\eta^{\mu\nu}$$

where $\eta^{\mu\nu}$ is the metric tensor of flat space. Spelling it out, we have

$$\begin{split} (\gamma^0)^2 &= -(\gamma^1)^2 = -(\gamma^2)^2 = -(\gamma^3)^2 = 1 \\ \gamma^i \gamma^k &= -\gamma^k \gamma^j \text{ for } i \neq j \end{split}$$

$\tilde{\gamma}^0 = \sigma_2 \otimes \sigma_1$ $\tilde{\gamma}^1 = i\sigma_1 \otimes 1$ $\tilde{\gamma}^2 = i\sigma_3 \otimes 1$ $\tilde{\gamma}^3 = i\sigma_2 \otimes \sigma_2$

or alternatively, as ordinary matrices:

,		,		
$\widetilde{\gamma}^{0} =$	(0	0	0	-i
	0	0	- <i>i</i>	0
	$ \begin{pmatrix} 0 \\ 0 \\ 0 \\ i \end{pmatrix} $	0 i	0	0
	i	0	0	0)
$\widetilde{\gamma}^{1} =$	(0	0	i	0
	$\begin{pmatrix} 0 \\ 0 \\ i \end{pmatrix}$		0	i
	i	0 0 <i>i</i>	0	0
	0	i	0	0
$\widetilde{\gamma}^2 =$	(i	0	0	0
	0	i	0	0
	$\begin{pmatrix} i \\ 0 \\ 0 \end{pmatrix}$	0	-i	0
	0	0	0	-i)
$\widetilde{\gamma}^{3} =$	0	0	0	
		0	i	0
	000	i	0	0 0
	(- <i>i</i>	0	0	

Majorana's equation, then, is simply

 $(i\tilde{\gamma}^{\mu}\partial_{\mu} - m)\tilde{\psi} = 0)$

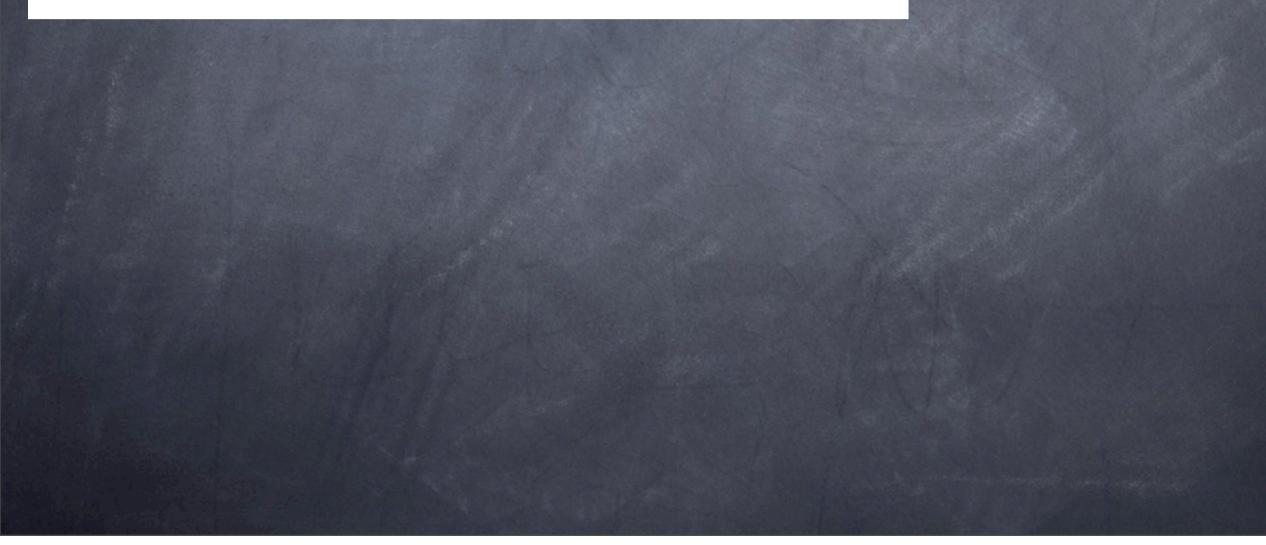
Because the $\tilde{\gamma}^{\mu}$ matrices are purely imaginary, the matrices $i\tilde{y}^{\mu}$ are real, and consequently this equation can govern a real field $\tilde{\psi}$.

REVIEW INSIGHT

Non-Abelian states of matter

Ady Stern¹

Quantum mechanics classifies all elementary particles as either fermions or bosons, and this classification is crucial to the understanding of a variety of physical systems, such as lasers, metals and superconductors. In certain two-dimensional systems, interactions between electrons or atoms lead to the formation of quasiparticles that break the fermion-boson dichotomy. A particularly interesting alternative is offered by 'non-Abelian' states of matter, in which the presence of quasiparticles makes the ground state degenerate, and interchanges of identical quasiparticles shift the system between different ground states. Present experimental studies attempt to identify non-Abelian states in systems that manifest the fractional quantum Hall effect. If such states can be identified, they may become useful for quantum computation.



REVIEW INSIGHT

Non-Abelian states of matter

Ady Stern¹

Quantum mechanics classifies all elementary particles as either fermions or bosons, and this classification is crucial to the understanding of a variety of physical systems, such as lasers, metals and superconductors. In certain two-dimensional systems, interactions between electrons or atoms lead to the formation of quasiparticles that break the fermion-boson dichotomy. A particularly interesting alternative is offered by 'non-Abelian' states of matter, in which the presence of quasiparticles makes the ground state degenerate, and interchanges of identical quasiparticles shift the system between different ground states. Present experimental studies attempt to identify non-Abelian states in systems that manifest the fractional quantum Hall effect. If such states can be identified, they may become useful for quantum computation.



Viewpoint

Race for Majorana fermions

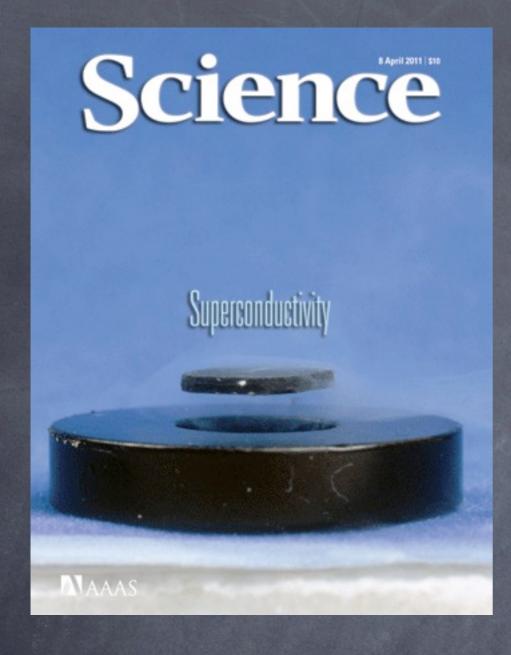
Marcel Franz

Department of Physics and Astronomy, University of British Columbia, Vancouver, BC, Canada V6T 1Z1 Published March 15, 2010

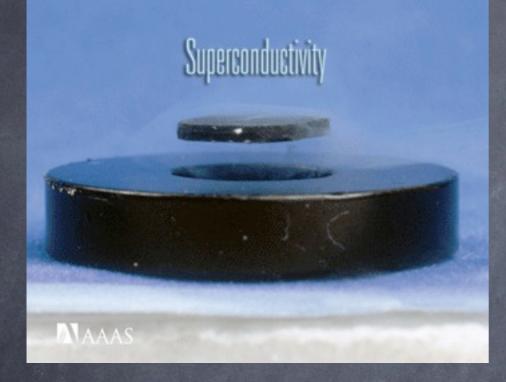
The race for realizing Majorana fermions—elusive particles that act as their own antiparticles—heats up, but we still await ideal materials to work with.

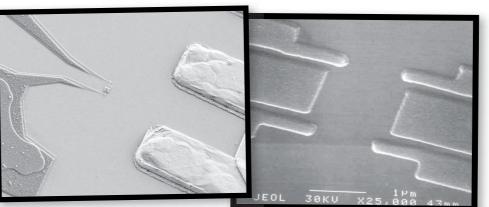
Subject Areas: Semiconductor Physics, Mesoscopics, Particles and Fields

A Viewpoint on: Majorana fermions in a tunable semiconductor device Jason Alicea *Phys. Rev. B* **81**, 125318 (2010) – Published March 15, 2010 Physics 3, 24 (2010)



Science





Search for Majorana Fermions Nearing Success at Last?

Researchers think they are on the verge of discovering weird new particles that borrow a trick from superconductors and could give a big boost to quantum computers

IT HAPPENS OVER AND OVER AGAIN IN particle physics: Theorists predict the existence of a particle and then, sometime later, experimenters find it. Neutrons, positrons, neutrinos, pions, W and Z bosons, and other subatomic denizens all existed on paper

NEWS

Alto, California. Adds Michael Freedman, a mathematician turned theoretical physicist at Station Q, a collaborative research center between Microsoft and the University of California (UC), Santa Barbara: "This is the decade for Majorana fermions. I am

SPECIALSECTION

Majorana detectors? Those in use include tiny transistors (*far left*) and quantum interferometers.

tary particles come in two families: bosons, such as photons, and fermions, such as electrons, that have different groupings of spin.

In 1926, Austrian physicist Erwin Schrödinger came up with an equation that describes how quantum matter changes over time. Two years later, a young English physicist named Paul Dirac tweaked Schrödinger's equation to make it apply to fermions, such as electrons, that move at speeds near that of light. The expansion integrated quantum mechanics for the first time with Einstein's special theory of relativity.

Dirac's new equations also implied the existence of antimatter, matching each fundamental particle with an antiparticle that would annihilate it if the two should ever meet. To their surprise, physicists realized that certain particles, including some photons, could serve as their own antiparticles and annihilate themselves. But fermions weren't thought to be among them.

Then the story took a twist. In some cases, Dirac's equations produced results involving imaginary numbers, which some physicists considered inelegant. That's where a young, gifted Italian physicist named Ettore Majorana Majorana fermions – `half fermions' – can occur as collective excitations in solids with unconventional SC pairing.

 Obey non-abelian exchange statistics, can serve as a platform for fault-tolerant quantum computation.

Ordinary fermions

$$\{c_i^{\dagger}, c_j\} = \delta_{ij}$$

Write in terms of Majorana fermions:

$$c_j = (\gamma_{j1} + i\gamma_{j2})/2$$

 $\{\gamma_{i\alpha},\gamma_{j\beta}\}=\delta_{ij}\delta_{\alpha\beta},\quad \gamma_{i\alpha}^{\dagger}=\gamma_{i\alpha}$

Canonical transformation: can be used to recast ANY fermionic Hamiltonian in terms of Majorana operators

Example: 'Kitaev 1D model' [Phys. Usp. 44, 131 (2001)]

Example: 'Kitaev 1D model' [Phys. Usp. 44, 131 (2001)]

Example: 'Kitaev 1D model' [Phys. Usp. 44, 131 (2001)]

Example: 'Kitaev 1D model' [Phys. Usp. 44, 131 (2001)]

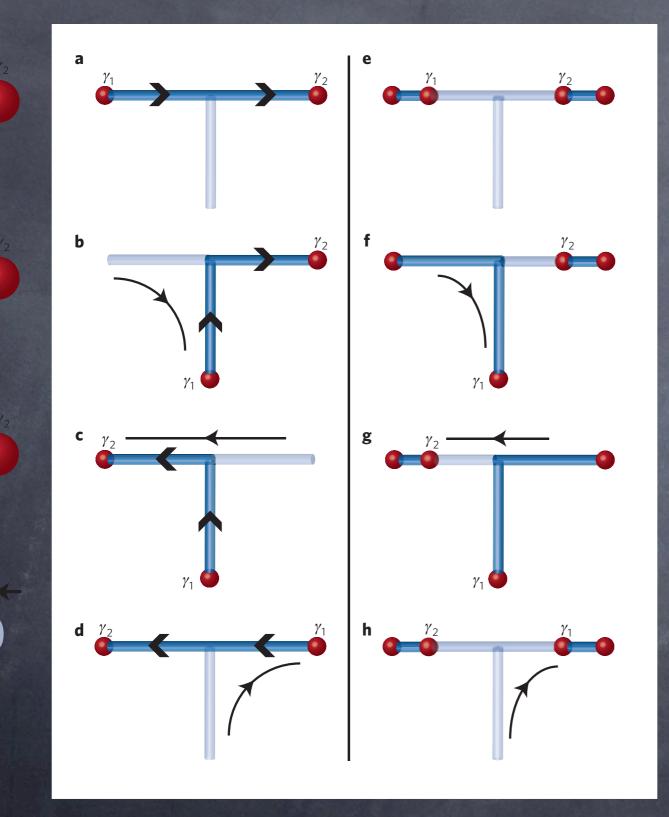
Example: `Kitaev 1D model' [Phys. Usp. 44, 131 (2001)]

isolated Majoranas

Example: 'Kitaev 1D model' [Phys. Usp. 44, 131 (2001)]

isolated Majoranas

These also encode one complex fermion but in a way that is robust to any local perturbation --> ideal quantum bit.



