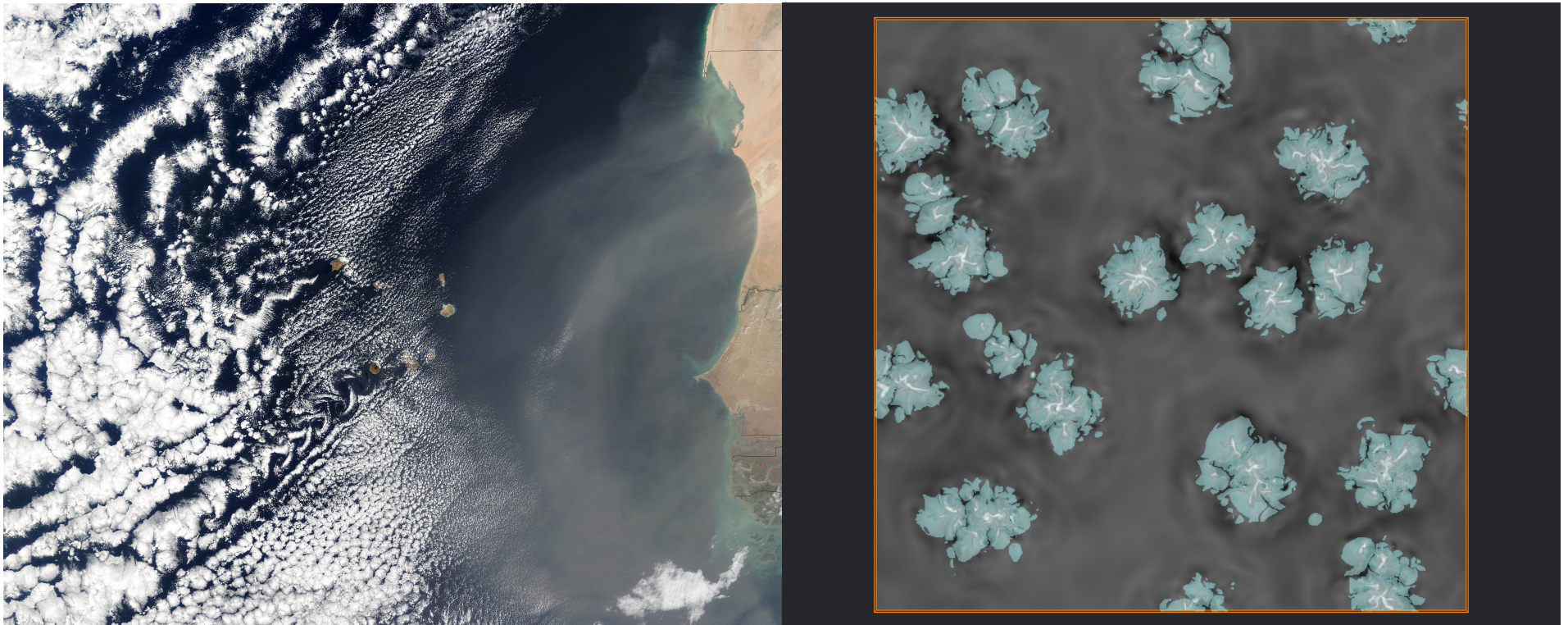


# Conditionally unstable moist convection

Thomas Weidauer<sup>1</sup>, Olivier Pauluis<sup>2</sup> & Jörg Schumacher<sup>1</sup>

<sup>1</sup>Institute of Thermodynamics and Fluid Mechanics, TU Ilmenau, Germany

<sup>2</sup>Center for Atmospheric and Ocean Science, Courant Institute for Mathematical Sciences, NYU, USA



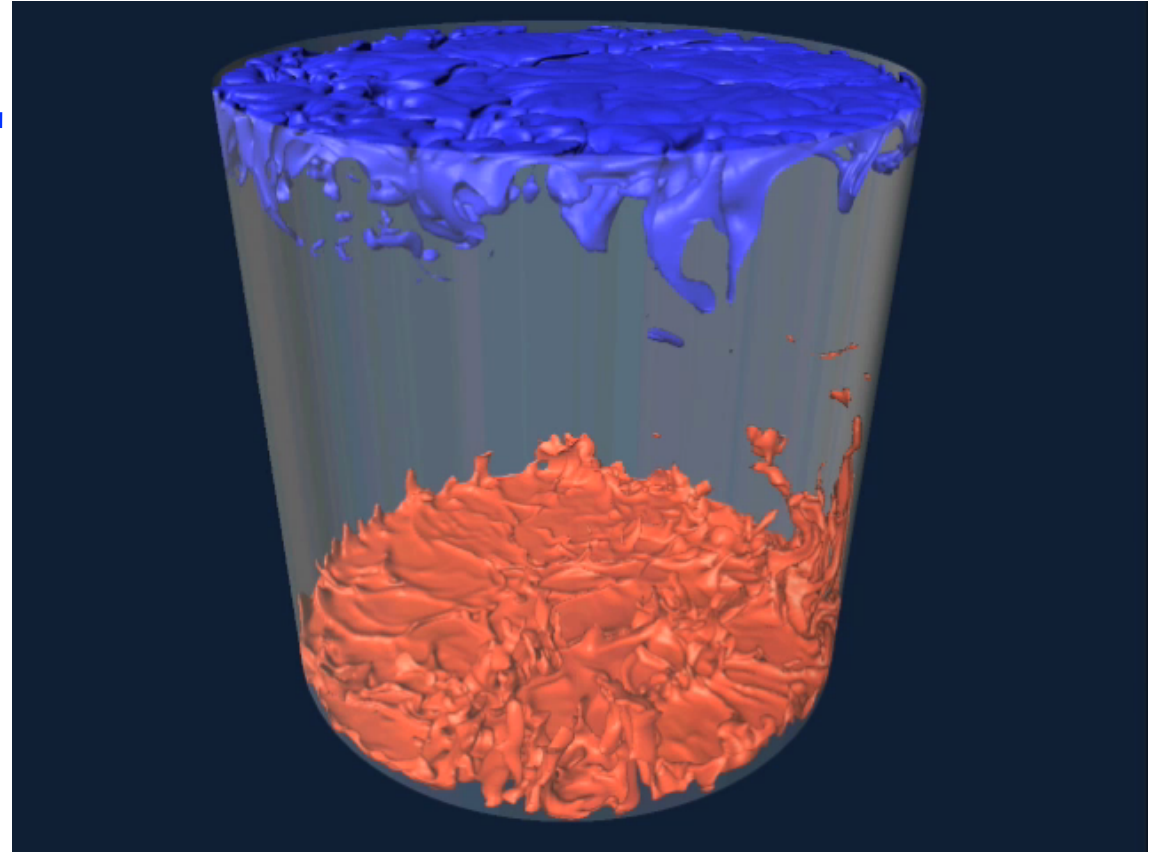
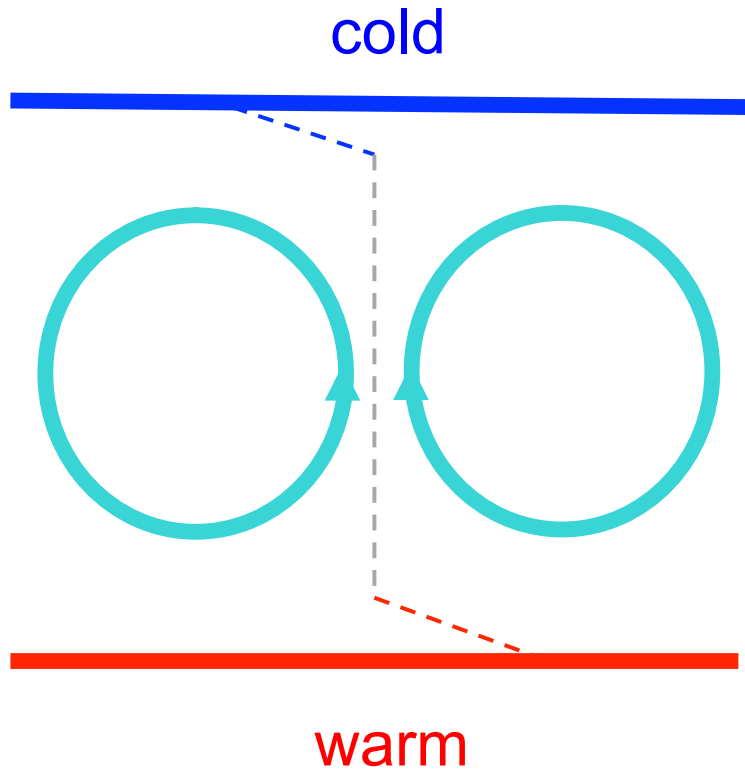
# Outline

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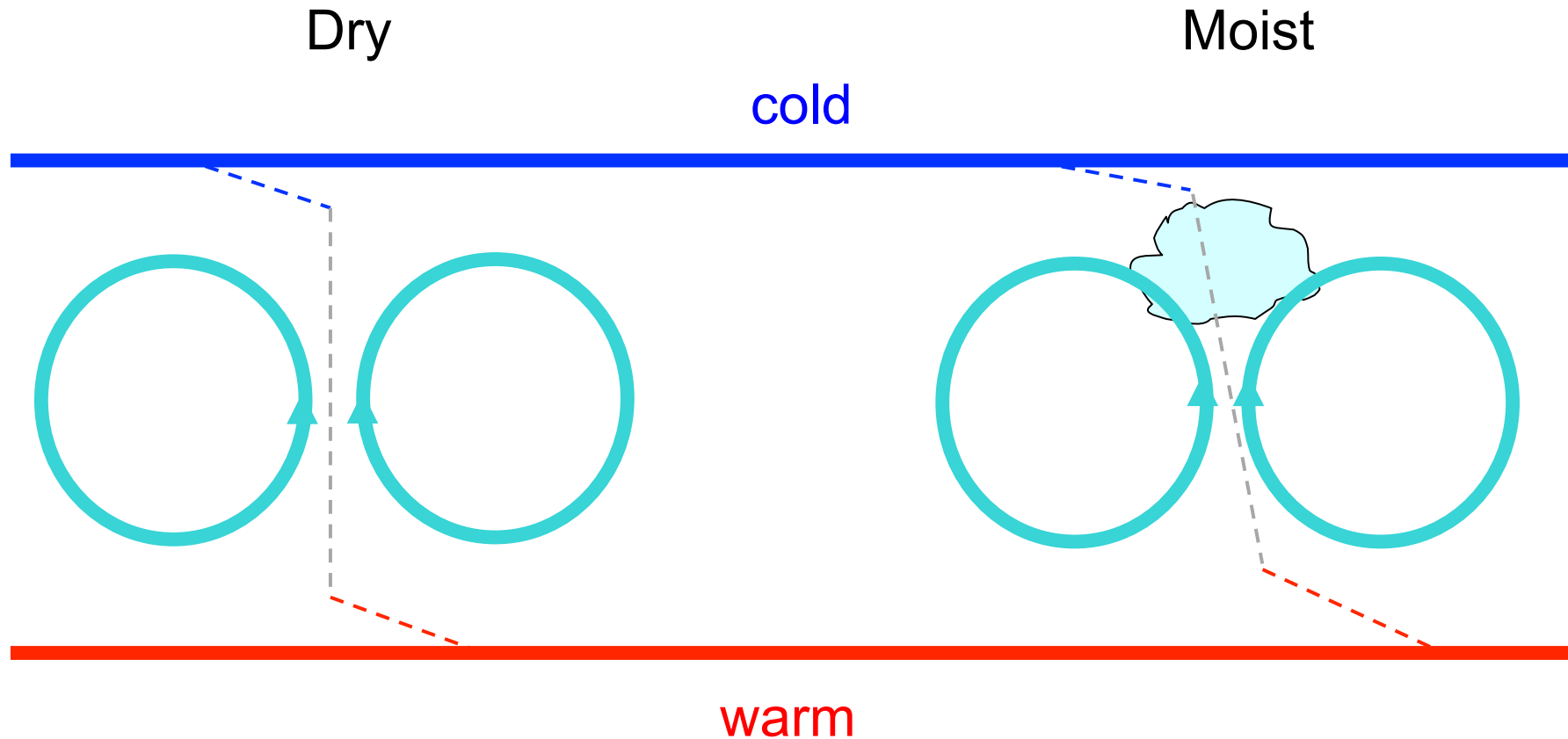
- Motivation
- Moist convection model
- **Linearly unstable case:** Buoyancy flux and cloud patterns
- **Conditionally unstable case:** Transition & aggregation
- Summary & outlook