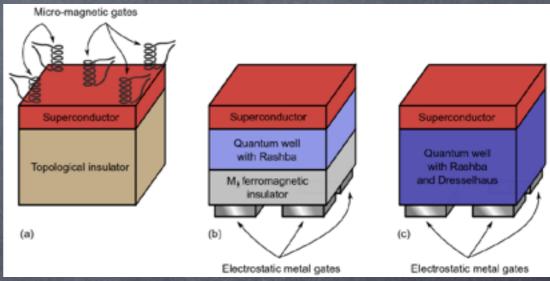
Braiding' of Majoranas in T-junctions shows nonabelian exchange statistics. Proposed realizations:
a. Moore-Read FQHE
b. Spin-polarized p+ip superconductor
c. TI/SC interface
d. Rashba-coupled semicond. + SC + magnetic insulator
e. 1D quantum wires

Proposed realizations:

 Moore-Read FQHE
 Spin-polarized p+ip superconductor
 TI/SC interface
 Rashba-coupled semicond. + SC + magnetic insulator
 ID quantum wires

0.5

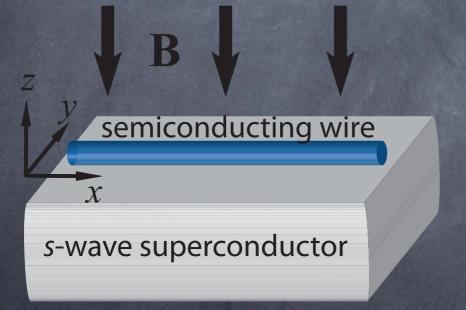
R_H[h/e²] • Proposed realizations: a. Moore-Read FQHE œ⁻ 0.3 Spin-polarized p+ip superconductor c. TI/SC interface 5 Magnetic Field [T] d. Rashba-coupled semicond. + SC + magnetic insulator e. 1D quantum wires Micro-magnetic gates

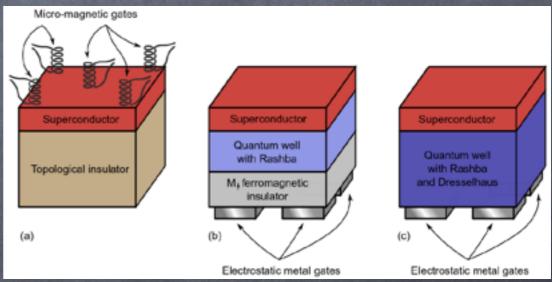


6

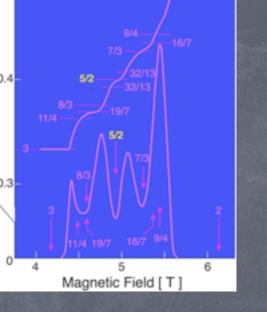
0.5

R_H[h/e²] • Proposed realizations: a. Moore-Read FQHE œ⁻ 0.3 b. Spin-polarized p+ip superconductor c. TI/SC interface 6 5 Magnetic Field [T] d. Rashba-coupled semicond. + SC + magnetic insulator e. 1D quantum wires Micro-magnetic gates





0.5



Selected references:

C. Nayak, S. Simon, A. Stern, M. Freedman, and S. Das Sarma, Rev. Mod. Phys. 80, 1083 (2008). R. M. Lutchyn, J. D. Sau, and S. Das Sarma, Phys. Rev. Lett. 105, 077001 (2010). Y. Oreg, G. Refael, and F. von Oppen, Phys. Rev. Lett. 105, 177002 (2010). A. Yu. Kitaev, Phys. Usp. 44 (suppl.), 131 (2001). S. Bravyi and A. Yu. Kitaev, Phys. Rev. A 71, 022316 (2005); S. Bravyi, Phys. Rev. A 73. 042313 (2006). N. Read and D. Green, Phys. Rev. B 61, 10267 (2000). D. Ivanov, Phys. Rev. Lett. 86, 268 (2001). F. Hassler, A. R. Akhmerov, C.-Y. Hou, and C. W. J. Beenakker, New J. Phys. 12, 125002 (2010). J. D. Sau, S. Tewari, and S. Das Sarma, Phys. Rev. A 82, 052322 (2010). K. Flensberg, Phys. Rev. Lett. 106, 090503 (2011). L. Jiang, C. L. Kane, and J. Preskill, Phys. Rev. Lett. 106, 130504 (2011). P. Bonderson and R. M. Lutchyn, Phys. Rev. Lett. 106, 130505 (2011). J. Alicea, Y. Oreg, G. Refael, F. von Oppen, and M. P. A. Fisher, Nature Phys. 7, 412 (2011). J. D. Sau, D. J. Clarke, and S. Tewari, Phys. Rev. B 84, 094505 (2011). A. Romito, J. Alicea, G. Refael, and F. von Oppen, arXiv:1110.6193. L. Fu, Phys. Rev. Lett. 104, 056402 (2010); C. Xu and L. Fu, Phys. Rev. B 81, 134435 (2010). B. van Heck, F. Hassler, A. R. Akhmerov, and C. W. J. Beenakker, Phys. Rev. B 4, 180502(R) (2011). F. Wilczek, Nature Physics 5, 614 (2009). M. Franz, Physics 3, 24 (2010). A. Stern, Nature (London) 464, 187 (2010). A. Kitaev, Ann. Phys. 303, 2 (2003). [23] A.C. Potter and P.A. Lee, Phys. Rev. B 83, 184520 (2011). A. R. Akhmerov, J. Nilsson, C. W. J. Beenakker, Phys. Rev. Lett. 102, 216404 (2009). T. D. Stanescu, R. M. Lutchyn, S. Das Sarma, Phys. Rev. B 84, 144522 (2011); R. M. Lutchyn, T. D. Stanescu, S. Das Sarma, arXiv:1110.5643 (2011). J. Alicea, Phys. Rev. B 81, 125318 (2010); L. Mao and C. Zhang, Phys. Rev. B 82, 174506 (2010); P. Hosur et al., Phys. Rev. Lett. 107, 097001 (2011). L. Fu and C. L. Kane, Phys. Rev. Lett. 100, 096407 (2008). A. Cook and M. Franz, arXiv:1105.1787 (2011). M. Duckheim and P. W. Brouwer, Phys. Rev. B 83, 054513 (2011). S. B. Chung, H.-J. Zhang, X.-L. Qi, and S.-C. Zhang, Phys. Rev. B 84, 060510 (2011). E. M. Stoudenmire, J. Alicea, O. A. Starykh, and M. P. A. Fisher, Phys. Rev. B 84, 014503 (2011). L. Jiang, D. Pekker, J. Alicea, G. Refael, Y. Oreg, F. von Oppen, arXiv:1107.4102. S. Gangadharaiah, B. Braunecker, P. Simon, and D. Loss, Phys. Rev. Lett. 107, 036801 (2011). J. Q. You, Z. D. Wang, Wenxian Zhang, Franco Nori, arXiv: 1108.3712. Sergey Bravyi, Robert Koenig, arXiv:1108.3845. A. Zazunov, A. Levy Yeyati, R. Egger, arXiv:1108.4308. Shusa Deng, Lorenza Viola, Gerardo Ortiz, arXiv:1108.4683. Rok Zitko, Pascal Simon, arXiv:1108.6142. Grégory Strübi, Wolfgang Belzig, Mahn-Soo Choi, Christoph Bruder, Phys. Rev. Lett. 107, 136403 (2011). M.A. Silaev, Phys. Rev. B 84, 144508 (2011). Chunlei Qu, Yongping Zhang, Li Mao, Chuanwei Zhang, arXiv:1109.4108. R. Jackiw, S.-Y. Pi, arXiv:1109.4580. Cristina Bena, Doru Sticlet, Pascal Simon, arXiv:1109.5697.

Experimental realizations

Experimental realizations



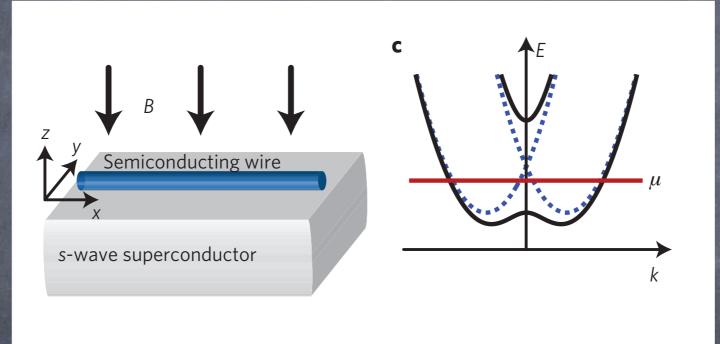
Experimental realizations



The race is on...

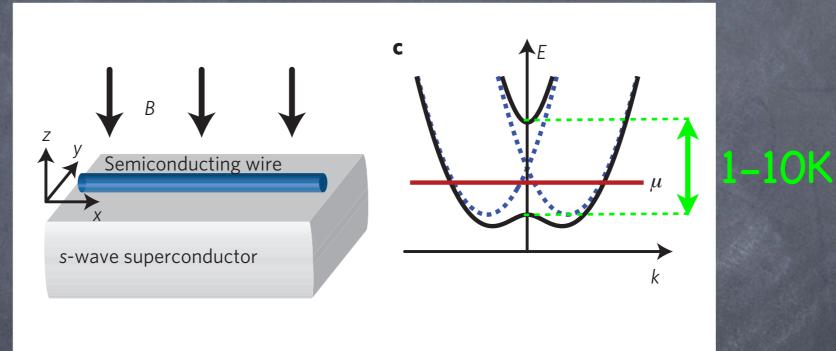
Lutchyn et al. PRL 2010, Oreg et al. PRL 2010

$$H_0 = \!\! \int_{-\infty}^{\infty} \!\! \frac{dx}{\sigma} \psi^{\dagger}_{\sigma}(x) \! \left(\! - \frac{\partial_x^2}{2m^*} \! - \! \mu \! + \! i \alpha \sigma_y \partial_x \! + \! V_x \sigma_x \! \right)_{\sigma \sigma'} \! \psi_{\sigma'}(x),$$



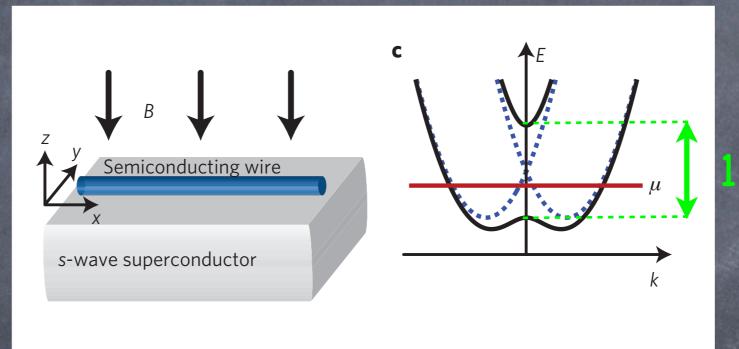
Lutchyn et al. PRL 2010, Oreg et al. PRL 2010

$$H_0 = \!\! \int_{-\infty}^{\infty} \!\! dx \psi_{\sigma}^{\dagger}(x) \! \left(\! - \! \frac{\partial_x^2}{2m^*} \! - \! \mu \! + \! i \alpha \sigma_y \partial_x \! + \! V_x \sigma_x \! \right)_{\sigma \sigma'} \!\! \psi_{\sigma'}(x),$$



Lutchyn et al. PRL 2010, Oreg et al. PRL 2010

$$H_0 = \int_{-\infty}^{\infty} dx \psi_{\sigma}^{\dagger}(x) \left(-\frac{\partial_x^2}{2m^*} - \mu + i\alpha\sigma_y \partial_x + V_x \sigma_x \right) \psi_{\sigma\sigma'}(x),$$

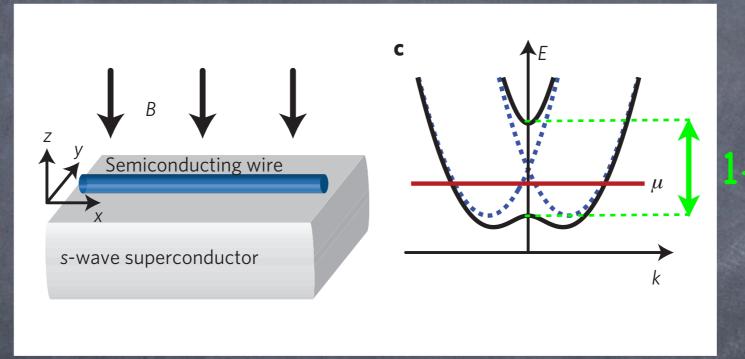


Potential issues:

-10K

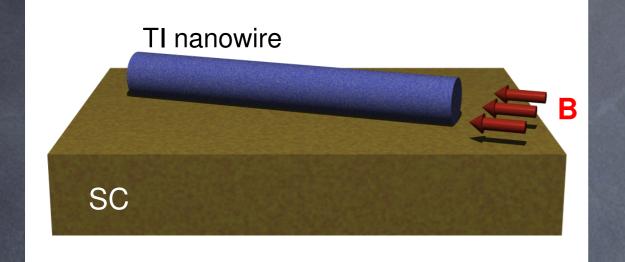
Lutchyn et al. PRL 2010, Oreg et al. PRL 2010

$$H_0 = \int_{-\infty}^{\infty} dx \psi_{\sigma}^{\dagger}(x) \left(-\frac{\partial_x^2}{2m^*} - \mu + i\alpha\sigma_y \partial_x + V_x \sigma_x \right) \psi_{\sigma'}(x),$$

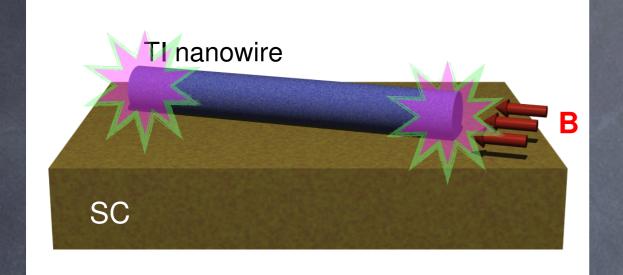


Chemical potential tuning Potential issues: Effects of disorder Detection

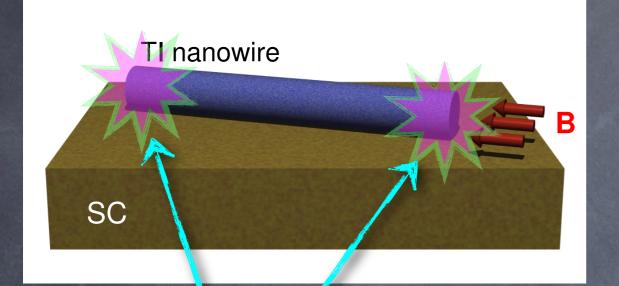
10K



TI nanowire placed on top of ordinary s-wave SC in longitudinal applied magnetic field.

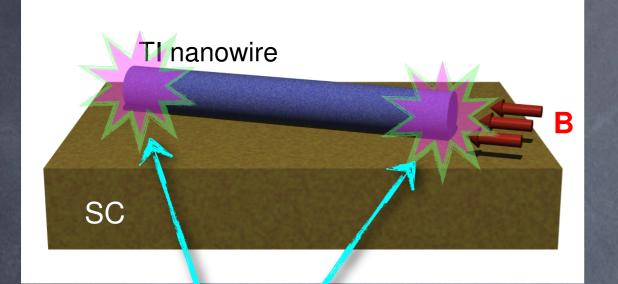


TI nanowire placed on top of ordinary s-wave SC in longitudinal applied magnetic field.



TI nanowire placed on top of ordinary s-wave SC in longitudinal applied magnetic field.

Majorana fermions



TI nanowire placed on top of ordinary s-wave SC in longitudinal applied magnetic field.