# Rayleigh-Bénard convection

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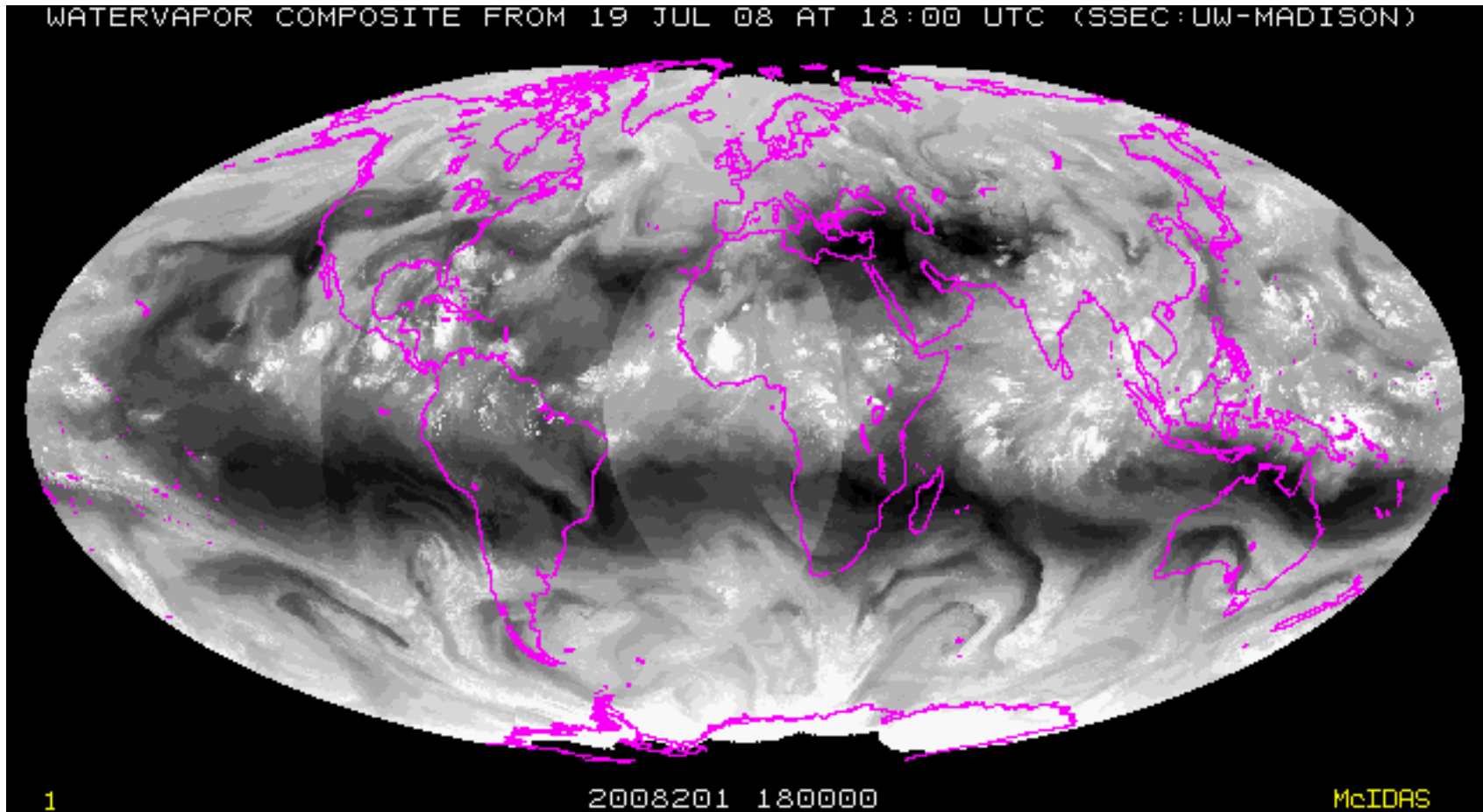
# Dry and moist convection



Warm air rises, expands and its temperature drops. The amount of vapour contained in an air parcel decreases with temperature.

# Atmospheric turbulence

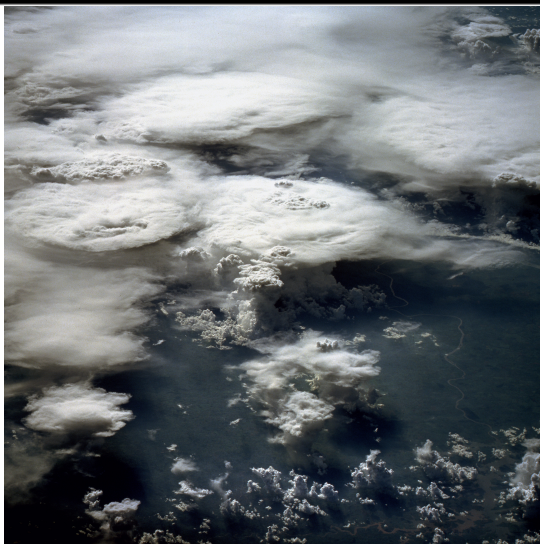
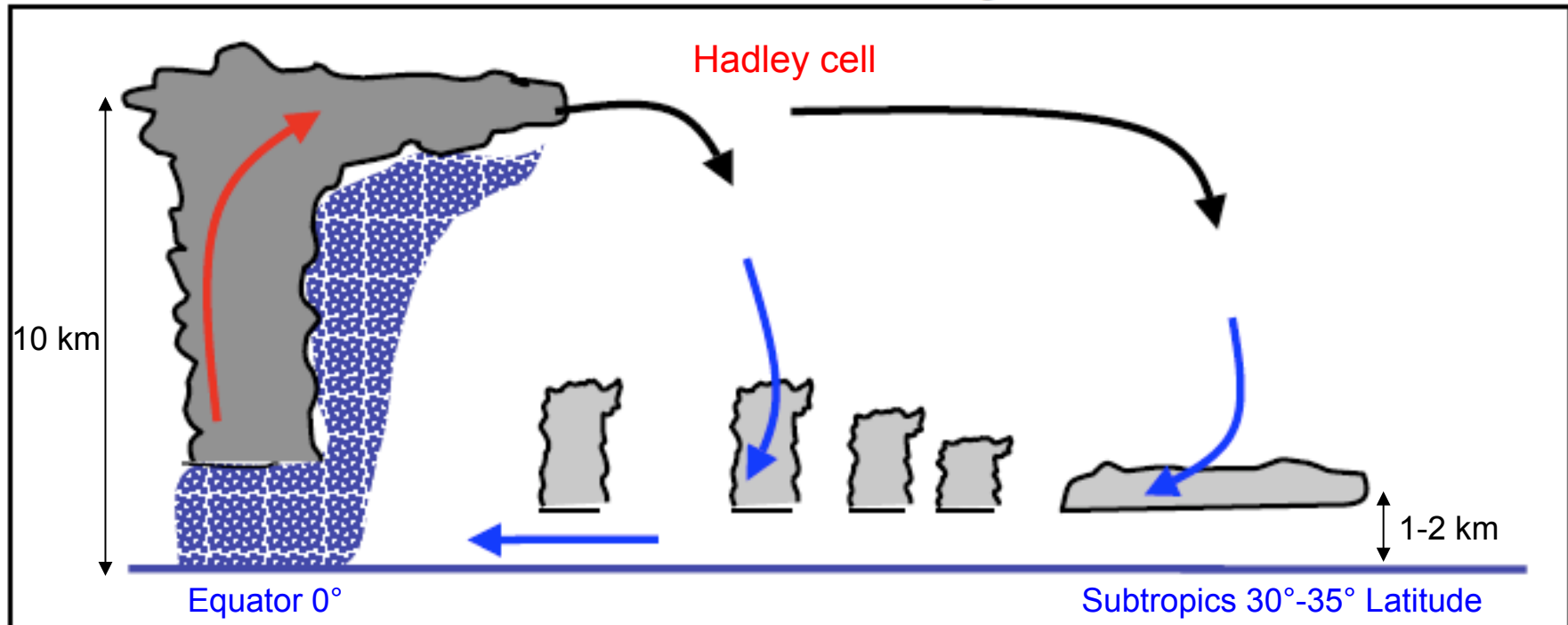
*IPCC Report 2007*



Clouds add the biggest uncertainties to more reliable prognoses of  
climate change

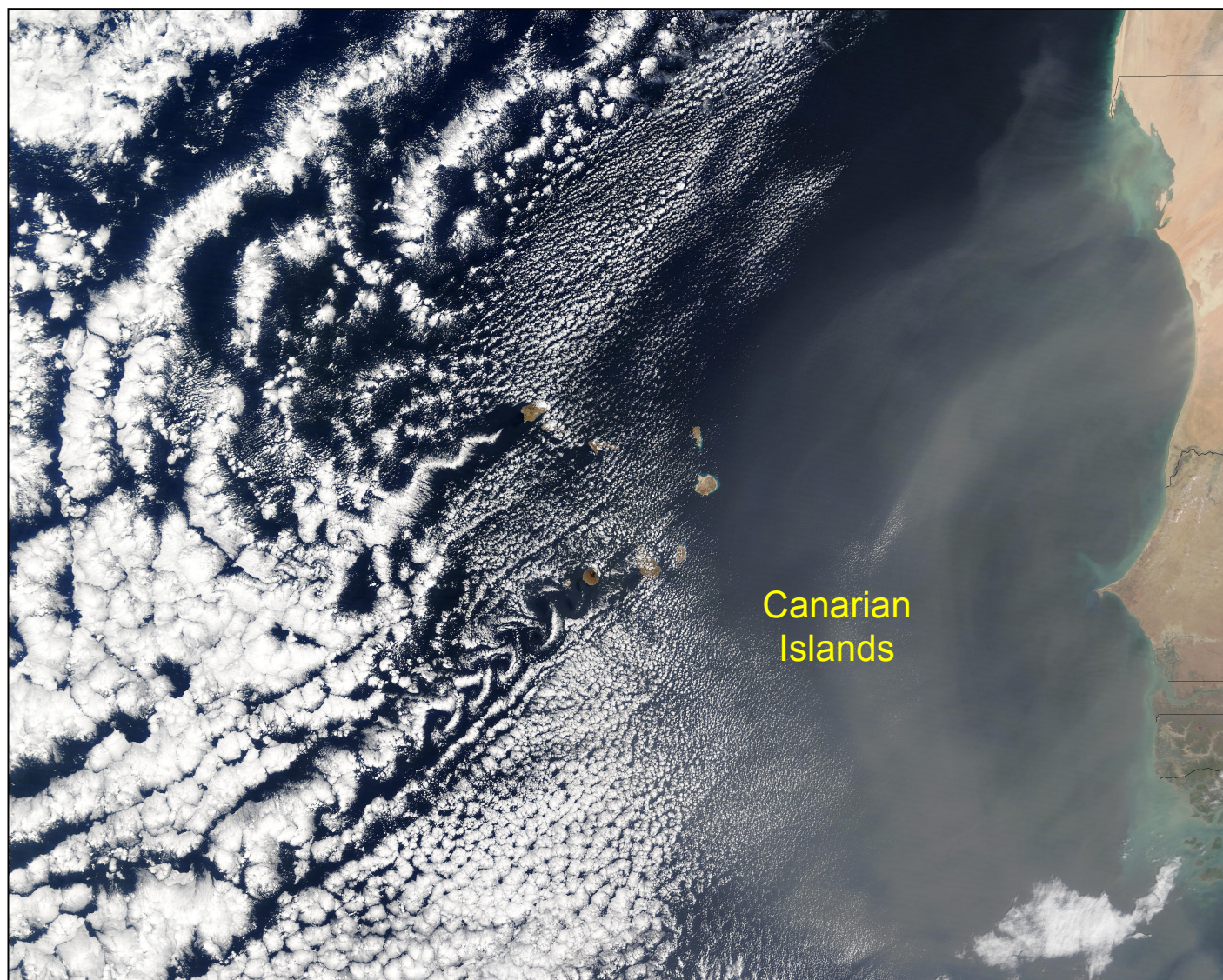
Fast (<1 hour) & Small-scale (<1 km)

# Deep and shallow convection



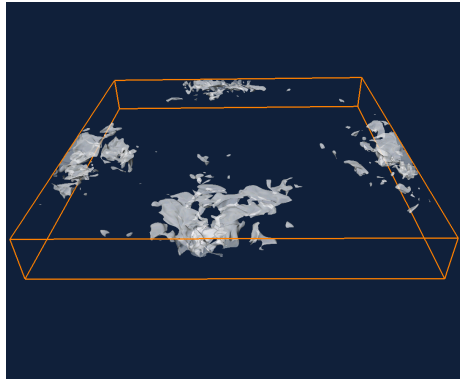
# Shallow maritime clouds in the subtropics

*Stevens & Brenguier, in Clouds in the perturbed climate system, MIT Press 2009*



- Low clouds up to heights  $H < 2\text{km}$
- No rain with  $n_d = 75\text{-}200\text{ cm}^{-3}$
- Warm low clouds can emit more IR than cold high ones
- 2-3% increase of cloud fraction results in  $1\text{ Wm}^{-2}$  change in net solar radiation
- Total incoming solar radiation is  $342\text{ Wm}^{-2}$

# How to study cloud formation?



Reduced complexity

No turbulence modeling

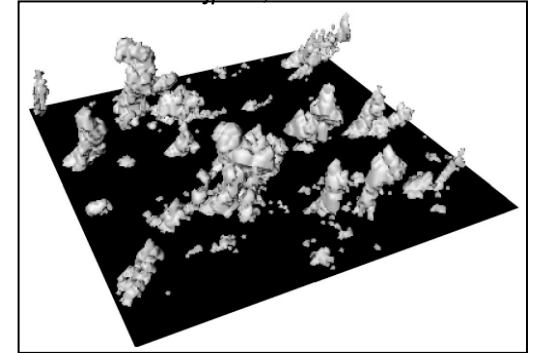
All scales resolved

Method: DNS

$Ra \sim 10^8$      $A \leq 64$



*Siebesma & Cuijpers, J. Atmos. Sci. 1995*



Full complexity

Turbulence modeling,  
cloud parametrizations

Fine scales not resolved

Methods: LES, RANS

$Ra \sim 10^{15}$      $A = 10 - 10^3$



Study moist Rayleigh-Bénard convection in shallow layers



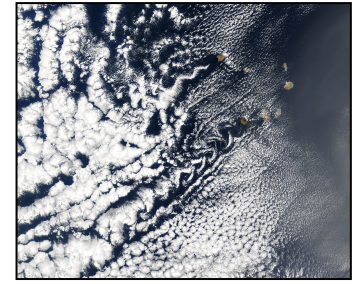
# Approximations

Bretherton, *J. Atmos. Sci.* 1987; Pauluis & JS, *Comm. Math. Sci.* 2010

$$\rho(S, p, q_v, q_l, q_i) \vec{g}$$



Warm clouds  $q_i = 0$  (maritime low clouds)  
Thermodynamic equilibrium (no rain)  
→ Total liquid water mixing ratio  $q_T = q_v + q_l$



$$\rho(S, p, q_T) \vec{g}$$



Boussinesq approximation (shallow convection,  $H < 2$  km)  
Buoyancy  $B = -g(\rho - \rho_0)/\rho_0$

$$B(S, q_T, z) \vec{e}_z$$



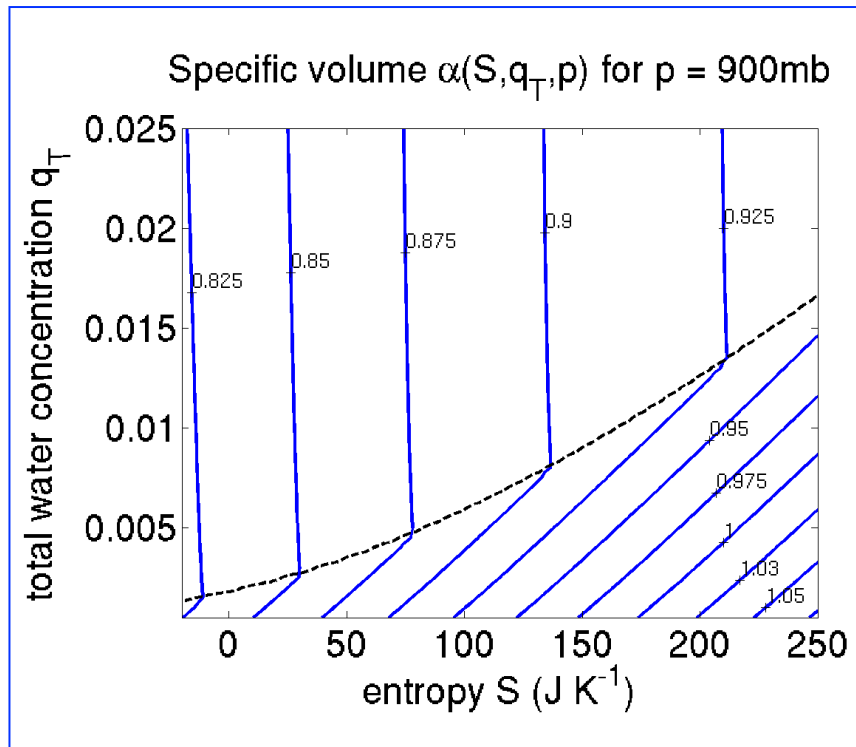
Piecewise linear thermodynamics on both sides of the phase boundary:  $(q_T, S) \rightarrow (D, M)$   
No supersaturation (heterogeneous nucleation)

$$B(D, M, z) \vec{e}_z$$

# Piecewise linear thermodynamics

Pauluis & JS, Comm. Math. Sci. 2010

$$B(S, q_T, z) \vec{e}_z$$



Linear expansion on both sides of phase boundary

$$\frac{\partial B}{\partial S} \Big|_{q_T, z} = \begin{cases} B_{S,u} & \text{if } q_T \leq q_{sat}(S, z) \\ B_{S,s} & \text{if } q_T > q_{sat}(S, z) \end{cases}$$

$$\frac{\partial B}{\partial q_T} \Big|_{S, z} = \begin{cases} B_{q_T,u} & \text{if } q_T \leq q_{sat}(S, z) \\ B_{q_T,s} & \text{if } q_T > q_{sat}(S, z) \end{cases}$$

Linear combination to new variables

$$D = B_{S,u}(S - S_{ref}) + B_{q_T,u}(q_T - q_{T,ref})$$

$$M = B_{S,s}(S - S_{ref}) + B_{q_T,s}(q_T - q_{T,ref})$$

# Shallow moist RB convection

$$\nabla \cdot \vec{u} = 0$$

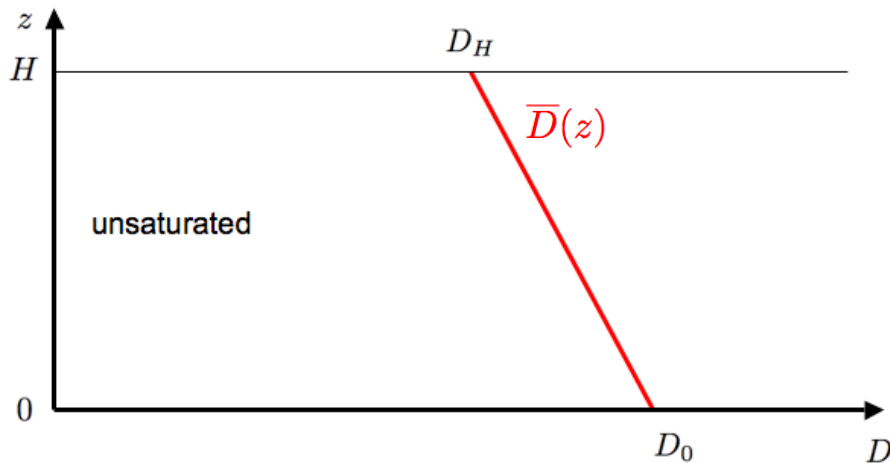
$$\frac{\partial \vec{u}}{\partial t} + (\vec{u} \cdot \nabla) \vec{u} = -\frac{1}{\rho_0} \nabla p + \nu \nabla^2 \vec{u} + B(D, M, z) \vec{e}_z$$

Dry RB convection



$$\frac{\partial D'}{\partial t} + (\vec{u} \cdot \nabla) D' = \kappa \nabla^2 D' + \frac{D_0 - D_H}{H} u_z$$

$$B(D) = D$$



# Shallow moist RB convection

$$\nabla \cdot \vec{u} = 0$$

$$\frac{\partial \vec{u}}{\partial t} + (\vec{u} \cdot \nabla) \vec{u} = -\frac{1}{\rho_0} \nabla p + \nu \nabla^2 \vec{u} + B(D, M, z) \vec{e}_z$$

RB convection

Moist RB convection

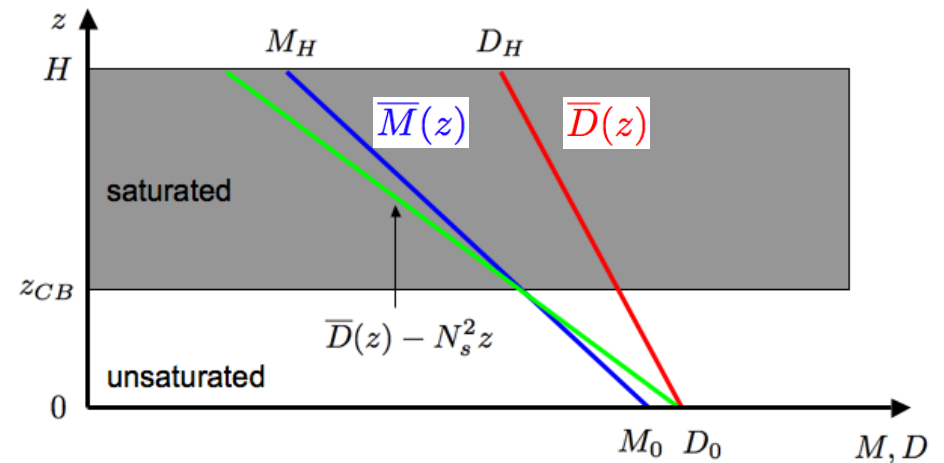
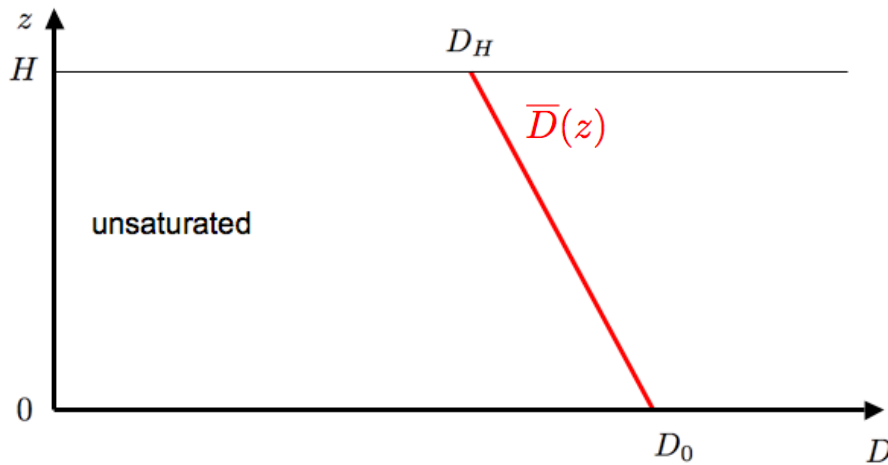
$$\frac{\partial D'}{\partial t} + (\vec{u} \cdot \nabla) D' = \kappa \nabla^2 D' + \frac{D_0 - D_H}{H} u_z$$

$$\frac{\partial D'}{\partial t} + (\vec{u} \cdot \nabla) D' = \kappa \nabla^2 D' + \frac{D_0 - D_H}{H} u_z$$

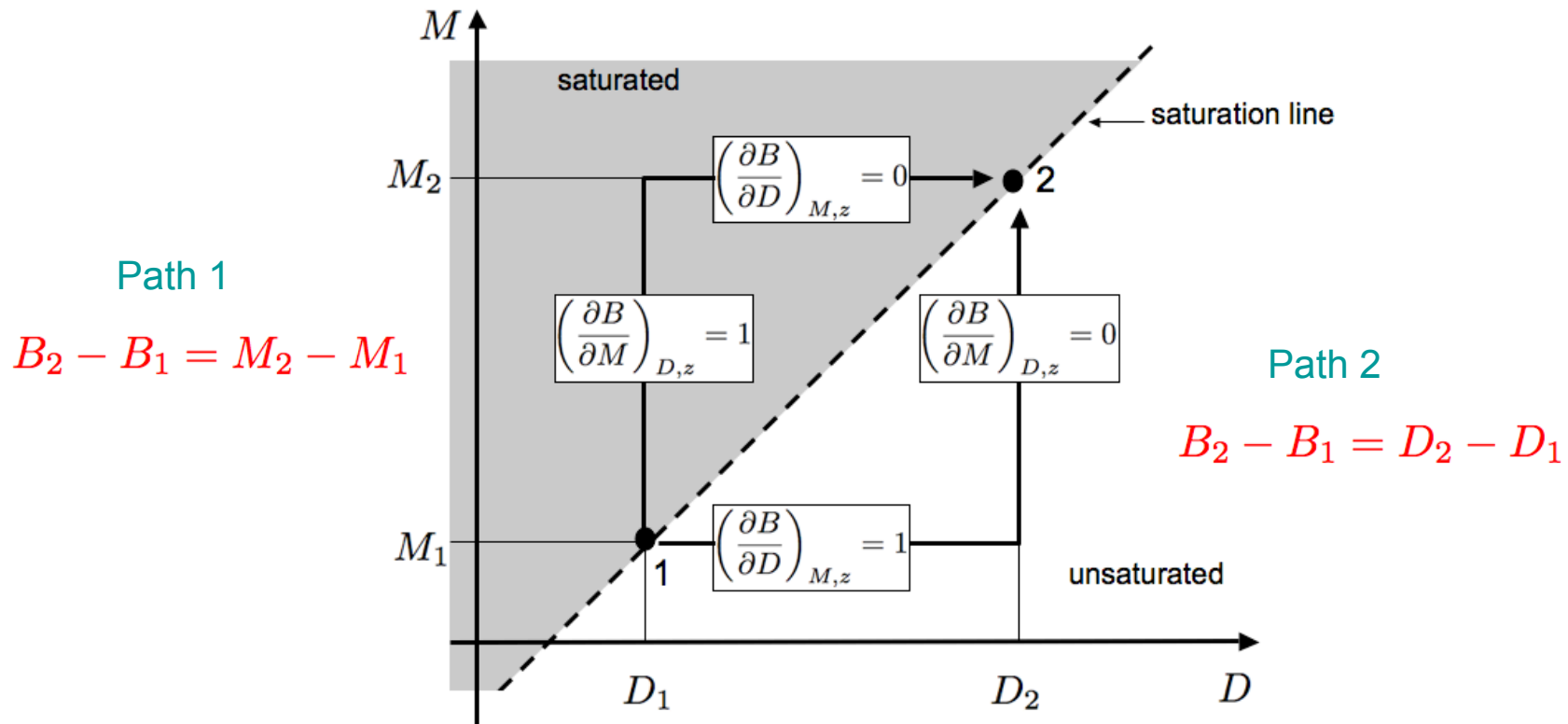
$$\frac{\partial M'}{\partial t} + (\vec{u} \cdot \nabla) M' = \kappa \nabla^2 M' + \frac{M_0 - M_H}{H} u_z$$

$$B(D) = D$$

$$B(D, M, z) = \max(M, D - N_s^2 z)$$



# Explicit saturation condition



Saturation condition  $F(M, D, z) \geq 0$

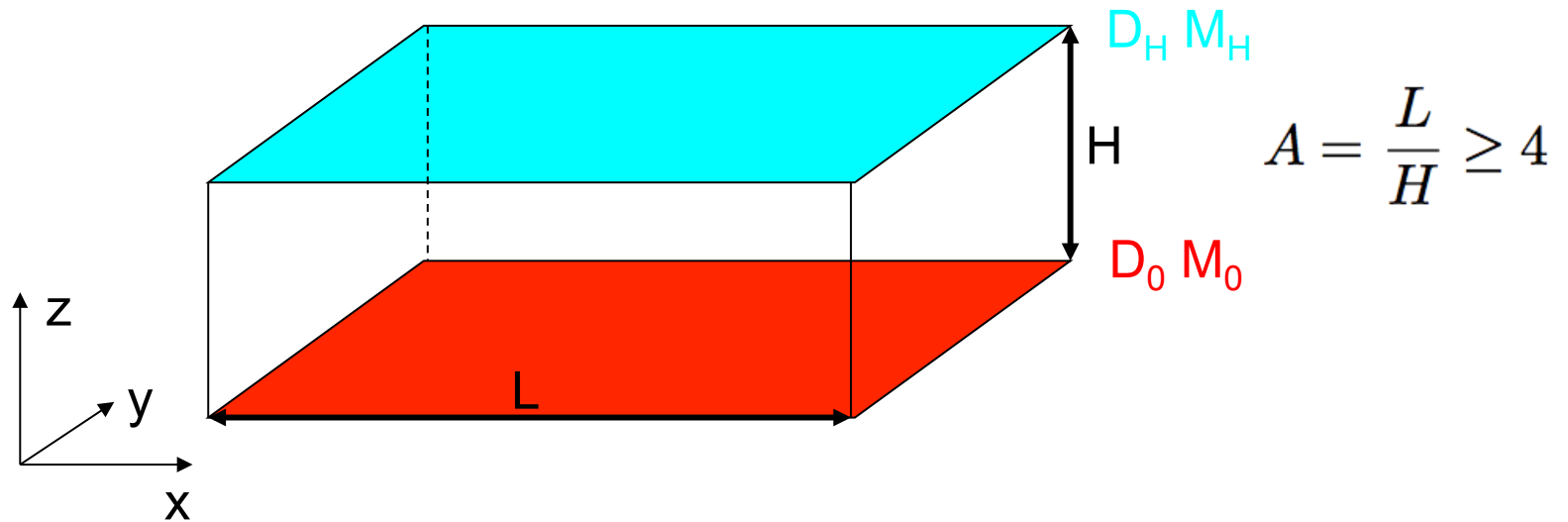
$$\rightarrow M_2 - M_1 = D_2 - D_1$$

$$\rightarrow M - D = f(z) \quad \text{with} \quad f(z) = -N_s^2 z$$

$N_s$  is a Brunt-Vaisala frequency given by  $N_s^2 = \frac{g}{T_{ref}} (\Gamma_d - \Gamma_s)$