Majorana fermions

No fine tuning:

1. Chemical potential inside the bulk gap (~300meV in Bi₂Se₃).

2. Flux close to 1/2 flux quantum.

3. Robust against non-magnetic didisorder.

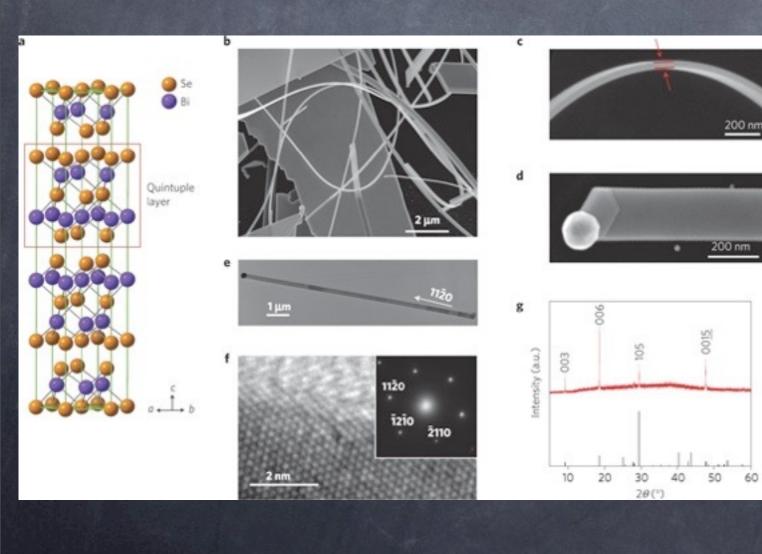
TI nanowires ("nanoribbons")

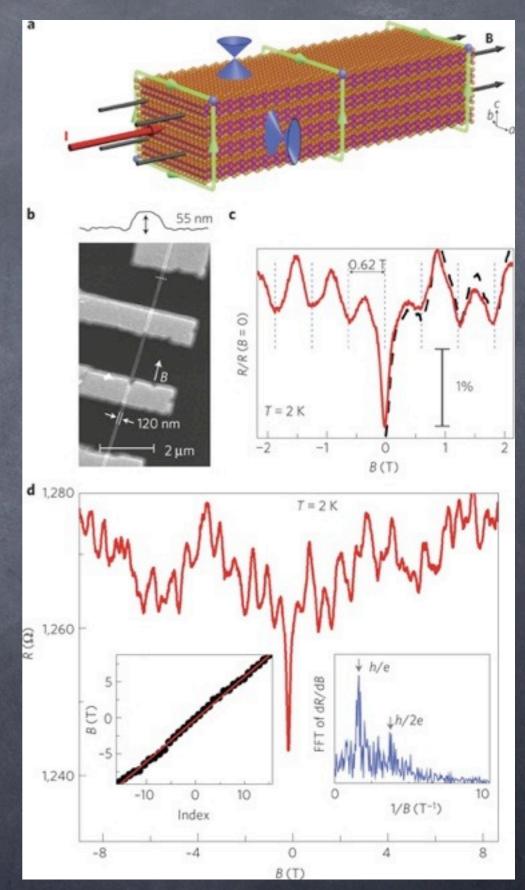
mature materials

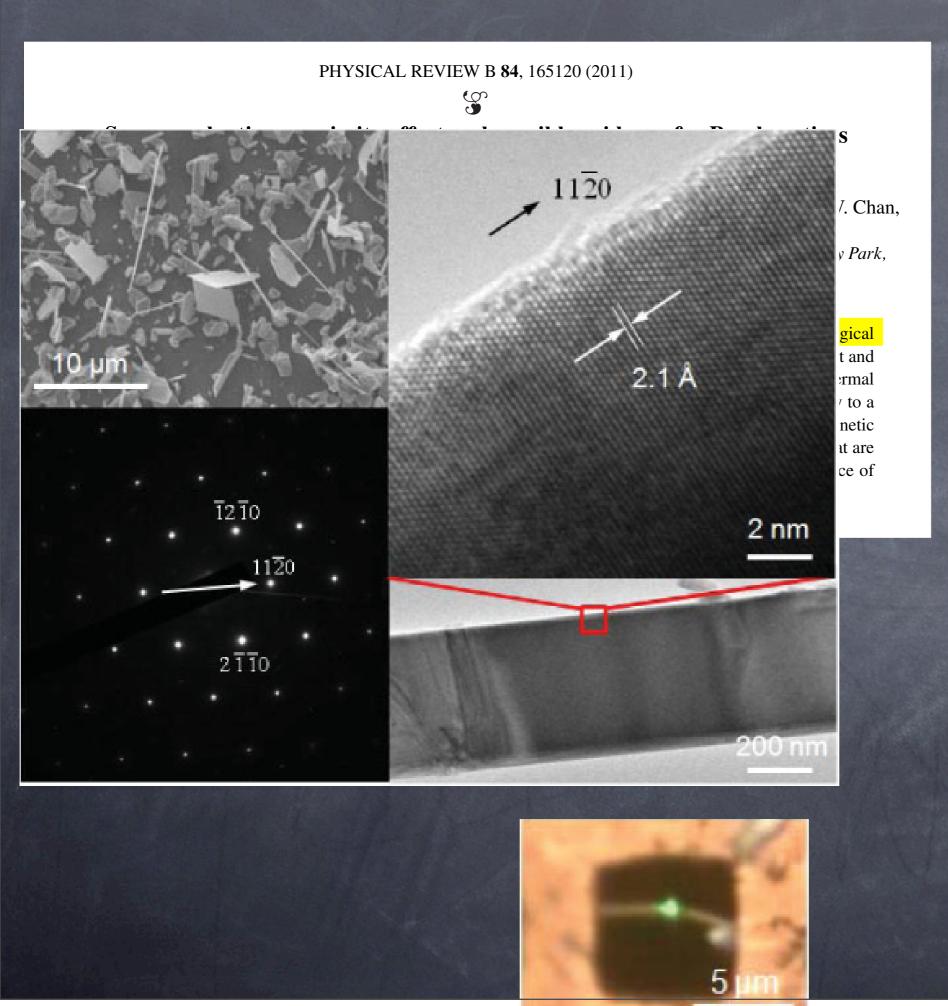
PUBLISHED ONLINE: 13 DECEMBER 2009 | DOI: 10.1038/NMAT2609

Aharonov-Bohm interference in topological insulator nanoribbons

Hailin Peng^{1,2}*, Keji Lai^{3,4}*, Desheng Kong¹, Stefan Meister¹, Yulin Chen^{3,4,5}, Xiao-Liang Qi^{4,5}, Shou-Cheng Zhang^{4,5}, Zhi-Xun Shen^{3,4,5} and Yi Cui^{1†}



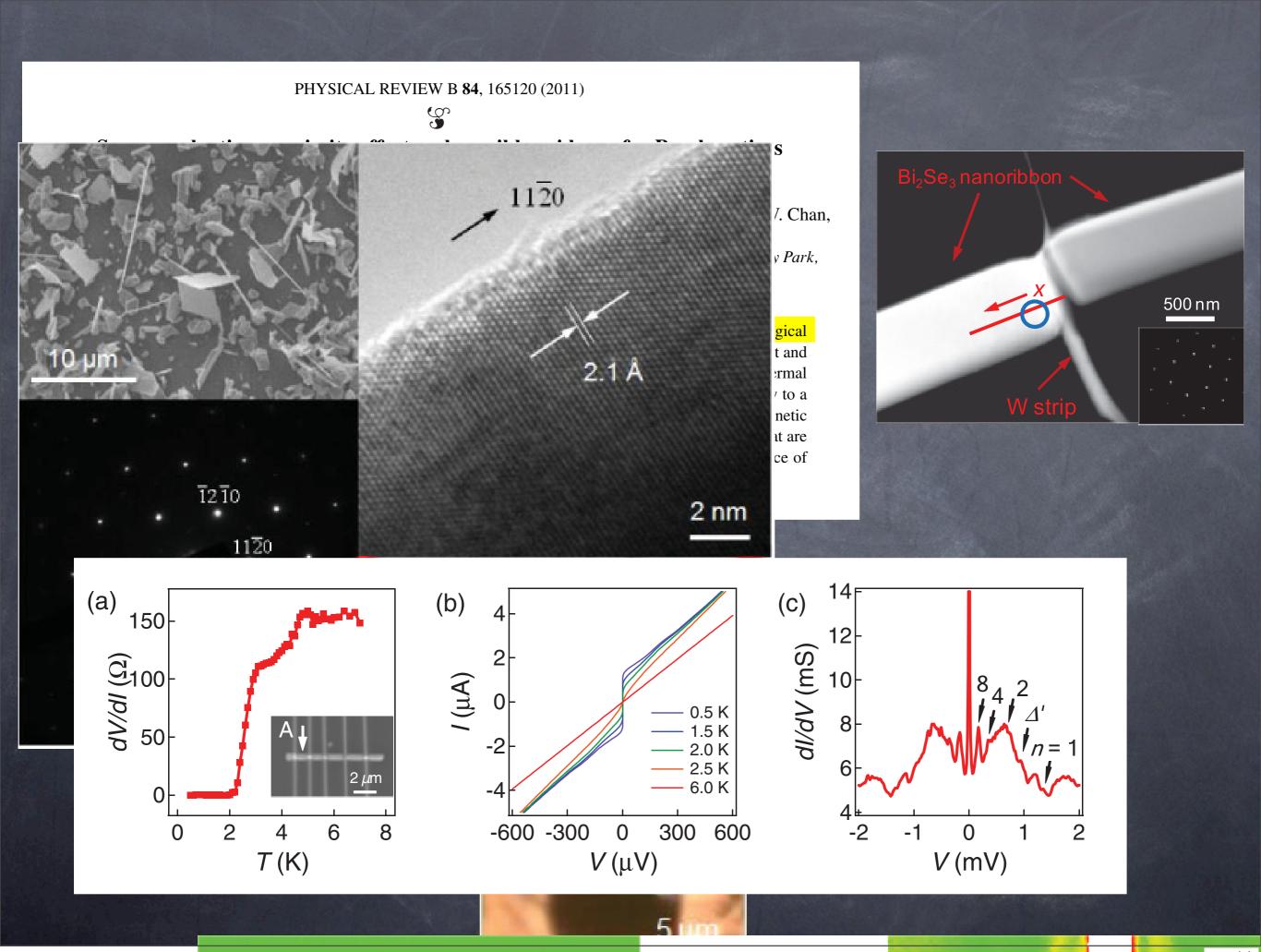




 Bi2Se3 nanoribbon

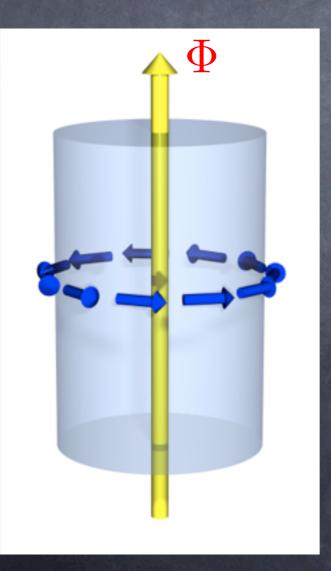
 500 nm

 W strip



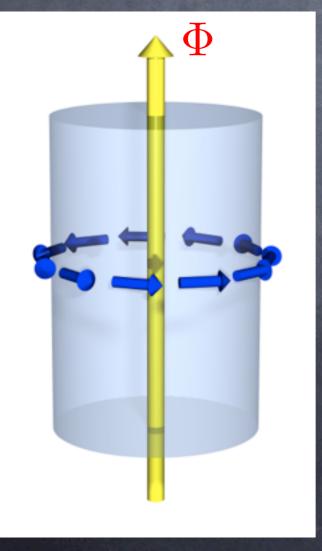
Theory: solve Dirac equation for the surface states of a TI cylinder threaded by magnetic flux

 $h = \frac{1}{2}v[\hbar\nabla \cdot \mathbf{n} + \mathbf{n} \cdot (\mathbf{p} \times \sigma) + (\mathbf{p} \times \sigma) \cdot \mathbf{n}] + \mathbf{m} \cdot \sigma$ [see Ostrovsky et al. PRL 105, 036803 (2010)]



Theory: solve Dirac equation for the surface states of a TI cylinder threaded by magnetic flux

 $h = \frac{1}{2}v[\hbar\nabla \cdot \mathbf{n} + \mathbf{n} \cdot (\mathbf{p} \times \sigma) + (\mathbf{p} \times \sigma) \cdot \mathbf{n}] + \mathbf{m} \cdot \sigma$ [see Ostrovsky et al. PRL 105, 036803 (2010)]



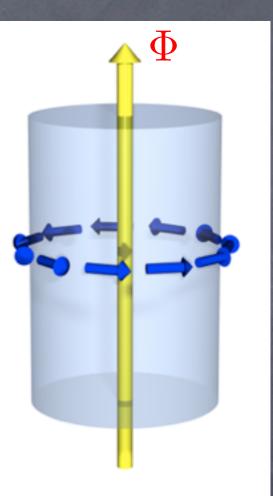
Include flux through minimal substitution $p \rightarrow p - (e/c)A$ and solve using cylindrical symmetry

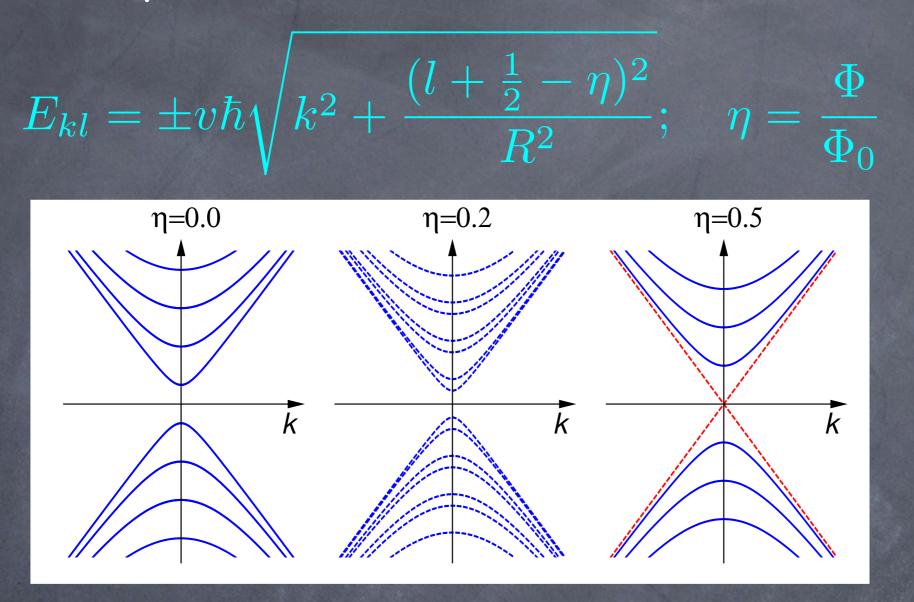
Assuming m along z the solution is of the form

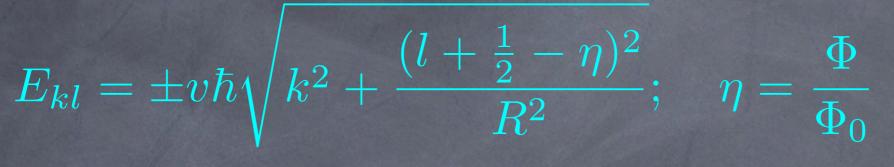
 $\psi_{kl}(z,\varphi) = e^{i\varphi l} e^{-ikz} \begin{pmatrix} f_{kl} \\ e^{i\varphi}g_{kl} \end{pmatrix}$

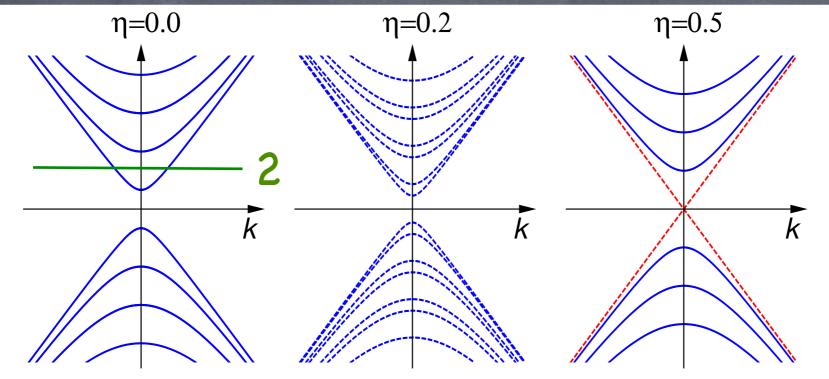
The spinor $\tilde{\psi}_{kl} = \begin{pmatrix} f_{kl} \\ g_{kl} \end{pmatrix}$ is an eigenstate of