# Numerical method

*Kuo, Tellus 1965; Bretherton, J. Atmos. Sci. 1987, 1988*



x,y periodic boundary conditions

z free-slip boundary condition  $z = 0, H : u_z = \frac{\partial u_x}{\partial z} = \frac{\partial u_y}{\partial z} = 0 \quad \& \quad D' = M' = 0$

Pseudospectral method which uses volumetric Fast Fourier Transformations

Two-dimensional parallelization

Simulations with up to 8192 MPI tasks for grids with up to  $4096^2 \times 513$  points



# Five-dimensional parameter space

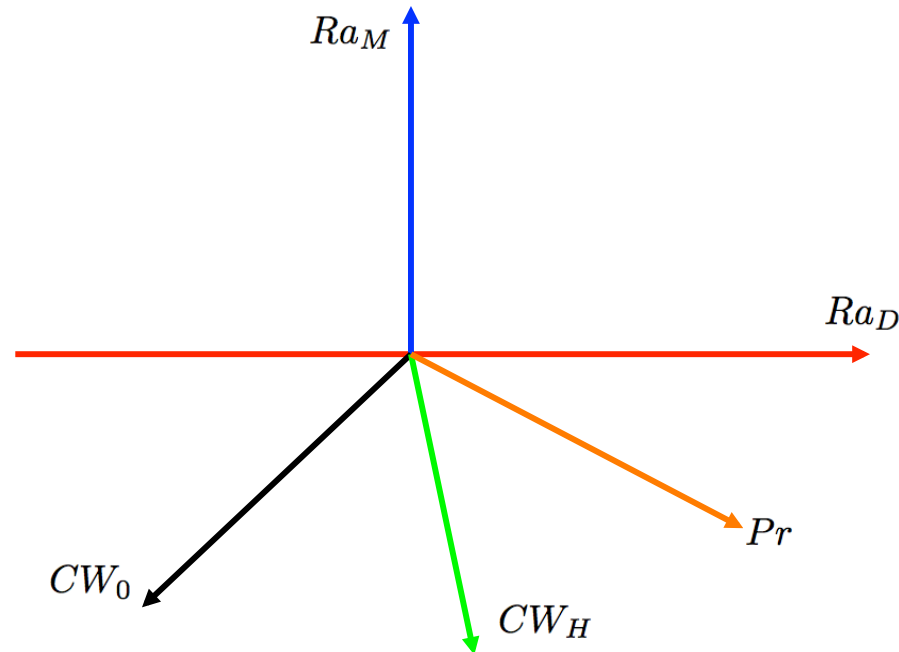
dry and moist Rayleigh number

Prandtl number

$$Ra_D = \frac{(D_0 - D_H)H^3}{\nu\kappa}$$

$$Ra_M = \frac{(M_0 - M_H)H^3}{\nu\kappa}$$

$$Pr = \frac{\nu}{\kappa}$$



$$CW_0 = \frac{M_0 - D_0}{N_s^2 H}$$

$$CW_H = \frac{M_H - D_H}{N_s^2 H} + 1$$

amount of cloud water at the bottom ( $z=0$ ) and top ( $z=H$ )

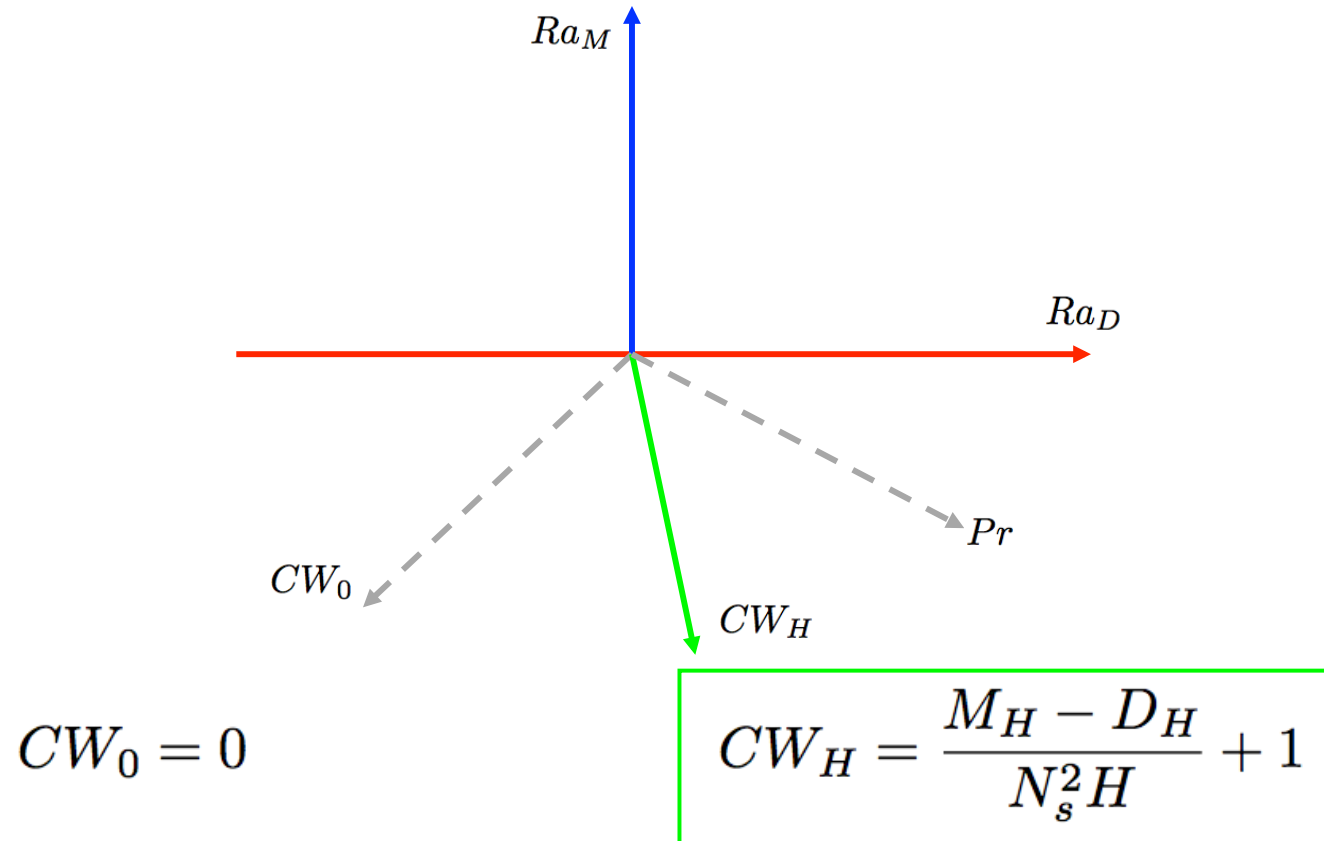
# Convection in air

---

$$Ra_D = \frac{(D_0 - D_H)H^3}{\nu\kappa}$$

$$Ra_M = \frac{(M_0 - M_H)H^3}{\nu\kappa}$$

$$Pr = 0.7$$





# Convectively available potential energy

---

Prescribed amplitudes of D and M at top and bottom determine the amount of potential energy that can be converted into fluid motion

$$\text{CAPE} = - \int_0^H [B(\bar{D}(z), \bar{M}(z), z) - B(D_0, M_0, z)]$$

Potential energy of the background equilibrium

Potential energy of an air parcel that starts at the bottom of the layer

$$D_0 = M_0 = 0, M_H < 0$$

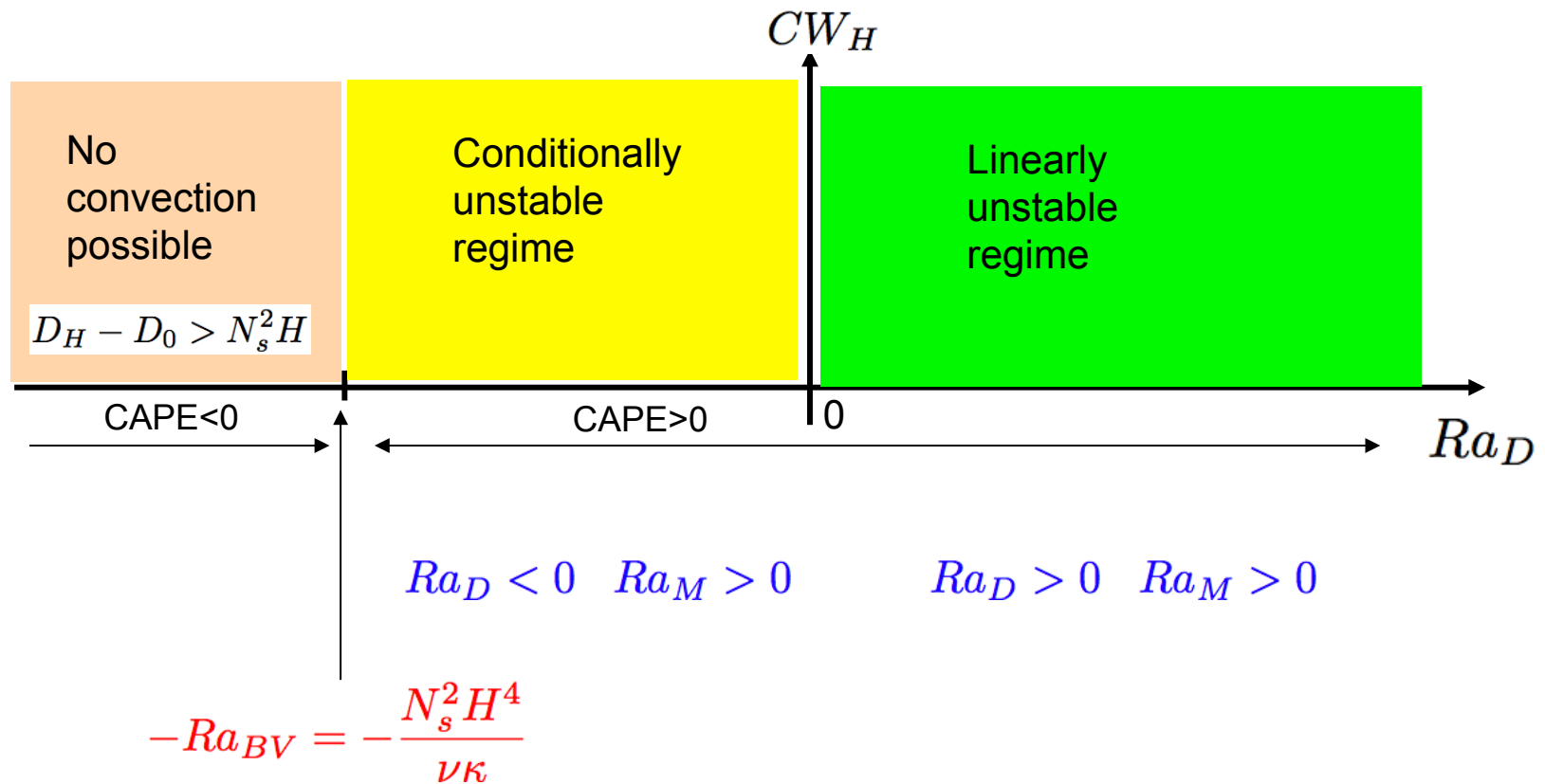
Case 1: Equilibrium is fully subsaturated  $\bar{M}(z) < \bar{D}(z) - N_s^2 z$

$$\text{CAPE} > 0 \Leftrightarrow D_H < N_s^2 H$$

Case 2: Equilibrium is fully saturated  $\bar{M}(z) > \bar{D}(z) - N_s^2 z$

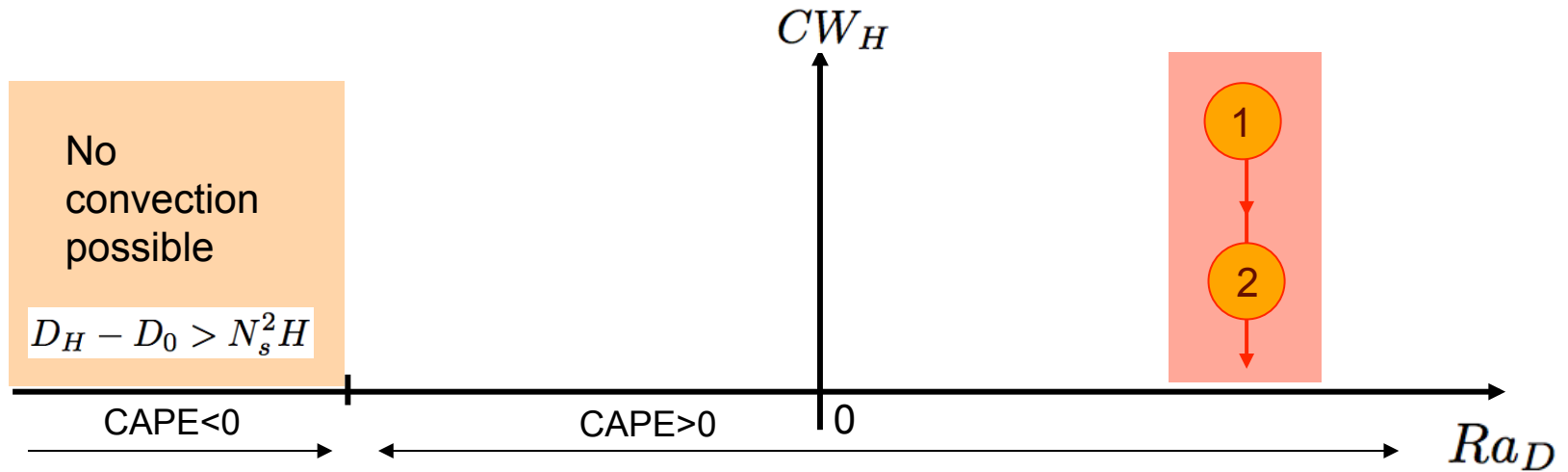
$$\text{CAPE} > 0 \Leftrightarrow M_H < 0$$

# Linearly & conditionally unstable equilibrium

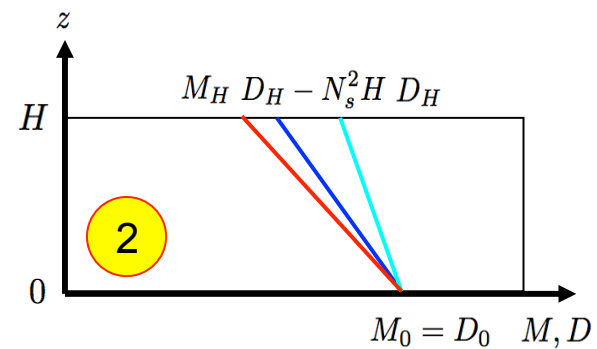
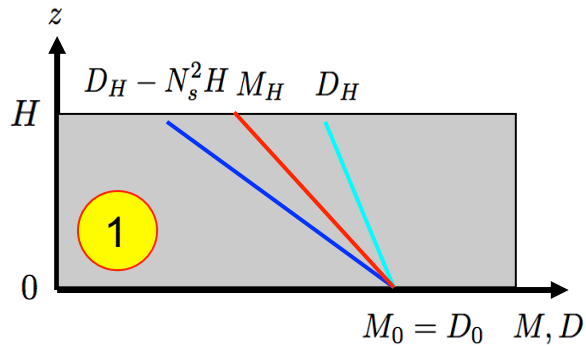


In both regimes one can set up a fully saturated and a fully unsaturated initial equilibrium state!

# Linearly unstable equilibrium

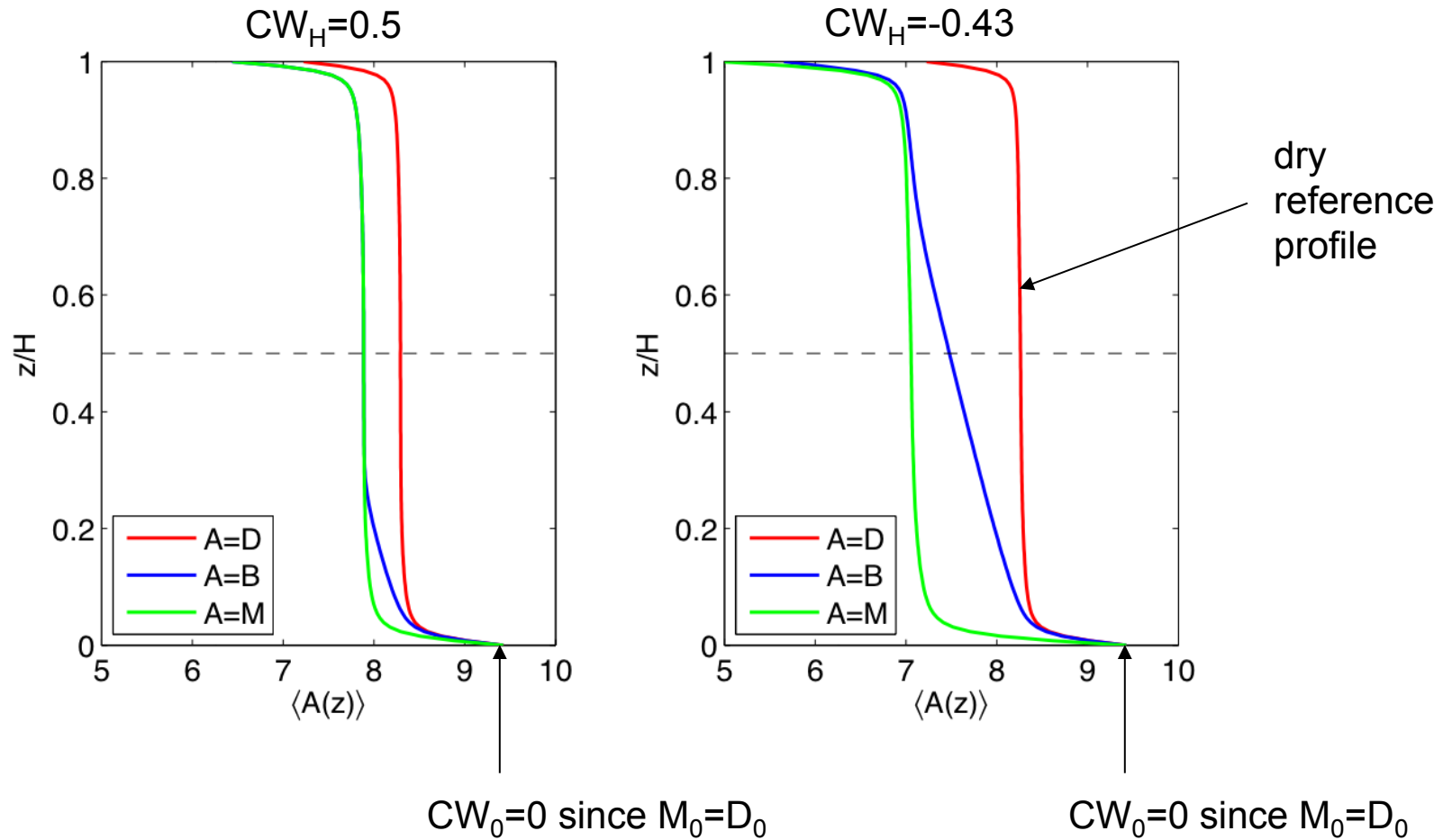


**D, M unstably stratified!**



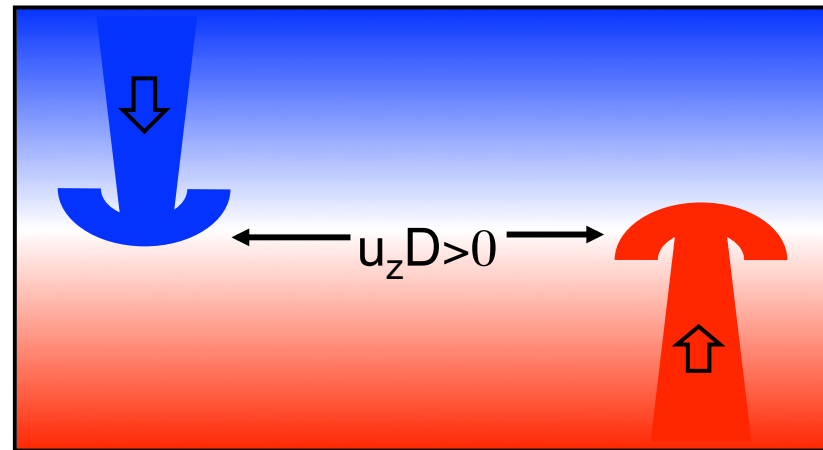
Increase of  $Ra_M$  = Decrease of  $CW_H$

# Mean buoyancy profiles

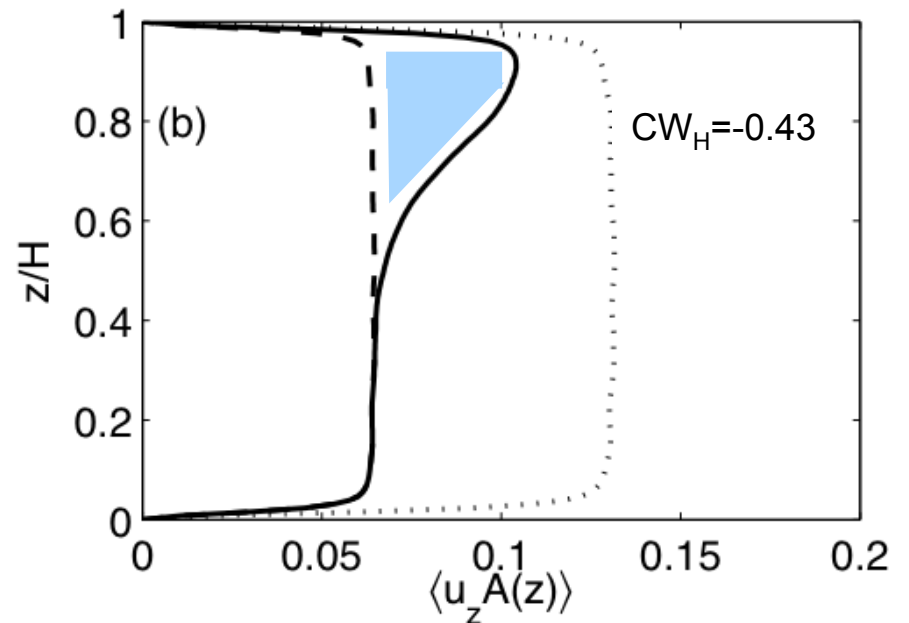
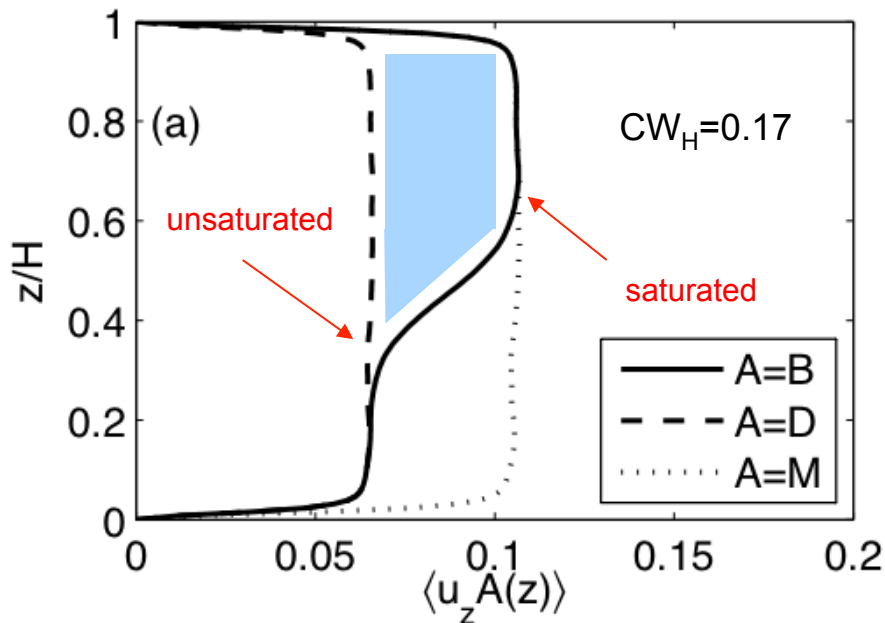


In contrast to dry convection: linear mean profile

# Convective buoyancy flux

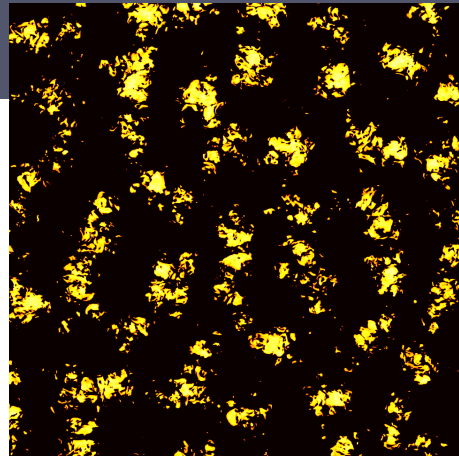
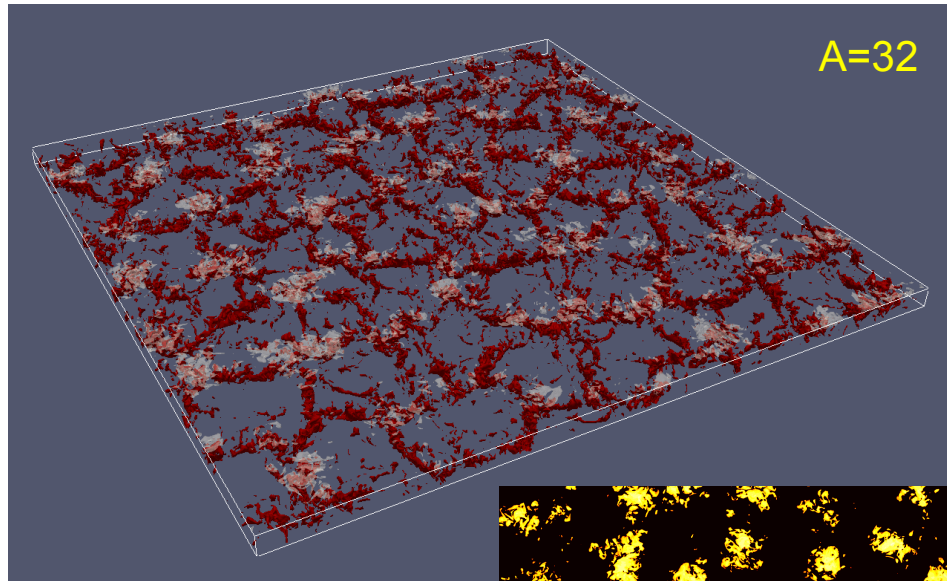


$$\langle u_z D(z) \rangle_{A,t} \leq \langle u_z B(z) \rangle_{A,t} \leq \langle u_z M(z) \rangle_{A,t}$$