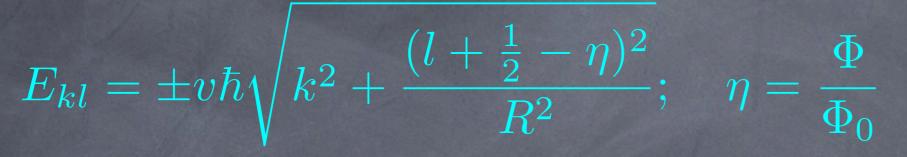
$$h_{kl} = \sigma_2 k + \sigma_3 [(l + \frac{1}{2} - \eta)/R + m_z]$$

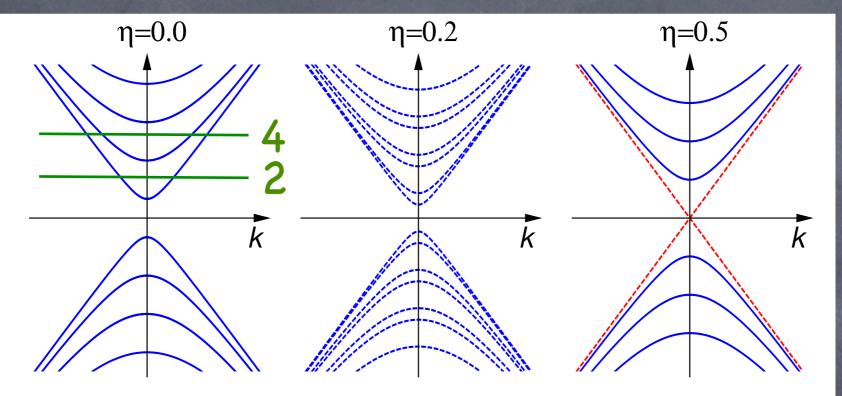




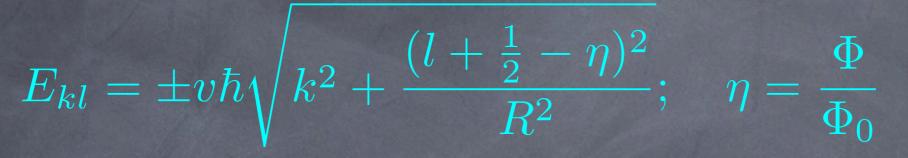


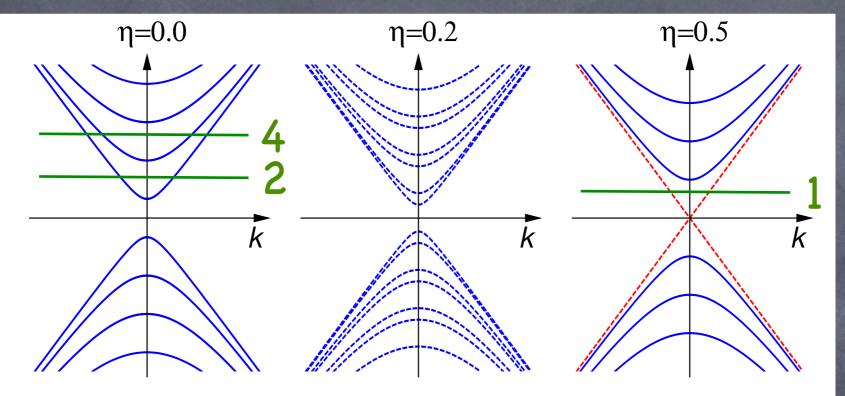


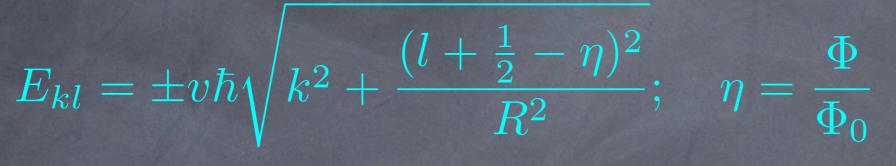


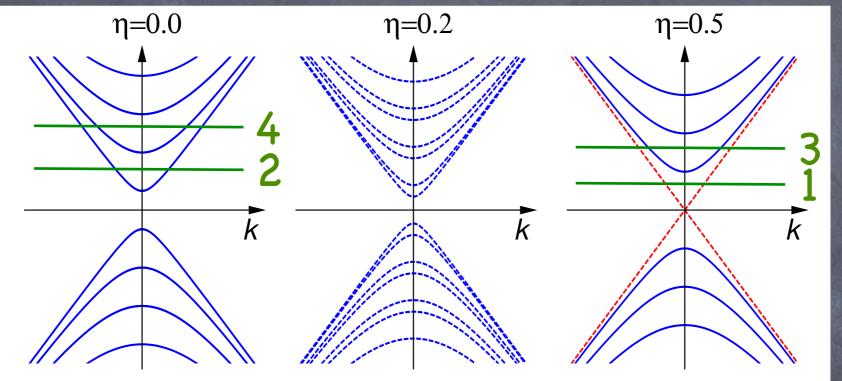


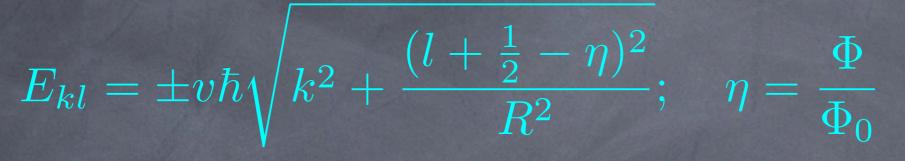
17

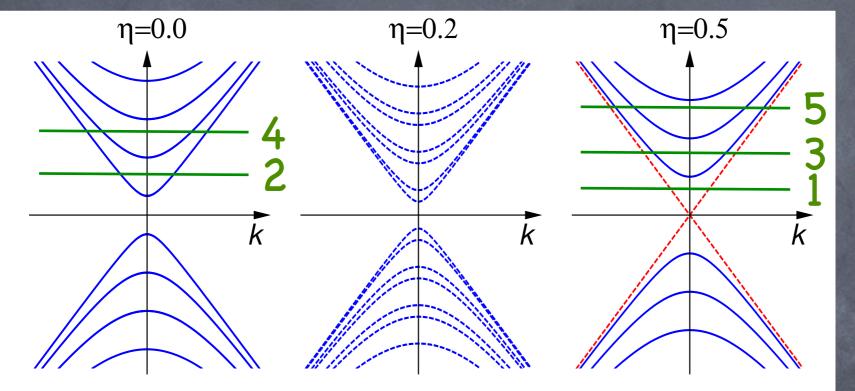


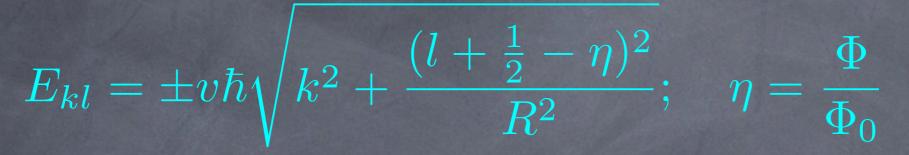


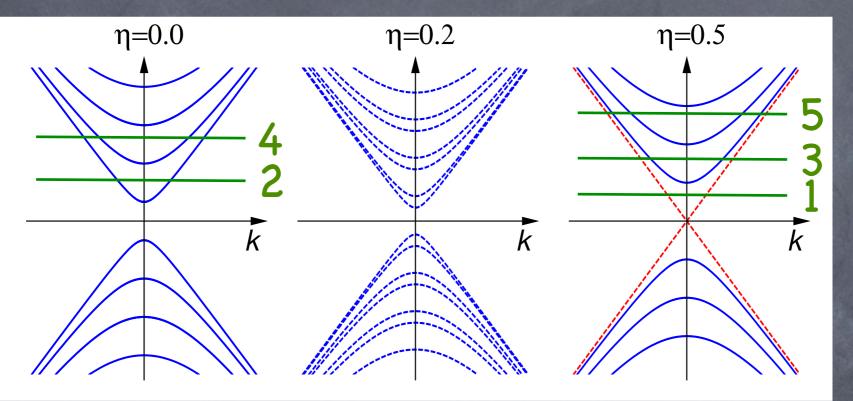




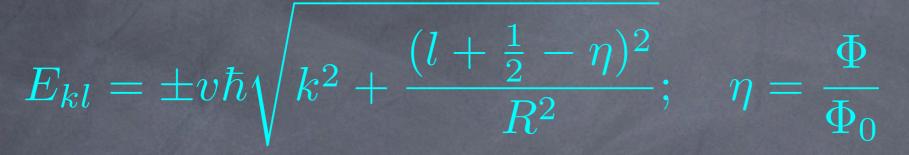


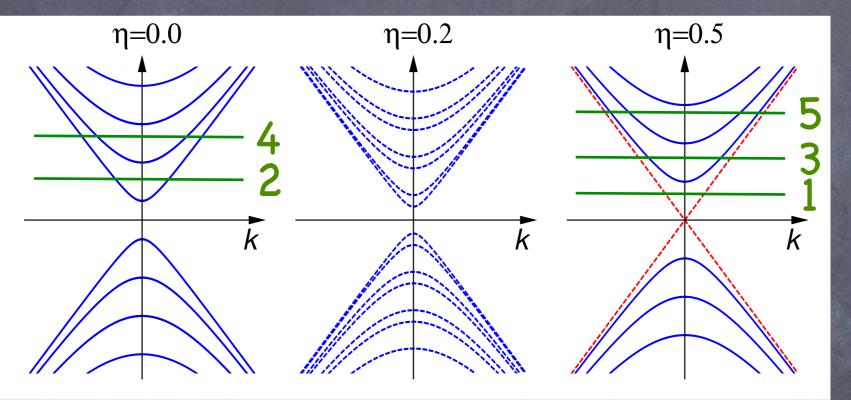






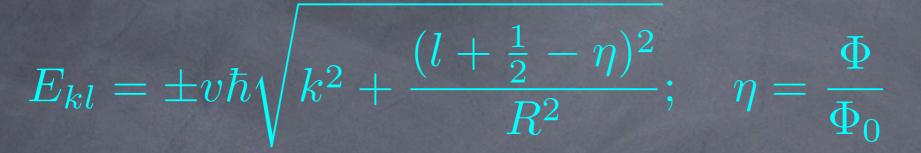
For $\eta = \frac{1}{2}$ there is always an odd **#** of bands crossing the Fermi surface.

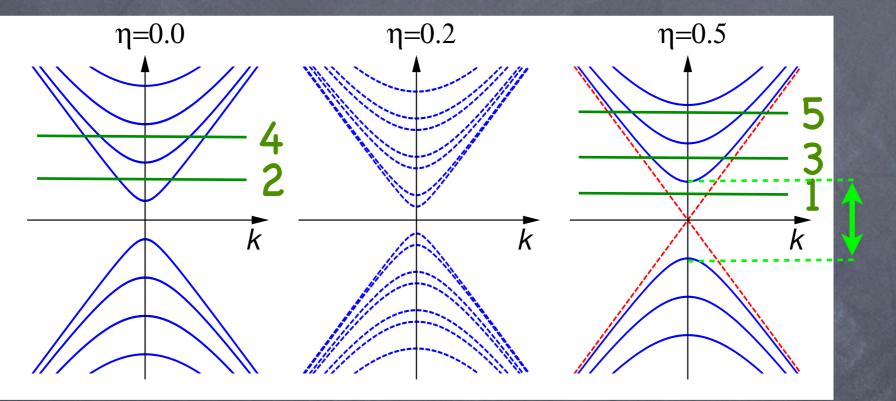




For $\eta = \frac{1}{2}$ there is always an odd **#** of bands crossing the Fermi surface.

Topological superconductivity





For $\eta = \frac{1}{2}$ there is always an odd **#** of bands crossing the Fermi surface.

Topological superconductivity

100K

Kitaev's Majorana number

Chernogolovka 2000: Mesoscopic and strongly correlated electron systems Usp. Fiz. Nauk (Suppl.) 171 (10)

Unpaired Majorana fermions in quantum wires

A Yu Kitaev

3. A general condition for Majorana fermions

Let us consider a general translationally invariant onedimensional Hamiltonian with short-range interactions. It has been mentioned that the necessary conditions for unpaired Majorana fermions are superconductivity and a gap in the bulk excitation spectrum. The latter is equivalent to the quasi-particle tunneling amplitude vanishing as $\exp(-L/l_0)$. Besides that, it is clear that there should be some parity condition. Indeed, Majorana fermions at the ends of parallel weakly interacting chains may pair up and cancel each other (i.e. the ground state will be nondegenerate). So, provided the energy gap, each one-dimensional Hamiltonian H is characterized by a 'Majorana number' $\mathcal{M} = \mathcal{M}(H) = \pm 1$: the existence of unpaired Majorana fermions is indicated as $\mathcal{M} = -1$. The Majorana number should satisfy $\mathcal{M}(H' \oplus H'') = \mathcal{M}(H')\mathcal{M}(H'')$, where \oplus means taking two non-interacting chains.

Kitaev's Majorana number

Chernogolovka 2000: Mesoscopic and strongly correlated electron systems

Usp. Fiz. Nauk (Suppl.) 171 (10)

Unpaired Majorana fermions in quantum wires

A Yu Kitaev

3. A general condition for Majorana fermions

Let us consider a general translationally invariant onedimensional Hamiltonian with short-range interactions. It has been mentioned that the necessary conditions for unpaired Majorana fermions are superconductivity and a gap in the bulk excitation spectrum. The latter is equivalent to the quasi-particle tunneling amplitude vanishing as $\exp(-L/l_0)$. Besides that, it is clear that there should be some parity condition. Indeed, Majorana fermions at the ends of parallel weakly interacting chains may pair up and cancel each other (i.e. the ground state will be nondegenerate). So, provided the energy gap, each one-dimensional Hamiltonian H is characterized by a 'Majorana number' $\mathcal{M} = \mathcal{M}(H) = \pm 1$: the existence of unpaired Majorana fermions is indicated as $\mathcal{M} = -1$. The Majorana number should satisfy $\mathcal{M}(H' \oplus H'') = \mathcal{M}(H')\mathcal{M}(H'')$, where \oplus means taking two non-interacting chains.

number of Fermi points in the right half of the Brillouin zone

 $\mathcal{M} = (-1)^{\nu}$

Kitaev's Majorana number

Chernogolovka 2000: Mesoscopic and strongly correlated electron systems

Usp. Fiz. Nauk (Suppl.) 171 (10)

Unpaired Majorana fermions in quantum wires

A Yu Kitaev

3. A general condition for Majorana fermions

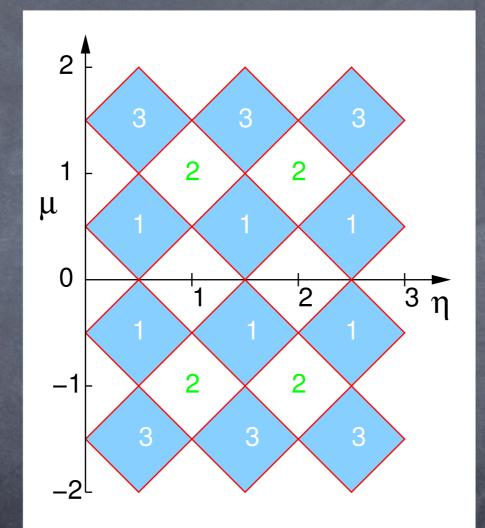
Let us consider a general translationally invariant onedimensional Hamiltonian with short-range interactions. It has been mentioned that the necessary conditions for unpaired Majorana fermions are superconductivity and a gap in the bulk excitation spectrum. The latter is equivalent to the quasi-particle tunneling amplitude vanishing as $\exp(-L/l_0)$. Besides that, it is clear that there should be some parity condition. Indeed, Majorana fermions at the ends of parallel weakly interacting chains may pair up and cancel each other (i.e. the ground state will be nondegenerate). So, provided the energy gap, each one-dimensional Hamiltonian H is characterized by a 'Majorana number' $\mathcal{M} = \mathcal{M}(H) = \pm 1$: the existence of unpaired Majorana fermions is indicated as $\mathcal{M} = -1$. The Majorana number should satisfy $\mathcal{M}(H' \oplus H'') = \mathcal{M}(H')\mathcal{M}(H'')$, where \oplus means taking two non-interacting chains.

number of Fermi points in the right half of the Brillouin zone

 $\mathcal{M} = (-1)^{\nu}$

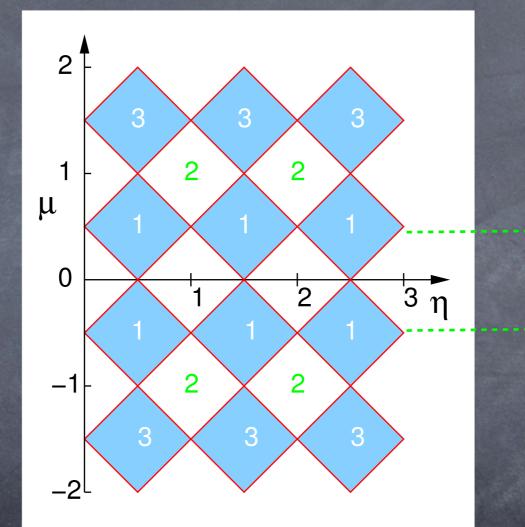
$$\eta = \frac{1}{2} \implies \mathcal{M} = -1$$

Phase diagram for $\mathcal{M}(\mu,\eta)$



TI nanoribbon

Phase diagram for $\mathcal{M}(\mu,\eta)$



TI nanoribbon

~100K

Explicit solution for the Majorana zero mode

Bogoliubov-de Gennes Hamiltonian

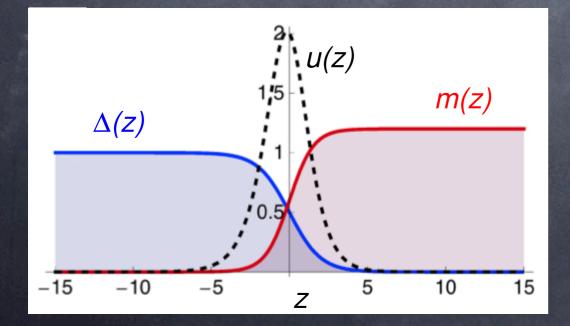
$$\mathcal{H}_{k} = \begin{pmatrix} h_{k} & \Delta_{k} \\ -\Delta_{-k}^{*} & -h_{-k}^{*} \end{pmatrix}$$

Explicit solution for the Majorana zero mode

Bogoliubov-de Gennes Hamiltonian

$$\mathcal{H}_{k} = \begin{pmatrix} h_{k} & \Delta_{k} \\ -\Delta_{-k}^{*} & -h_{-k}^{*} \end{pmatrix}$$

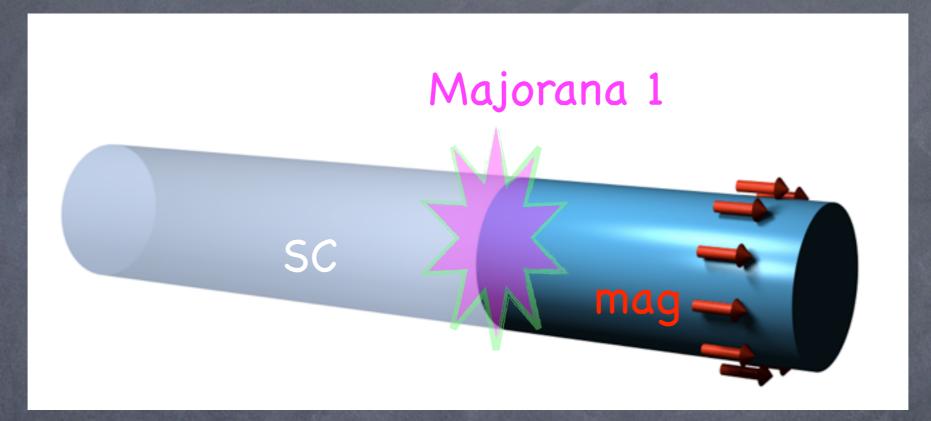
 $\mathcal{H} = \tau_3 [-\sigma_2 i \partial_z + \sigma_3 m(z)] - \tau_2 \sigma_2 \Delta(z)$

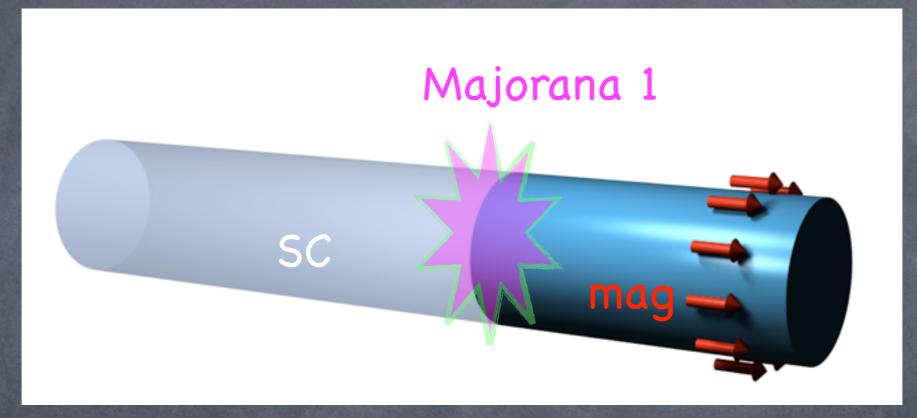


Majorana bound state

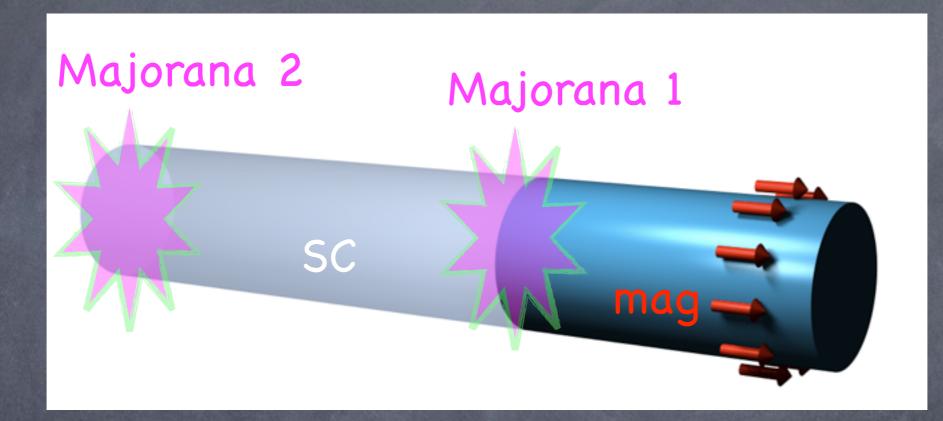
$$\Psi_0(z) = \begin{pmatrix} 1 \\ -1 \\ 1 \\ -1 \end{pmatrix} u_0 \exp \int_0^z dz' [\Delta(z') - m(z')]$$



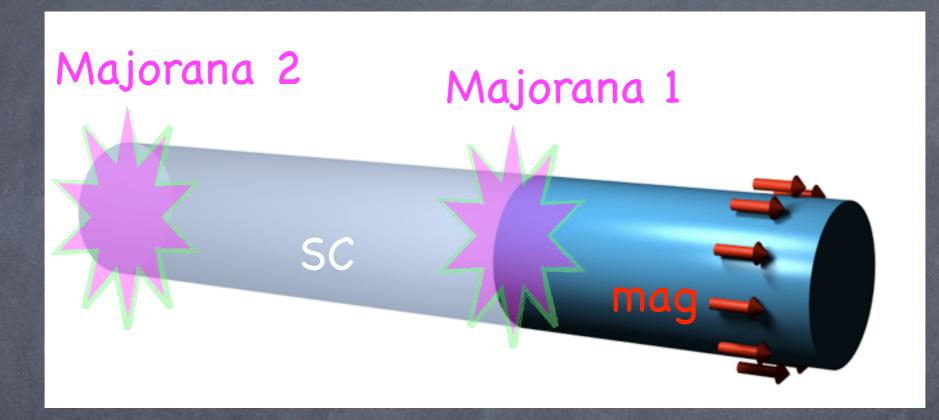




Where is the second Majorana?



Where is the second Majorana?

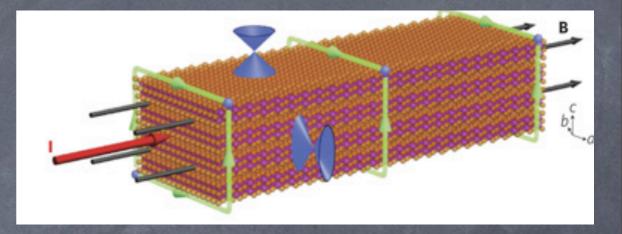


Where is the second Majorana?

Majorana fermion existence at the ends of a SC wire is independent of local details

Lattice model – numerical results

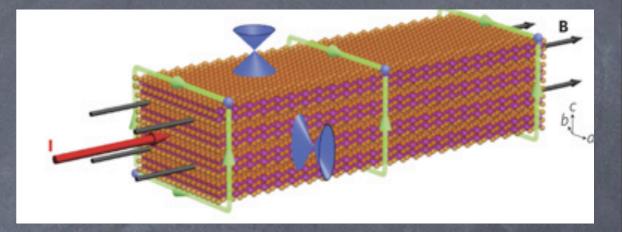
Exact numerical diagonalization for a Bi₂Se₃ model regularized on a simple cubic lattice in a wire geometry: [L. Fu and E. Berg, PRL 105, 047001 (2010]



 $h_{\mathbf{k}} = M_{\mathbf{k}}\eta_1 + \lambda\eta_3(\sigma_2 \sin k_x - \sigma_1 \sin k_y) + \lambda_z\eta_2 \sin k_z,$ $M_{\mathbf{k}} = \epsilon - 2t \sum_{\alpha} \cos k_\alpha$

Lattice model – numerical results

Exact numerical diagonalization for a Bi₂Se₃ model regularized on a simple cubic lattice in a wire geometry: [L. Fu and E. Berg, PRL 105, 047001 (2010]



 $h_{\mathbf{k}} = M_{\mathbf{k}}\eta_1 + \lambda\eta_3(\sigma_2 \sin k_x - \sigma_1 \sin k_y) + \lambda_z\eta_2 \sin k_z,$ $M_{\mathbf{k}} = \epsilon - 2t \sum_{\alpha} \cos k_{\alpha}$

For $2t < \epsilon < 6t$ this model describes strong TI in Z₂ class (1;000)

Include magnetic field by Peierls substitution and Zeemann coupling

$$t_{ij} \rightarrow t_{ij} \exp\left[\frac{2\pi i}{\Phi_0} \int_i^j \mathbf{A} \cdot d\mathbf{l}\right]$$
$$H_Z = -g\mu_B \mathbf{B} \cdot \sigma$$

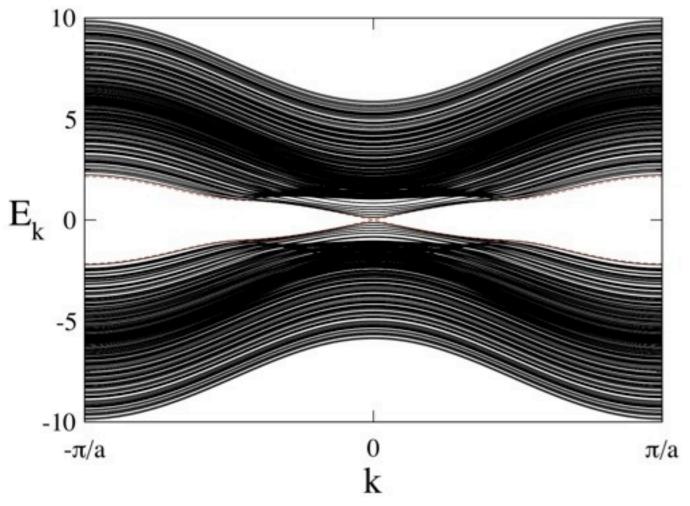
Include magnetic field by Peierls substitution and Zeemann coupling

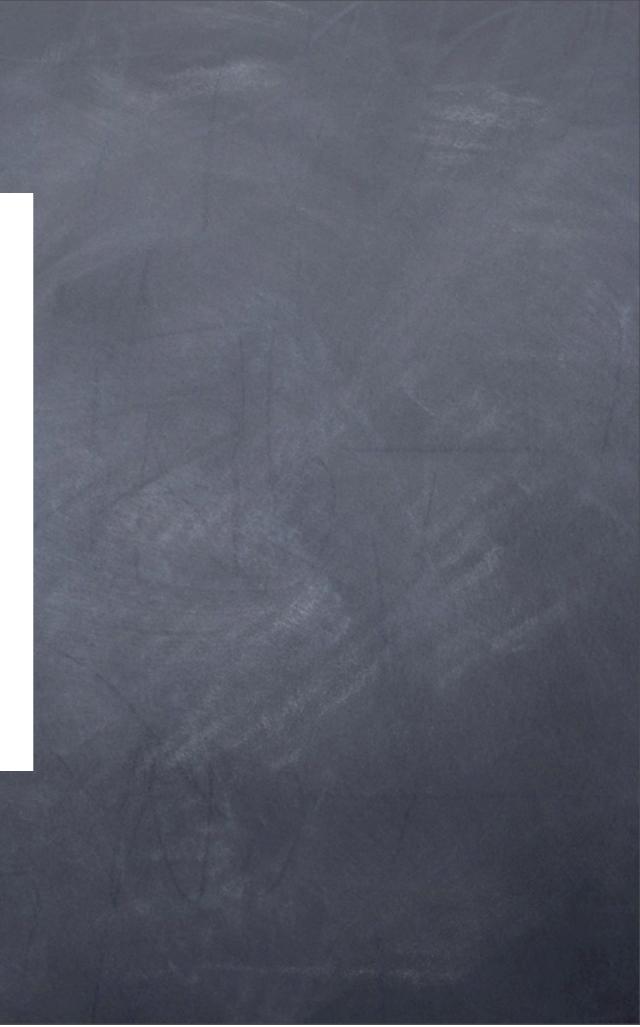
 $t_{ij} \rightarrow t_{ij} \exp\left[\frac{2\pi i}{\Phi_0} \int_i^j \mathbf{A} \cdot d\mathbf{l}\right]$ $H_Z = -g\mu_B \mathbf{B} \cdot \sigma$