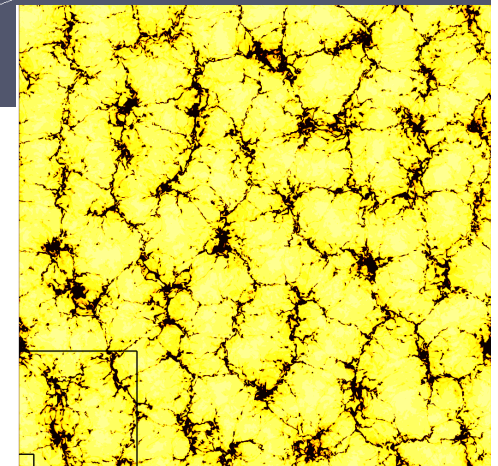
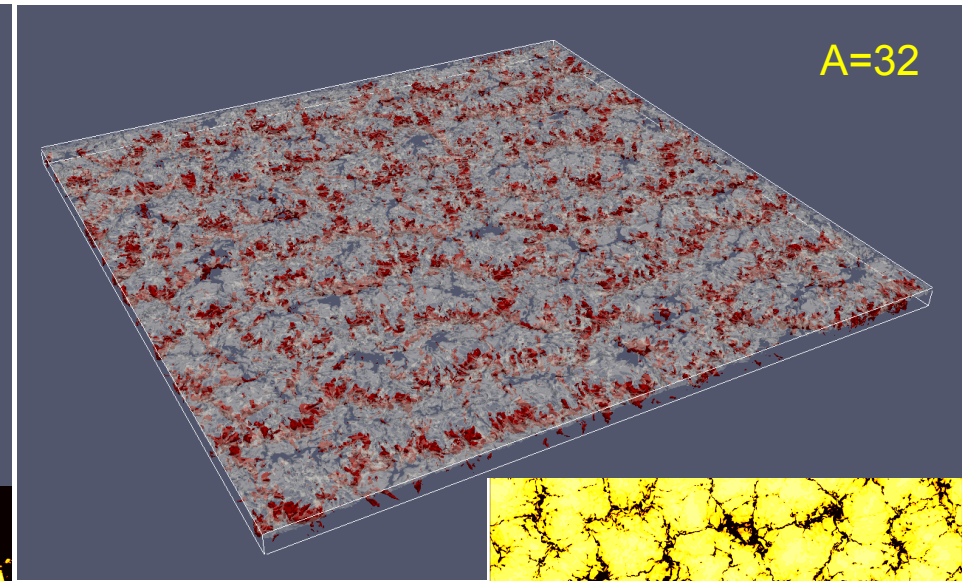


# Very large aspect ratio systems

Isolated clouds  
 $CW_H = -1.43$

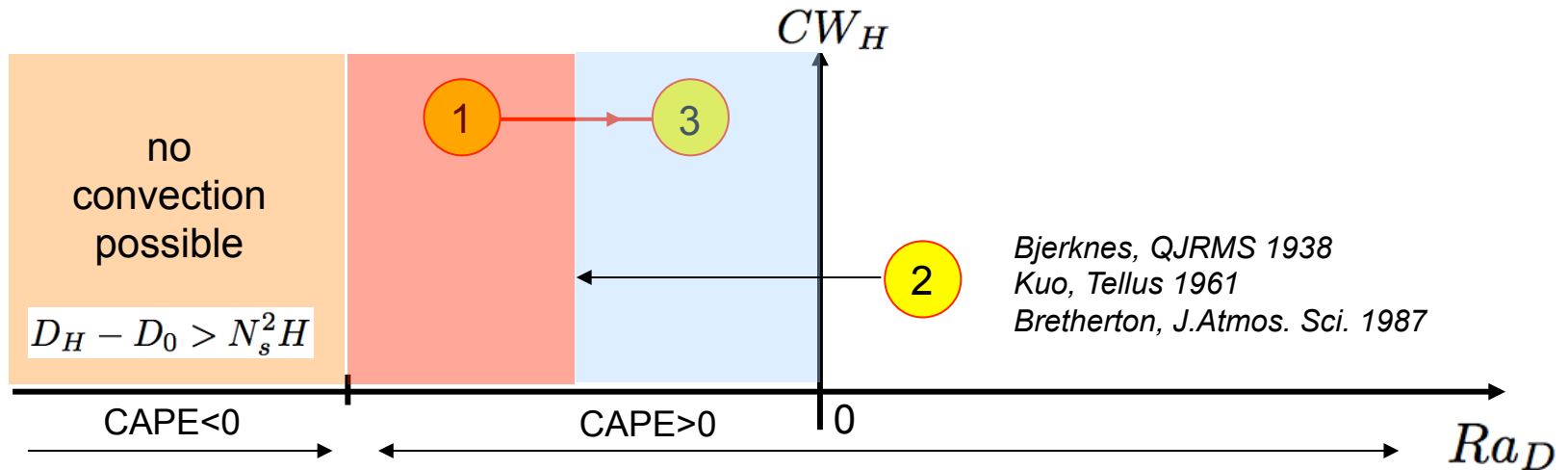


Almost closed cloud layer  
 $CW_H = -0.43$

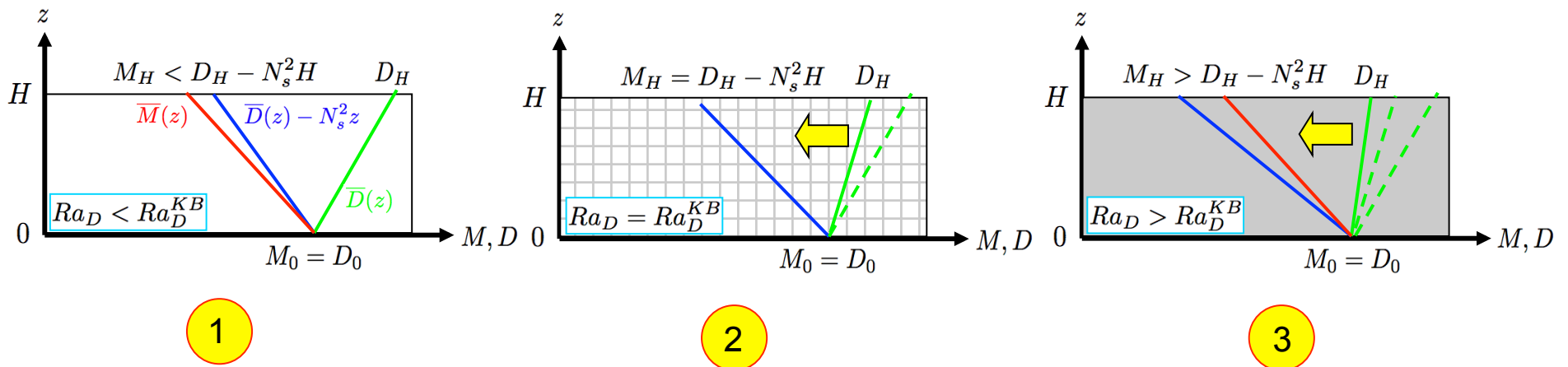


Vertically averaged liquid water content and buoyancy flux

# Conditionally unstable equilibrium



**D stably and M unstably stratified!**



# Conditional instability

*Bjerknes, Quat. J. Royal Meteor. Soc. 1938*



## SATURATED-ADIABATIC ASCENT OF AIR THROUGH DRY-ADIABATICALLY DESCENDING ENVIRONMENT

By Professor J. BJERKNES, Bergen, Norway

### SUMMARY

This paper gives a theoretical treatment of the cumulus convection in an atmosphere with a temperature lapse-rate between the saturated-adiabatic and the dry-adiabatic (conditional instability). The dry-adiabatic downward motion of the air between the clouds is taken into account. The result is that the cumulus convection does not convert heat into kinetic energy unless the ratio between the width of the cumulus towers and that of the cloudless intervals is below a certain critical value. Quite generally, the present treatment shows that the rate of production of kinetic energy through convection must be far below the estimates based on the assumption of the "infinitely small particle rising through an environment at rest."

Conditionally unstable = saturated air parcels unstable & unsaturated air parcels stable



# Bjerknes model in short

Bjerknes, *Quat. J. Royal Meteor. Soc.* 1938

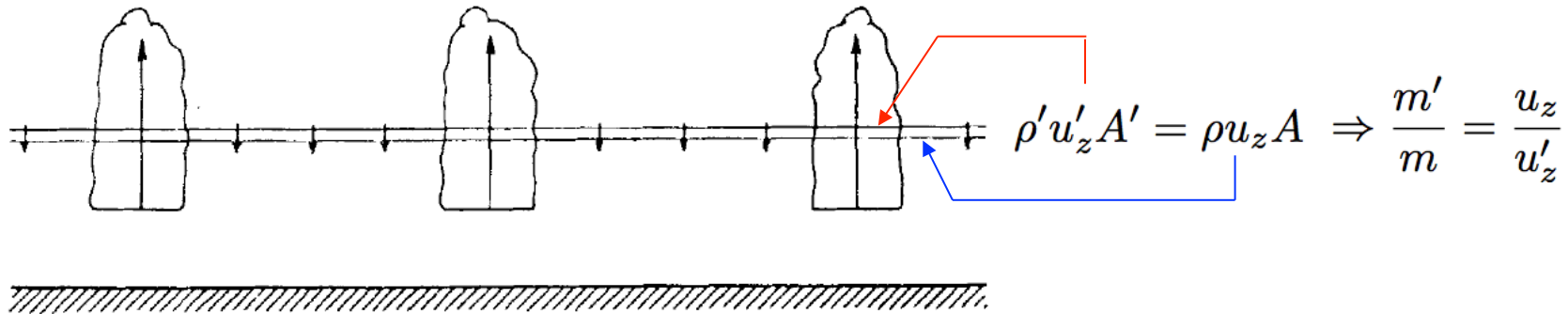


FIG. 1.

$$\partial_t T = u_z (\Gamma_u - \partial_z T)$$

$$\partial_t T' = u'_z (\Gamma_s - \partial_z T')$$

$$\int_{z_1}^{z_2} c_p \left( m \frac{\partial T}{\partial t} + m' \frac{\partial T'}{\partial t} \right) dz \simeq c_p m' u'_z \left( 1 + \frac{m'}{m} \right) \int_{z_1}^{z_2} (\partial_z T - \Gamma_u) dz$$

$$+ c_p m' u'_z \left[ \int_{z_1}^{z_2} (\Gamma_s - \partial_z T) dz - \frac{m'}{m} \int_{z_1}^{z_2} (\partial_z T - \Gamma_u) dz \right]$$

Accumulation of heat per second set free by condensation

Uniform Heating

Surplus heating by clouds convertible in convective motion if **in total positive**

# Bjerknes model in short

Bjerknes, *Quat. J. Royal Meteor. Soc.* 1938

$$\int_{z_1}^{z_2} c_p \left( m \frac{\partial T}{\partial t} + m' \frac{\partial T'}{\partial t} \right) dz \simeq c_p m' u'_z \left( 1 + \frac{m'}{m} \right) \int_{z_1}^{z_2} (\partial_z T - \Gamma_u) dz$$

$$+ c_p m' u'_z \left[ \underbrace{\int_{z_1}^{z_2} (\Gamma_s - \partial_z T) dz}_{= b(> 0)} - \frac{m'}{m} \underbrace{\int_{z_1}^{z_2} (\partial_z T - \Gamma_u) dz}_{= a(> 0)} \right]$$

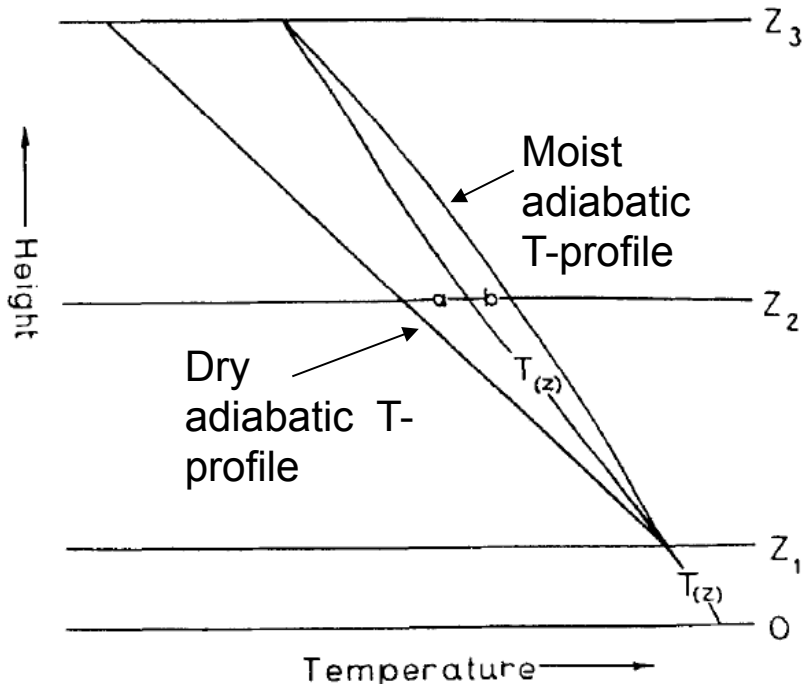


FIG. 2.

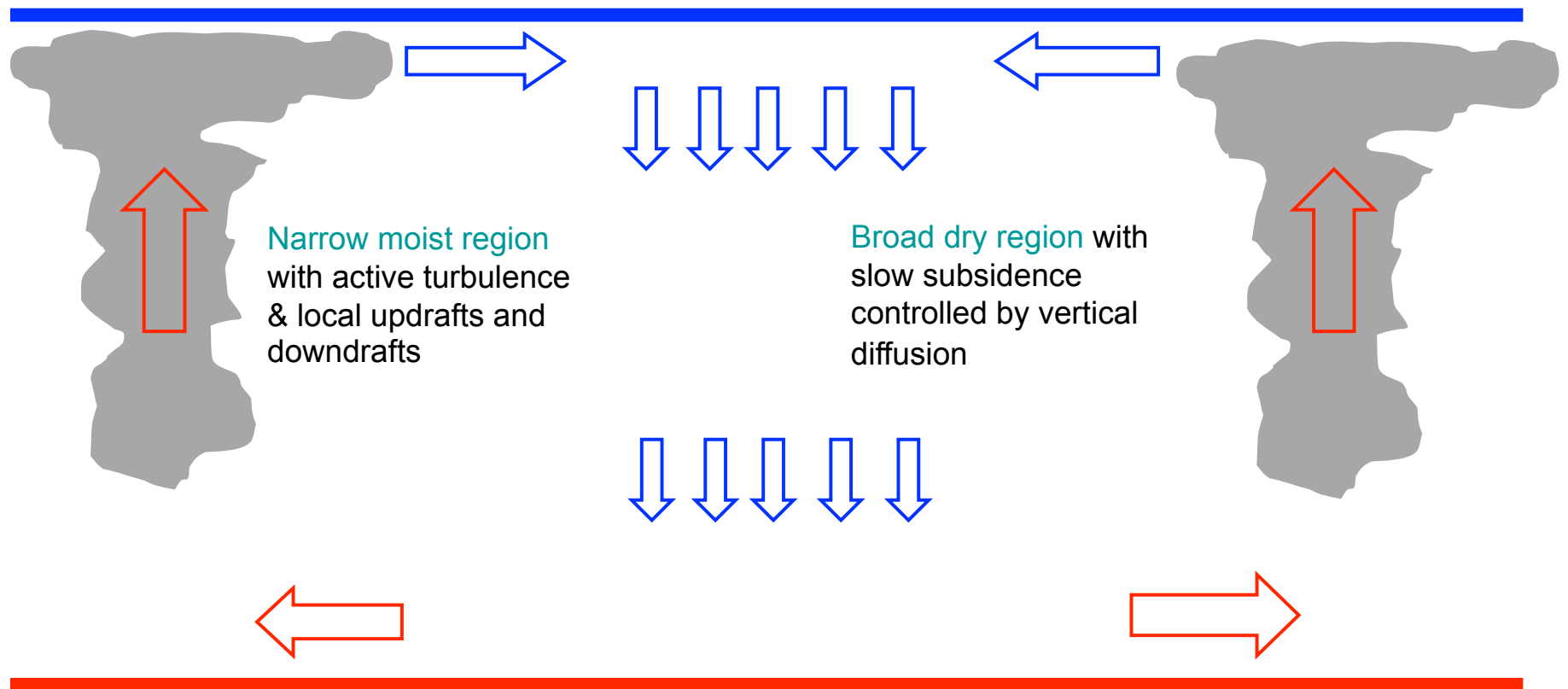
$$b > \frac{m'}{m} a$$

Can be achieved by  $m' \ll m$

# Idea of the Bjercknes model

*Bjercknes, Quat. J. Royal Meteor. Soc. 1938*

Entrainment and drying above  
cloud aggregate

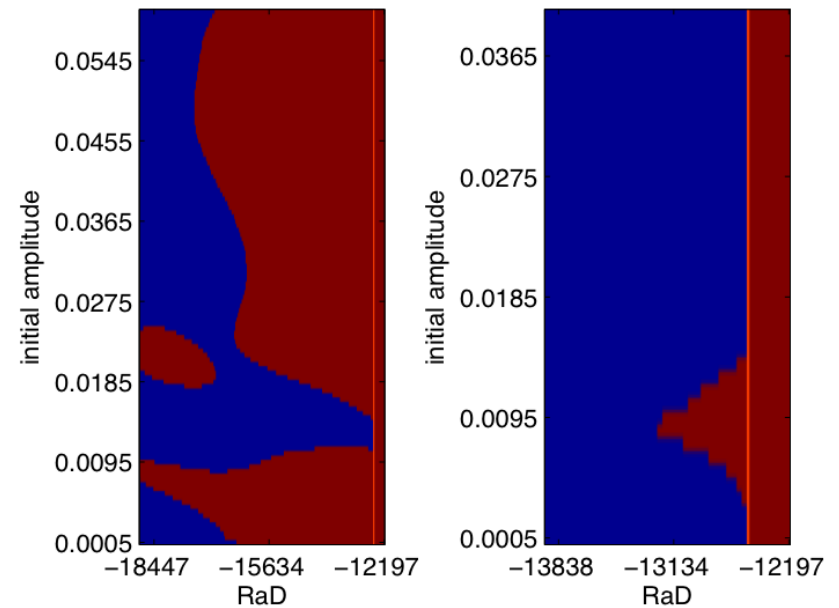
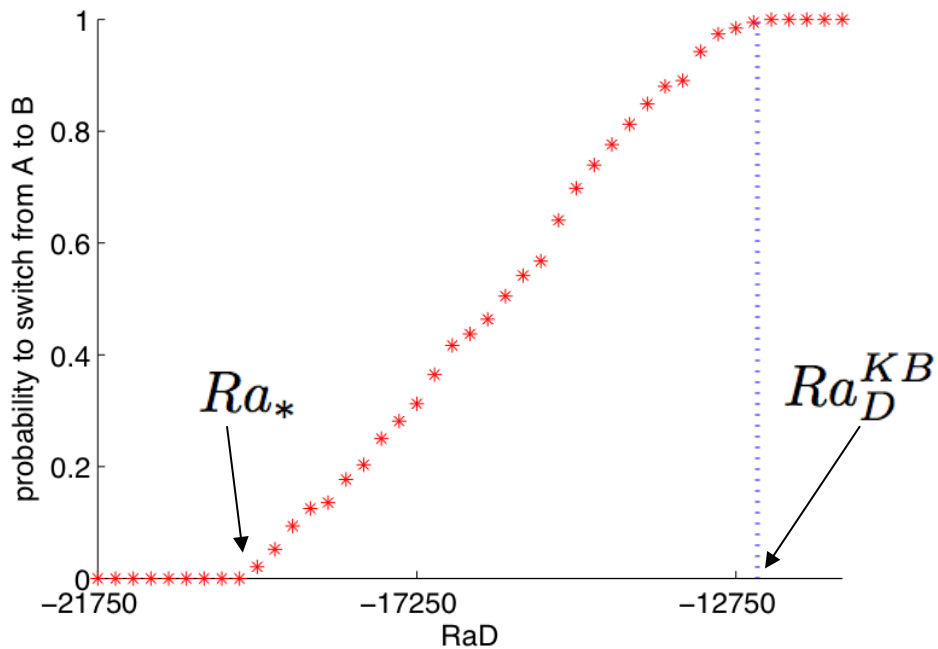
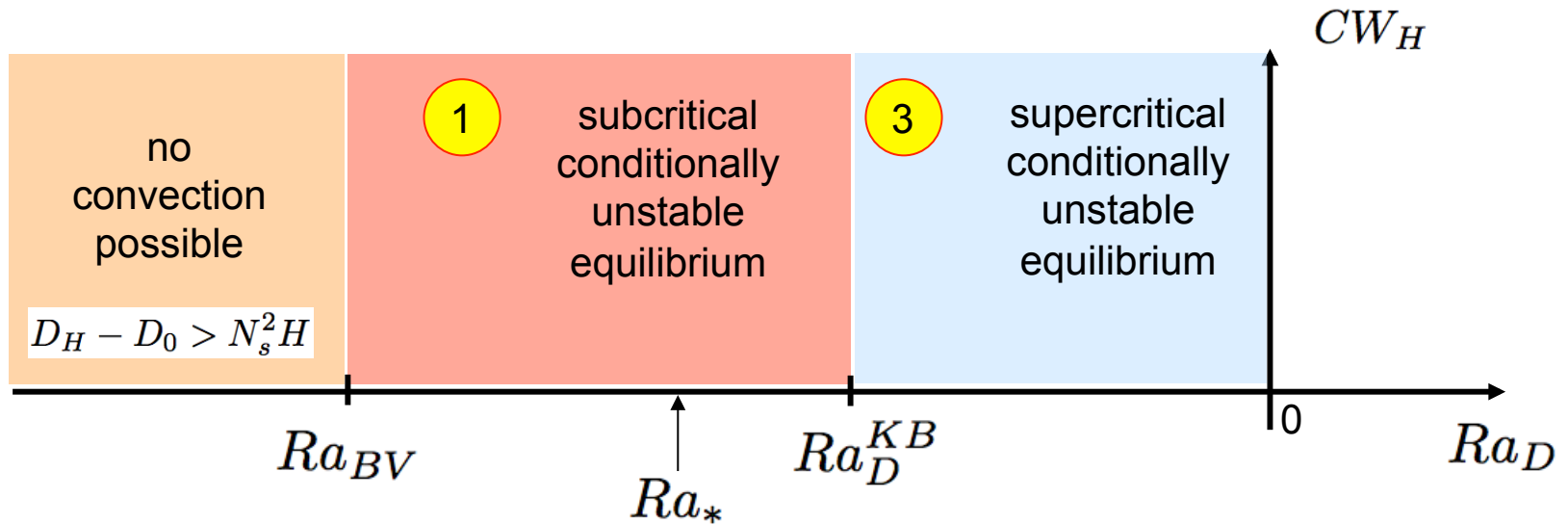


Narrow moist region  
with active turbulence  
& local updrafts  
and downdrafts

Broad dry region with  
slow subsidence  
controlled by vertical  
diffusion

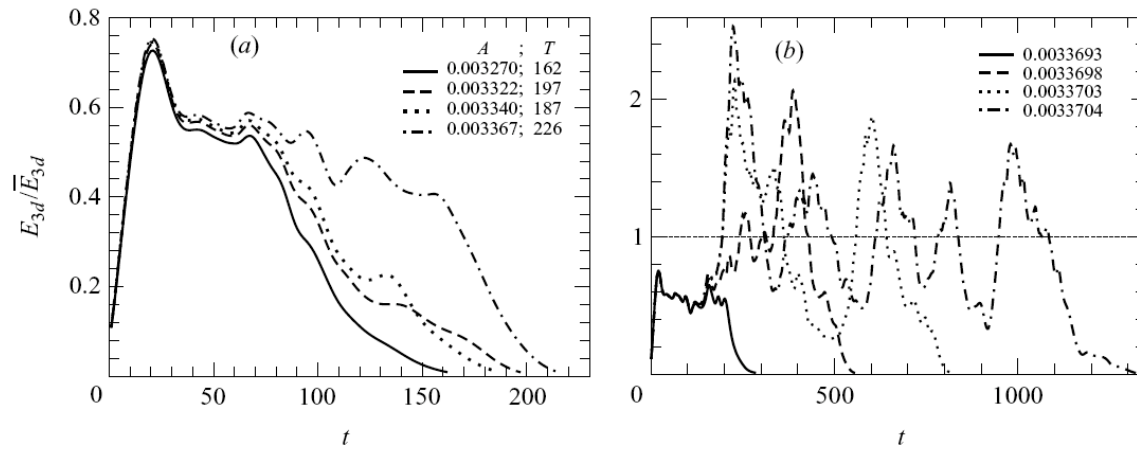
Enhanced evaporation in the dry region

# Fourier-Galerkin model

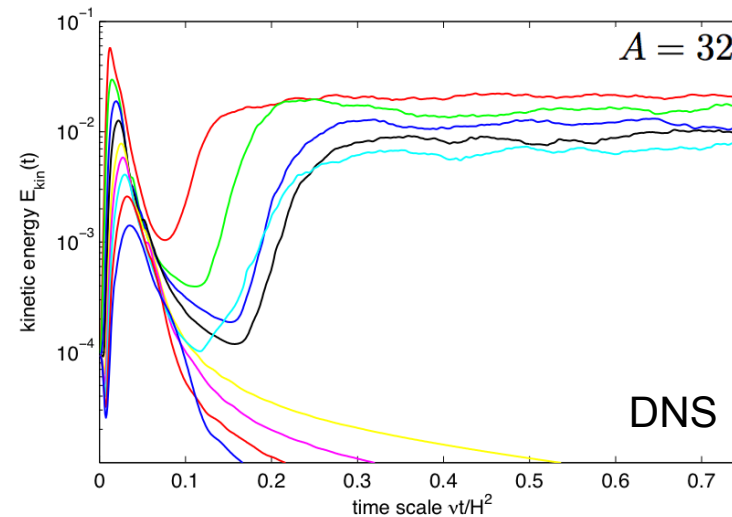
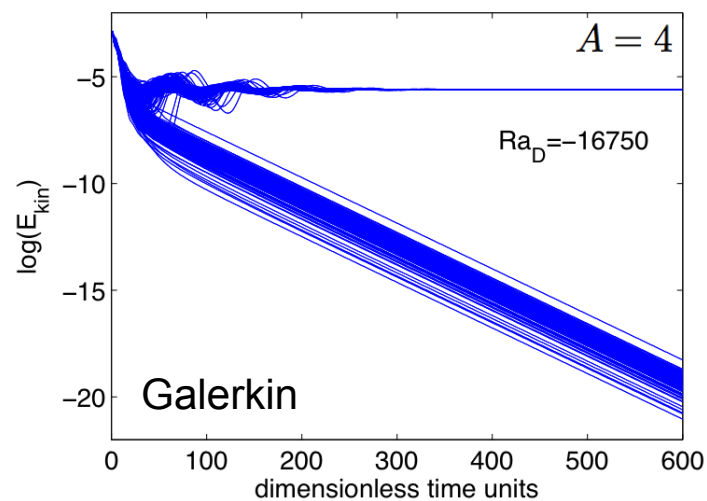