Solve by exact numerical diagonalization and sparse matrix techniques

20x20 wire, infinite length, normal state

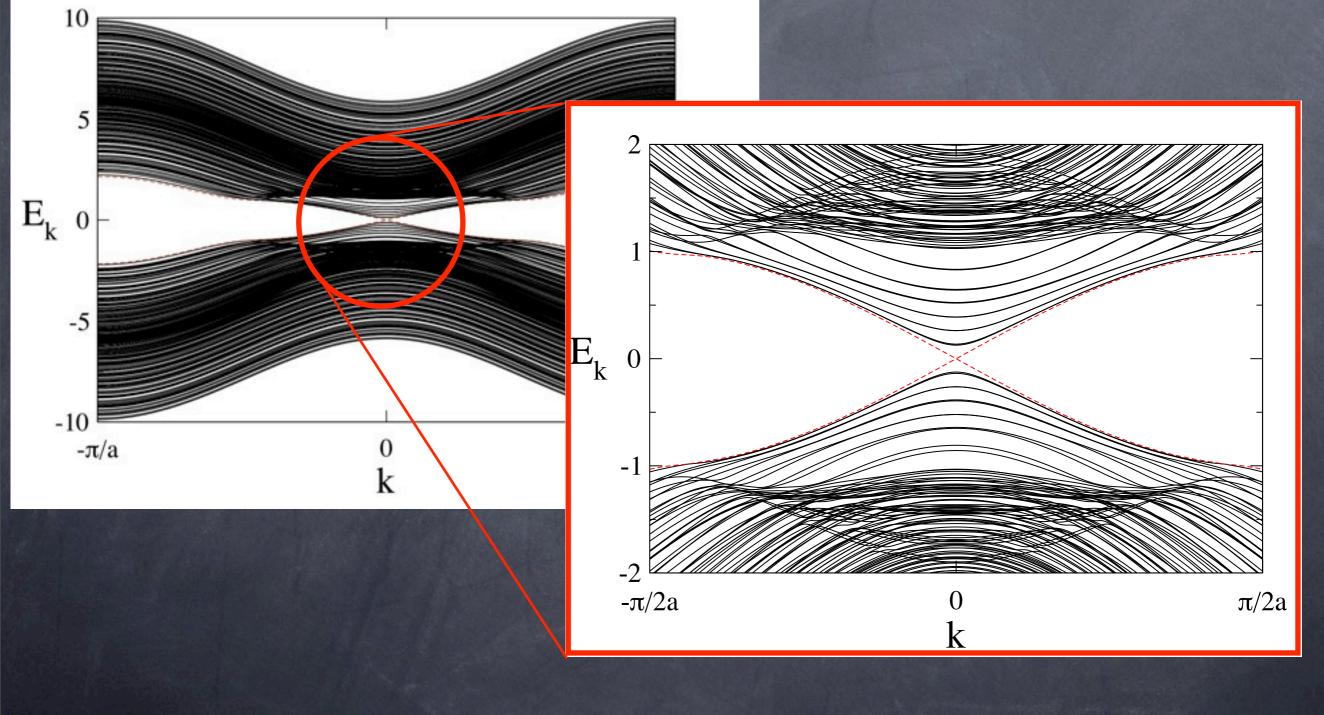
 $\eta = 1/2$





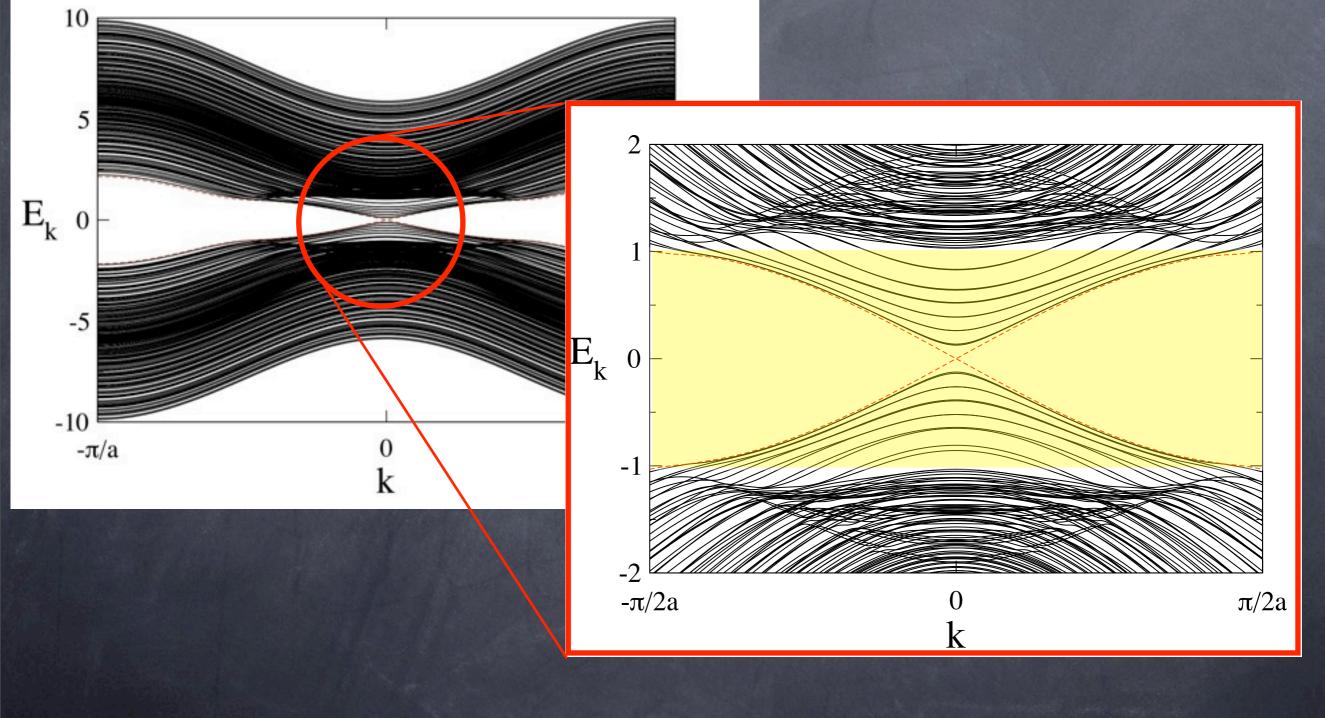
20x20 wire, infinite length, normal state

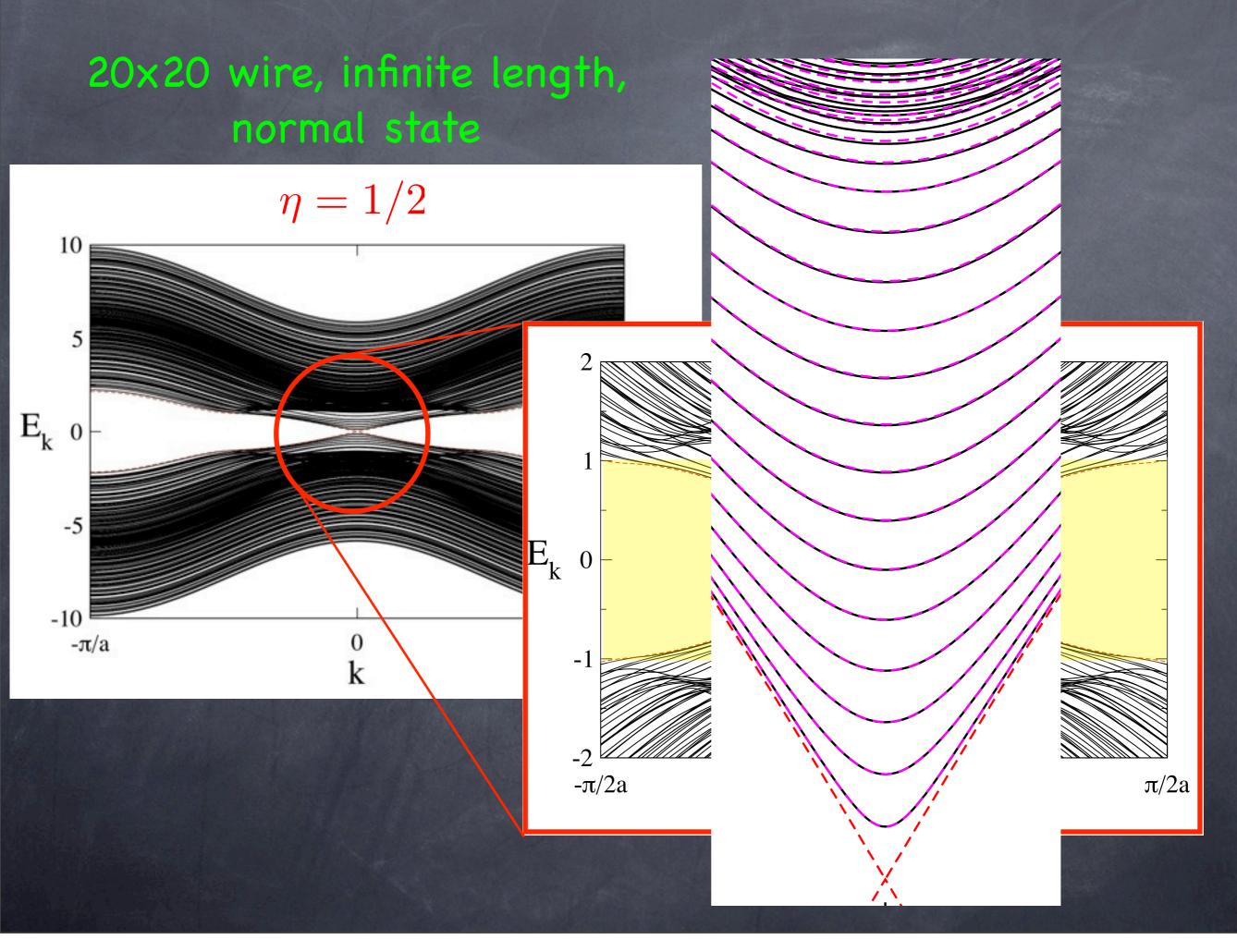
 $\eta = 1/2$



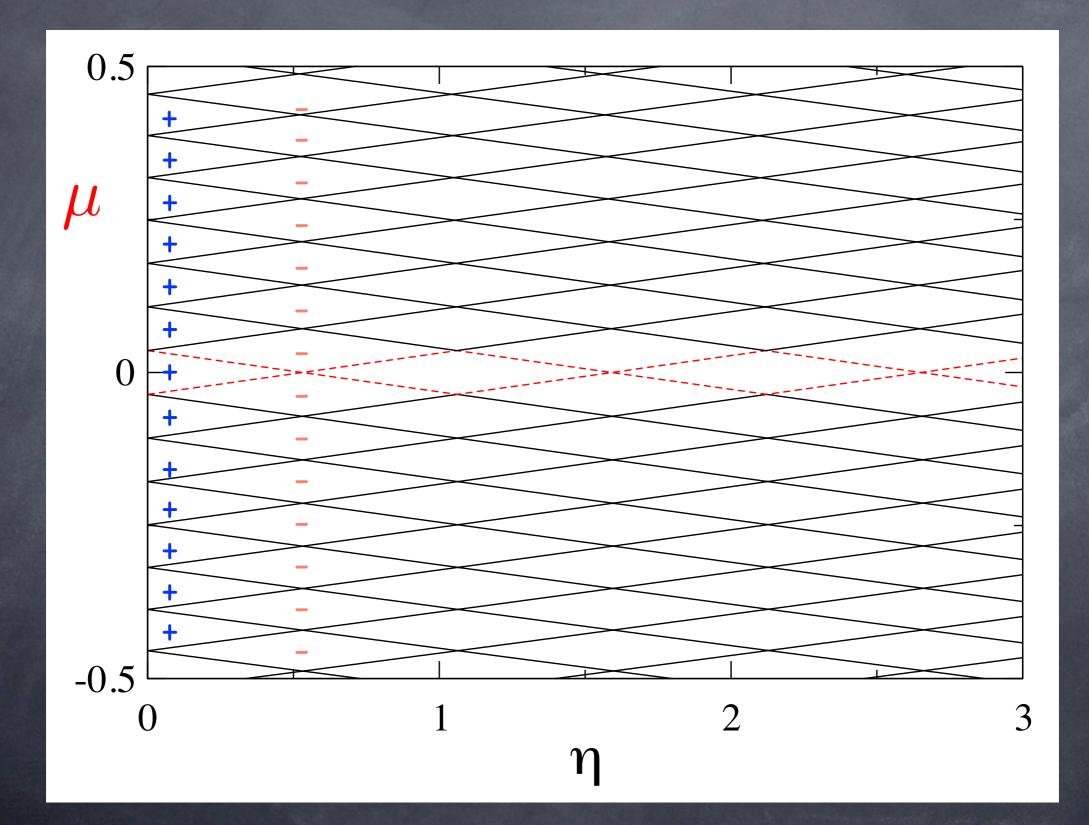
20x20 wire, infinite length, normal state

 $\eta = 1/2$

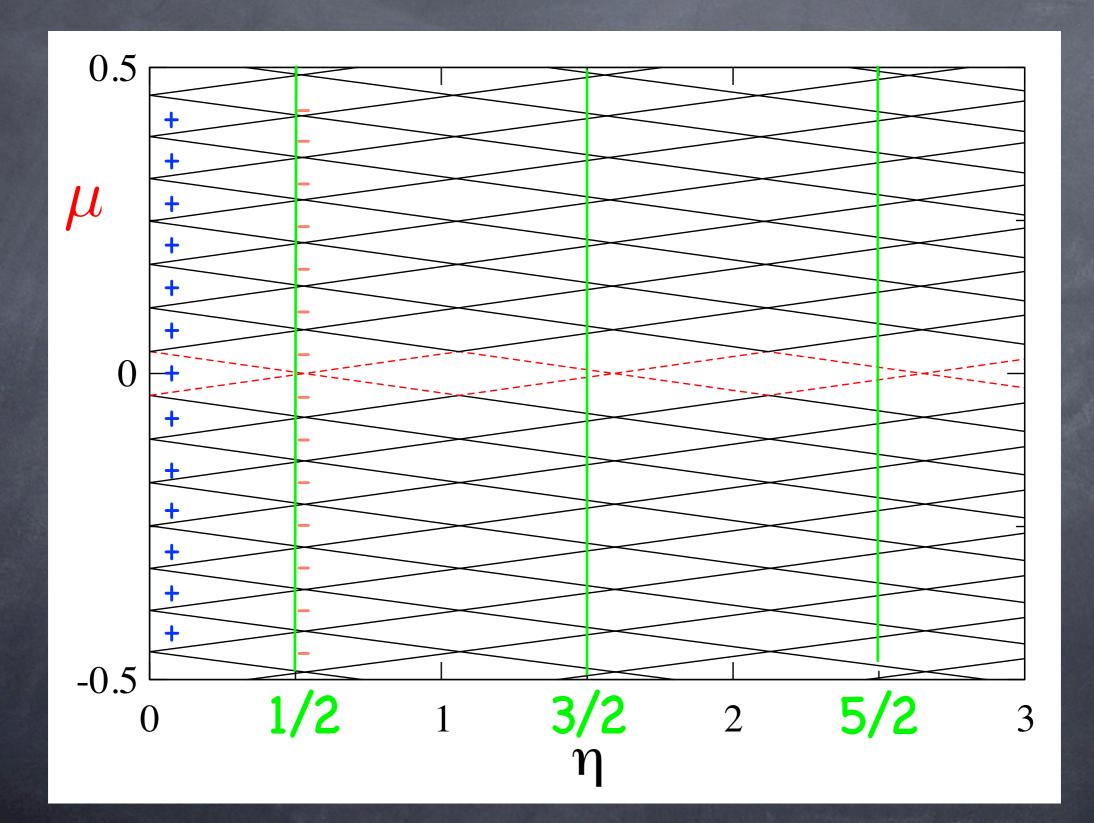


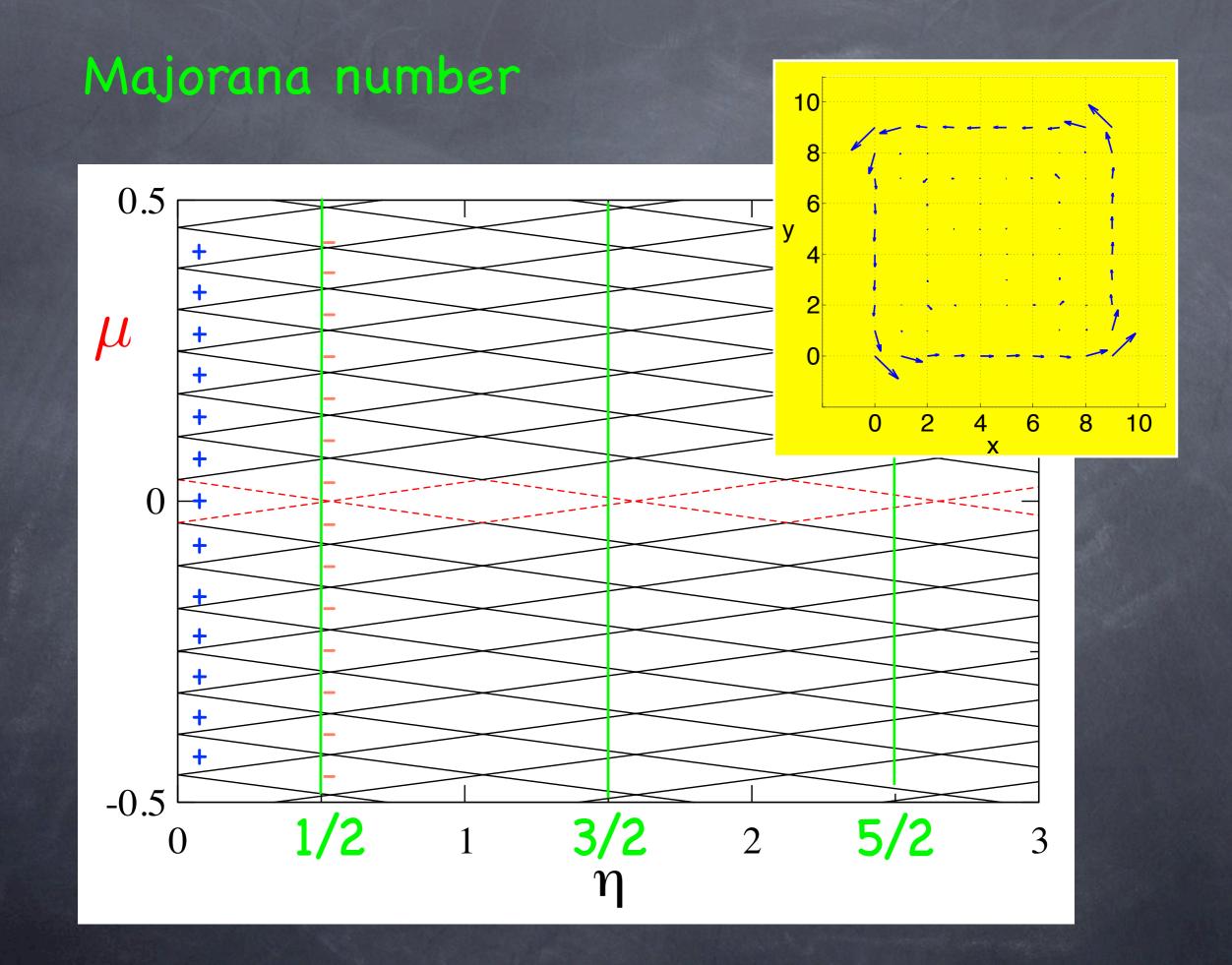


Majorana number

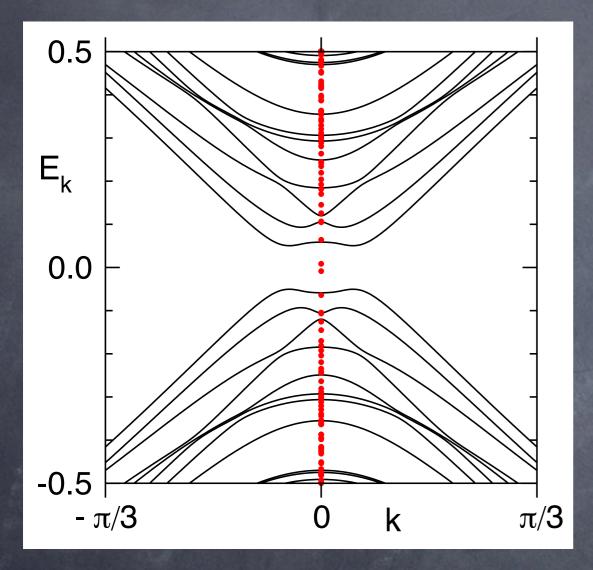


Majorana number

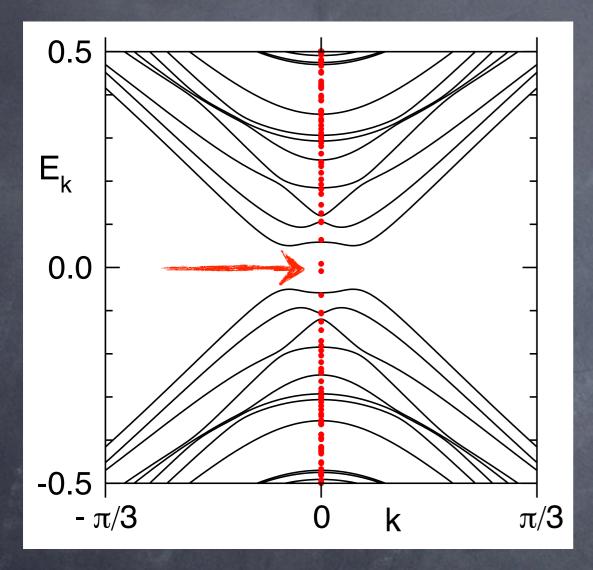




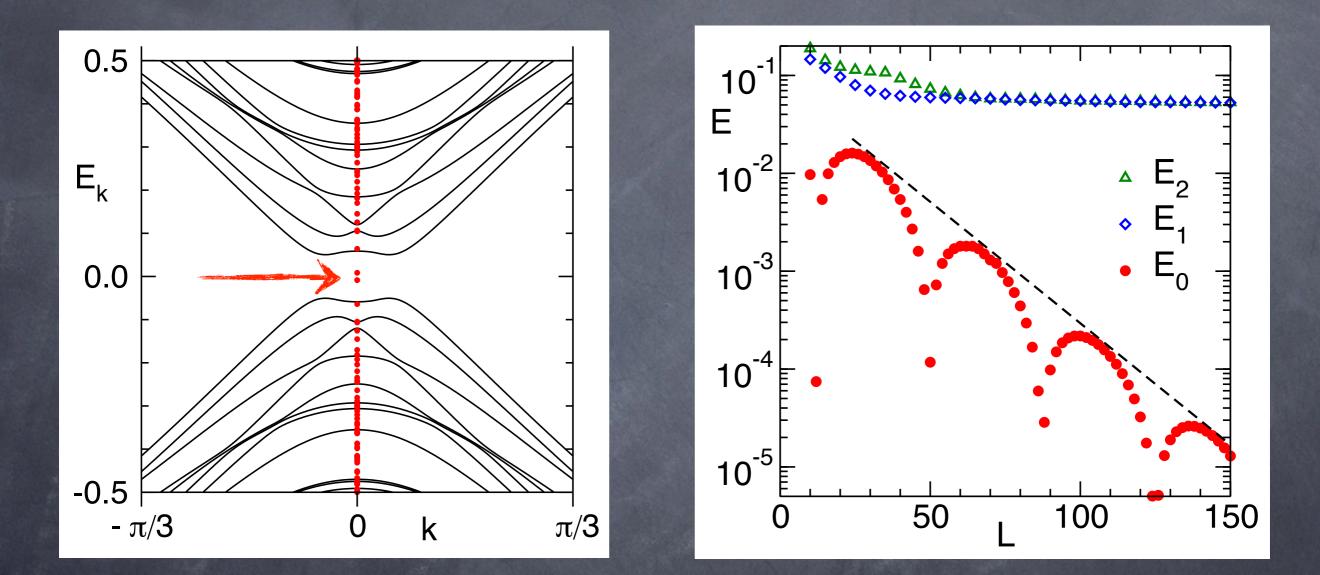
Superconducting state



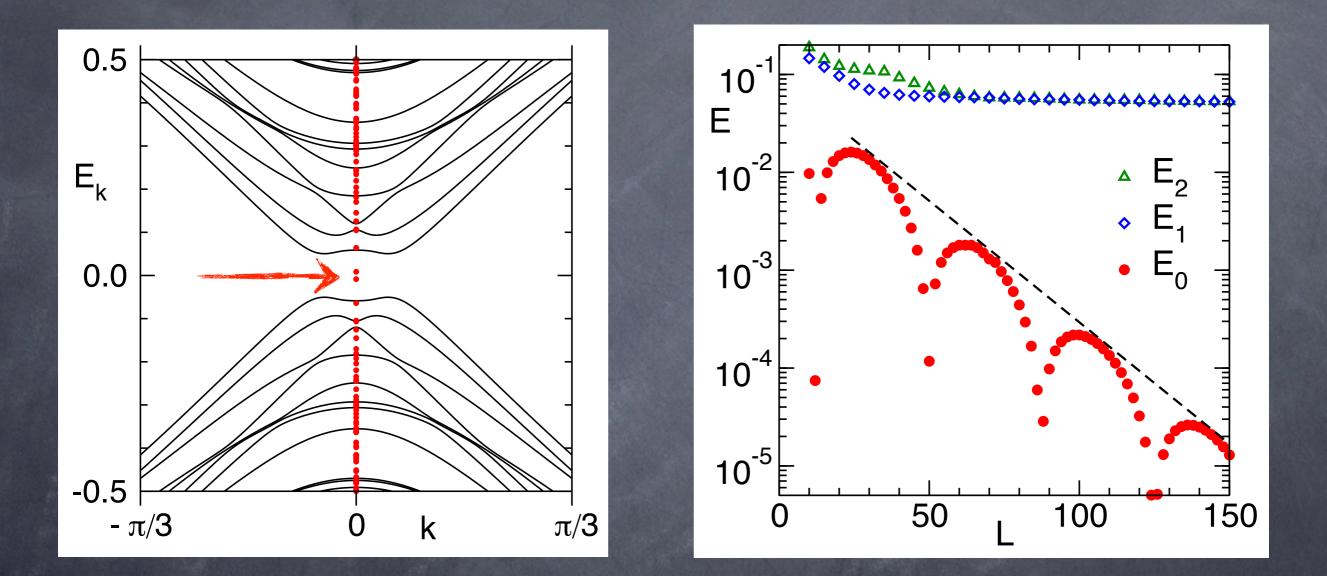
Superconducting state



Superconducting state

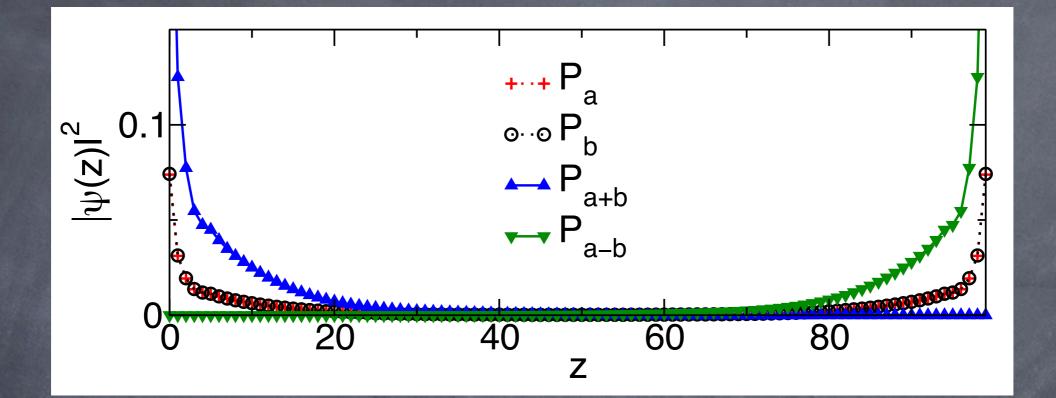


Superconducting state

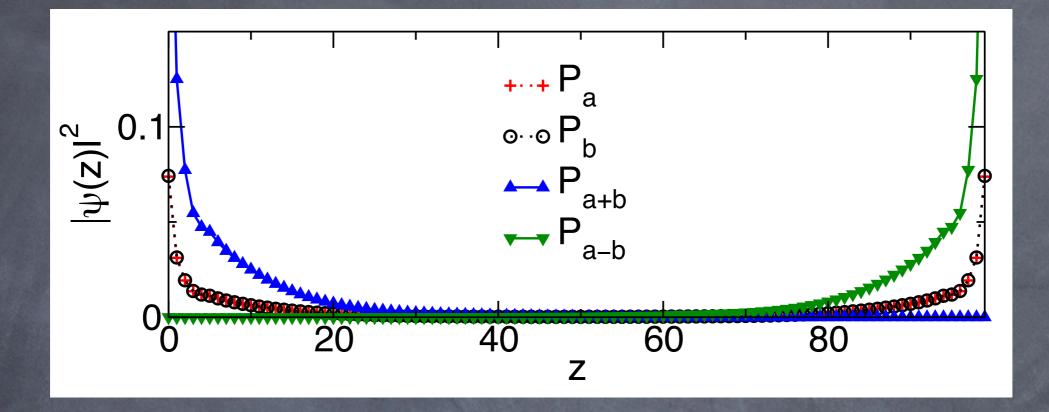


For large wire length L we observe isolated energy eigenvalue exponetially approaching zero.

Zero-mode eigenfunctions are localized near wire ends

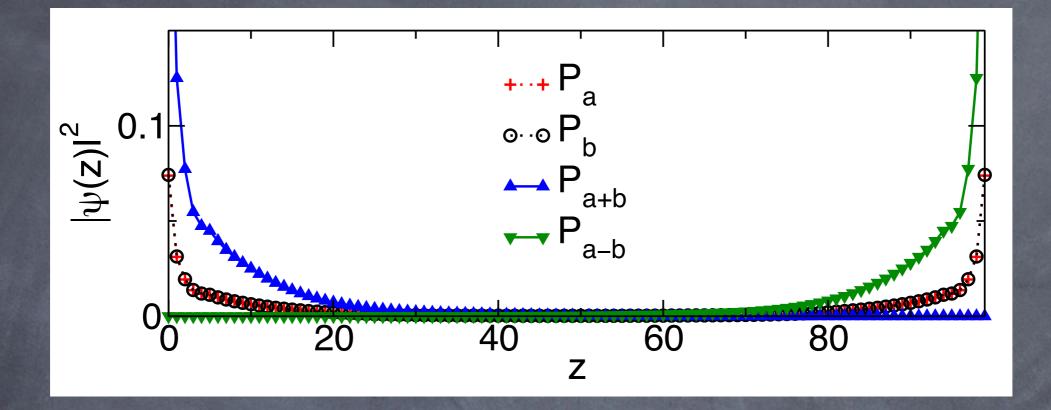


Zero-mode eigenfunctions are localized near wire ends



... and satisfy the Majorana condition $\psi^{\dagger} = \psi$ (up to exponentially small corrections in L)

Zero-mode eigenfunctions are localized near wire ends



... and satisfy the Majorana condition $\psi^{\dagger} = \psi$ (up to exponentially small corrections in L)

Near-zero modes found in numerical calculation provide strong evidence for the expected Majorana end states

Effects of disorder

Robustness of SC gap with respect to nonmagnetic disorder: expect on the basis of Anderson's theorem

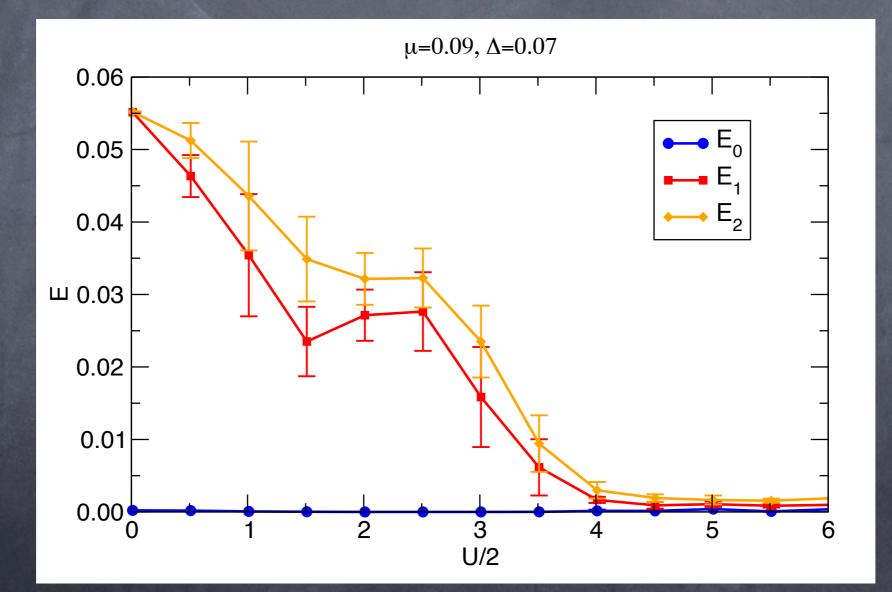
Robustness of Majorana end states with respect to disorder

Study on-site disorder described by Hamiltonian

 $H_{\rm dis} = H_0 + \sum U_i c_{i\alpha}^{\dagger} c_{i\alpha}, \qquad U_i \in (-U/2, U/2)$ $i \alpha$

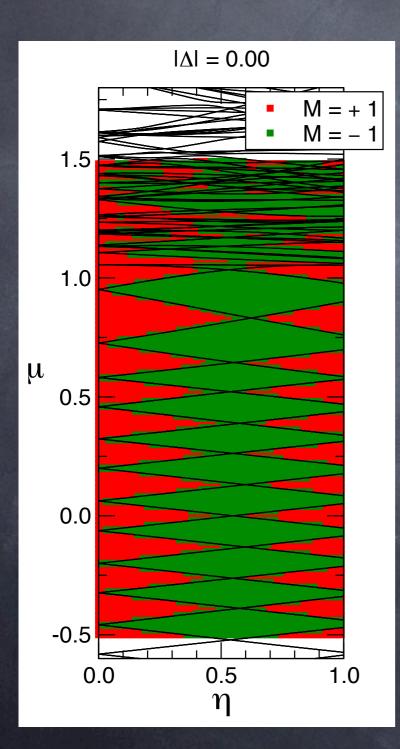
Study on-site disorder described by Hamiltonian



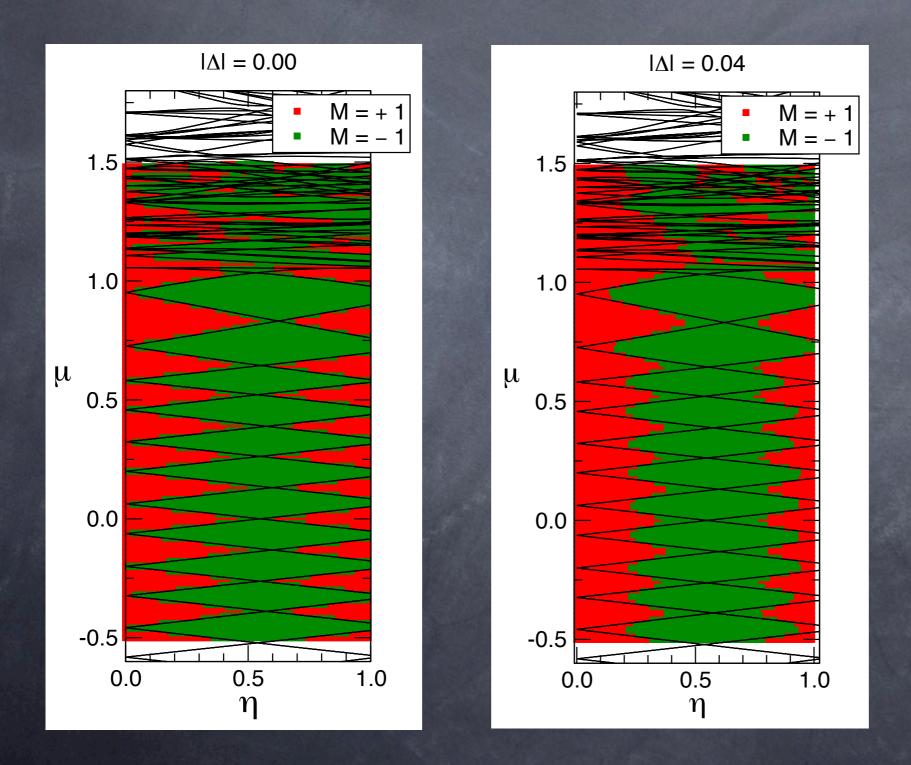


Seneral form of the Majorana number: $\mathcal{M}(H) = \operatorname{sgn}[\operatorname{Pf}(\tilde{H}(k=0))\operatorname{Pf}(\tilde{H}(k=\pi))]$

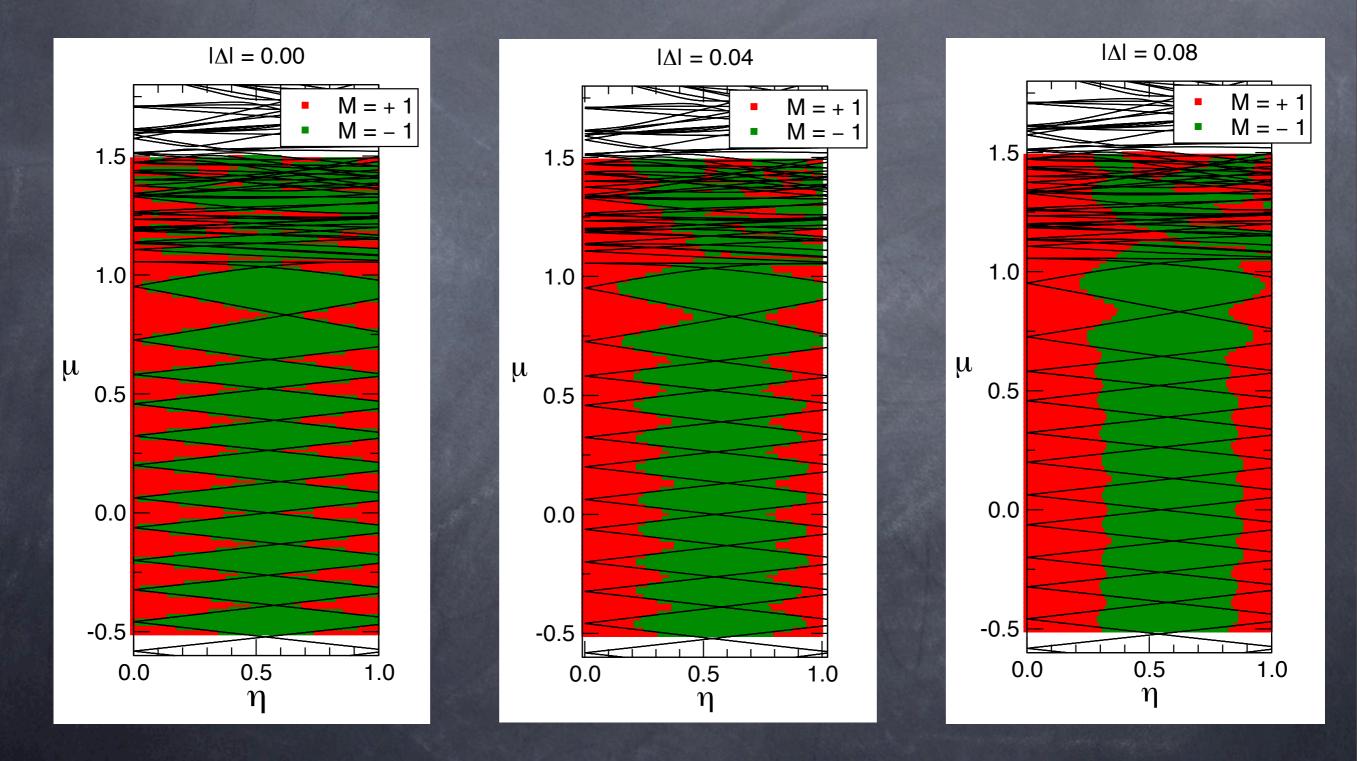
General form of the Majorana number: $\mathcal{M}(H) = \operatorname{sgn}[\operatorname{Pf}(\tilde{H}(k=0))\operatorname{Pf}(\tilde{H}(k=\pi))]$



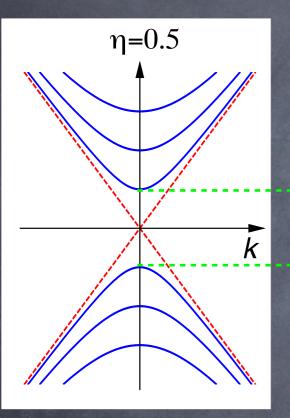
General form of the Majorana number: $\mathcal{M}(H) = \operatorname{sgn}[\operatorname{Pf}(\tilde{H}(k=0))\operatorname{Pf}(\tilde{H}(k=\pi))]$



General form of the Majorana number:
 $\mathcal{M}(H) = \operatorname{sgn}[\operatorname{Pf}(\tilde{H}(k=0))\operatorname{Pf}(\tilde{H}(k=\pi))]$



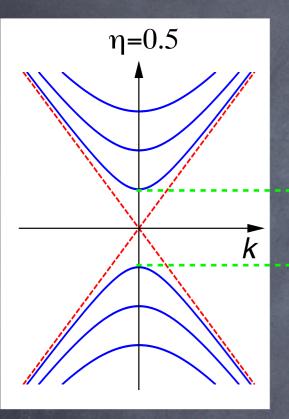
Experimental considerations



The existing Bi_2Se_3 nanoribbons have typical cross section area $S \approx 6 \times 10^{-15} m^2$

 $\delta E_S \simeq 2v\hbar\sqrt{\pi/S} \simeq 14 \mathrm{meV}$

Experimental considerations

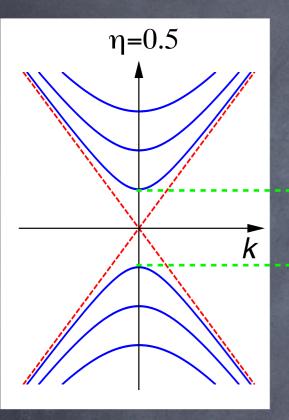


The existing Bi₂Se₃ nanoribbons have typical cross section area $S \approx 6 \times 10^{-15} \text{m}^2$

$\delta E_S \simeq 2v\hbar\sqrt{\pi/S} \simeq 14 \mathrm{meV}$

The field needed to generate half flux quantum $B = \Phi_0/2S \simeq 0.34 \mathrm{T}$

Experimental considerations



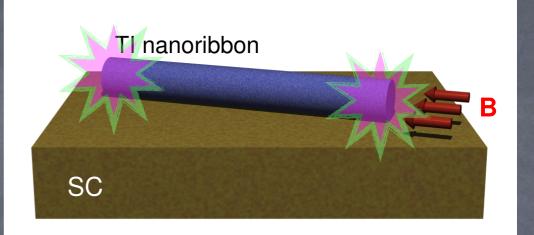
The existing Bi_2Se_3 nanoribbons have typical cross section area $S \approx 6 \times 10^{-15} m^2$

 $\delta E_S \simeq 2v\hbar\sqrt{\pi/S} \simeq 14 \mathrm{meV}$

The field needed to generate half flux quantum $B = \Phi_0/2S \simeq 0.34 \mathrm{T}$

The Zeemann energy scale $\delta E_Z \simeq g \pi \hbar^2/2m_e S \simeq 0.6 {
m meV}$ is negligible.

Conclusions



- The proposed device, a TI nanoribbon proximitycoupled to an ordinary superconductor, hosts Majorana end states under wide range of conditions.
- Relevant energy scales are about order of magnitude larger than in Rashba-coupled semicond wires and no significant fine-tuning is required
- At half flux quantum the bulk SC gap is protected by time-reversal symmetry, Majorana modes remarkably stable against non-magnetic disorder