# Lifetime of flow states

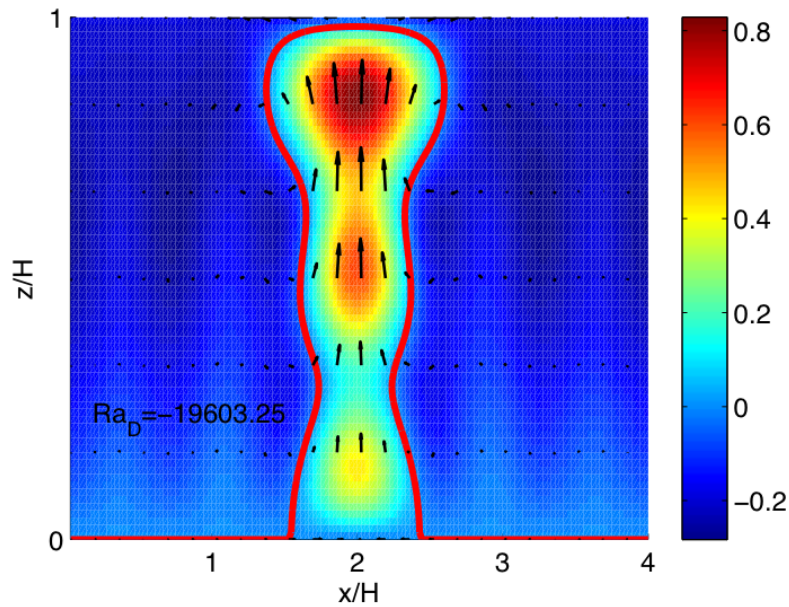
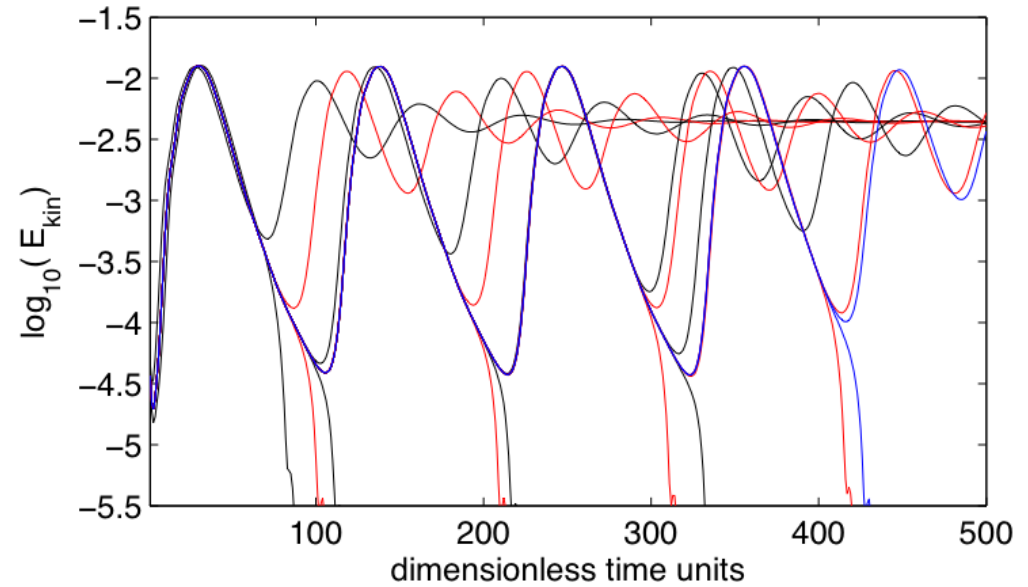
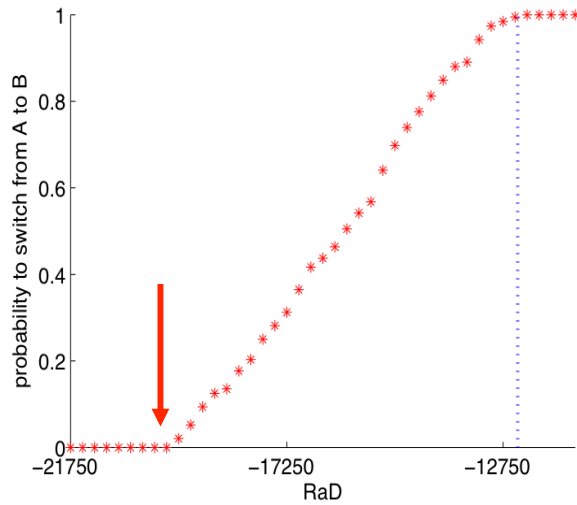
Pipe flow: **transient states** (Faisst & Eckhardt, *J. Fluid Mech.* 2004)



Conditionally unstable moist convection: **no transient states**



# Tracking the edge state

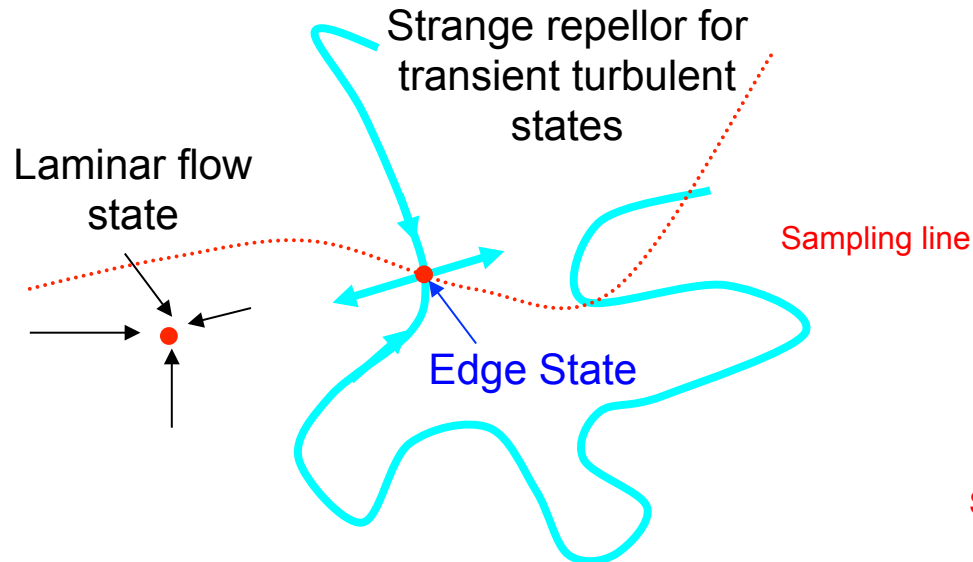


$$\mathbf{X}(t = 0) = \lambda \mathbf{X}_A^* + (1 - \lambda) \mathbf{X}_B^*$$

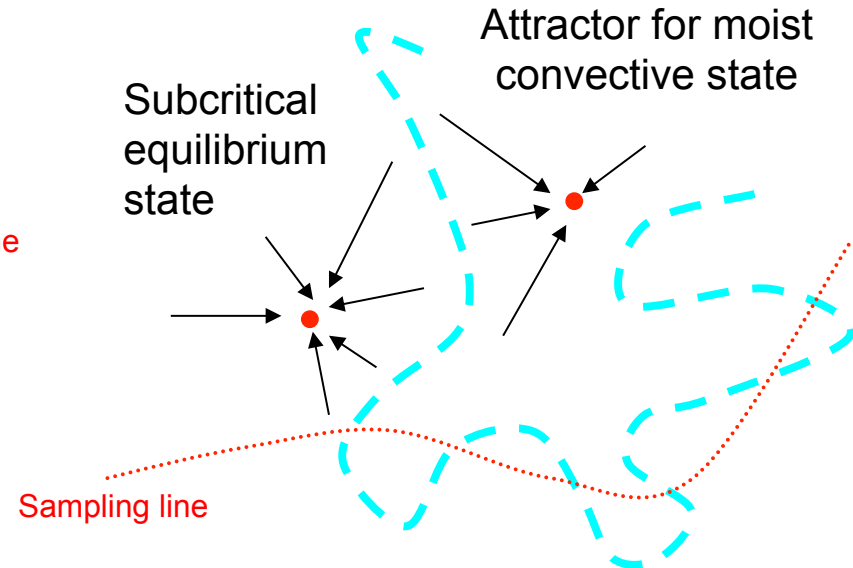
Edge state is a periodic orbit

# Our present picture

## Plane shear flow



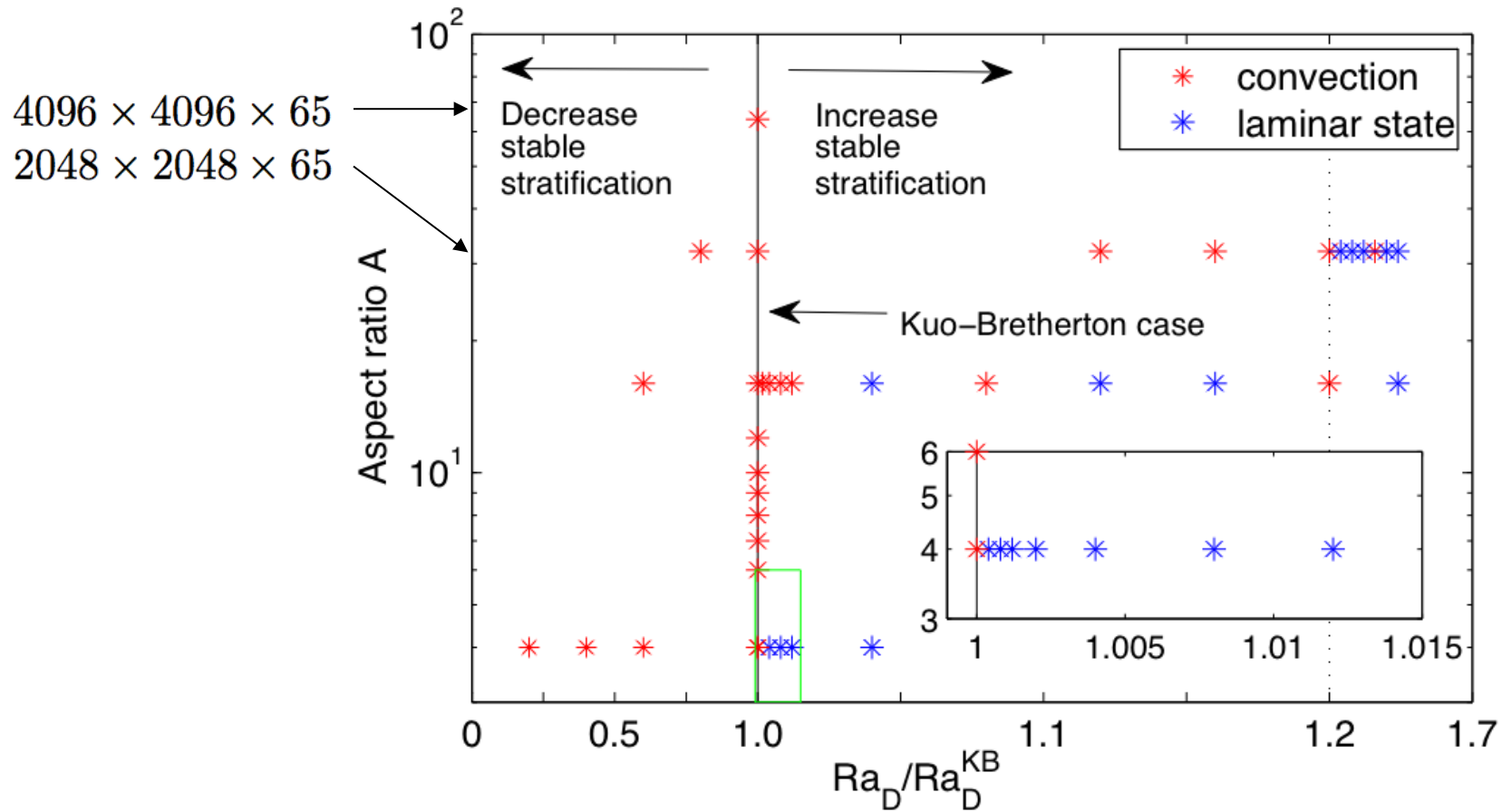
## CU moist convection



Skufca, Yorke & Eckhardt, *Phys. Rev. Lett.* 2006  
Schneider, Marinc & Eckhardt, *J. Fluid Mech.* 2010

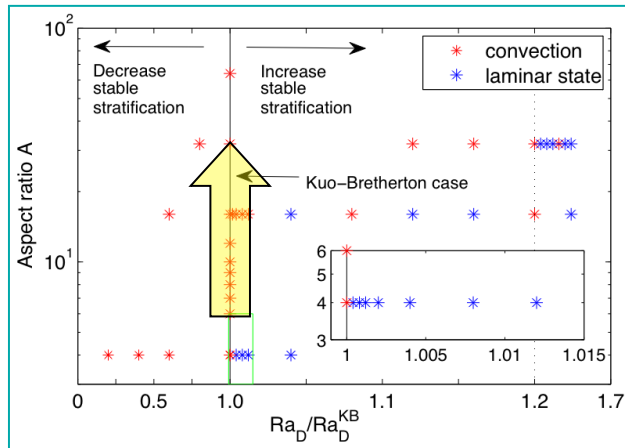
- Sensitive dependence of transition on initial perturbation amplitude
- Sensitive dependence of transition on aspect ratio of cell
- No transient flow patterns

# Parameter plane for DNS



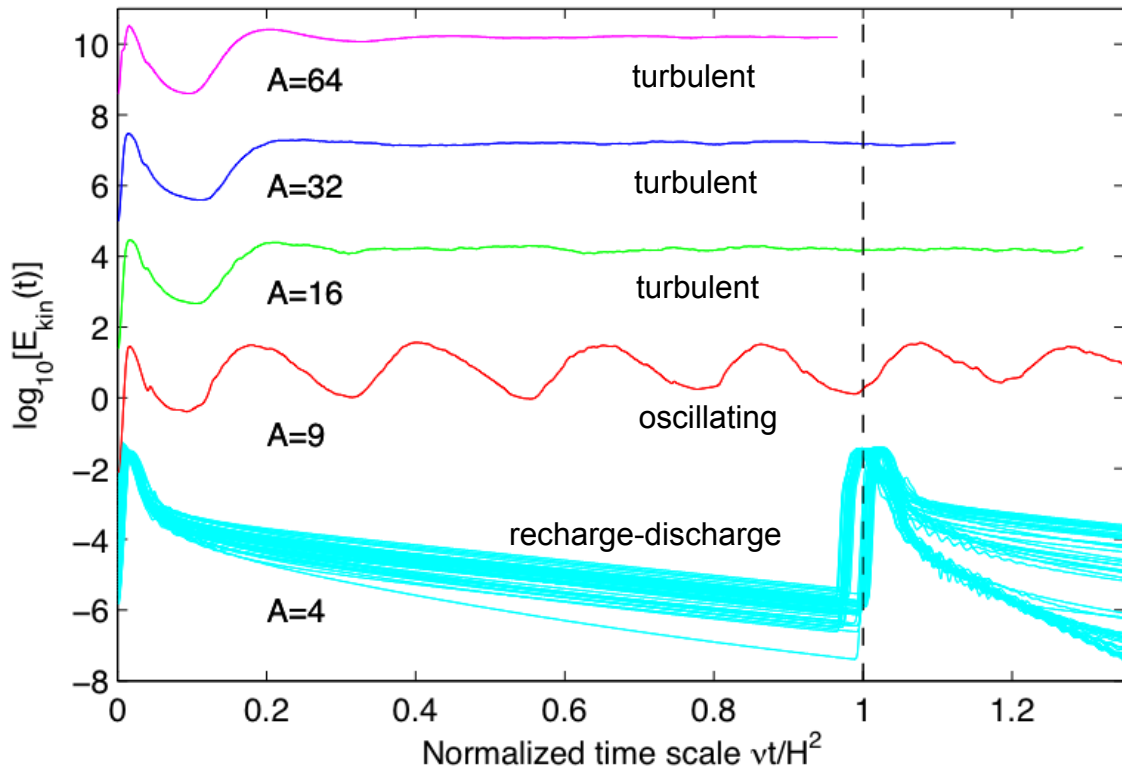


# Aspect ratio dependence

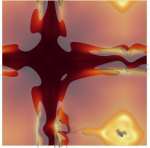


Moist convection is constrained by aspect ratio

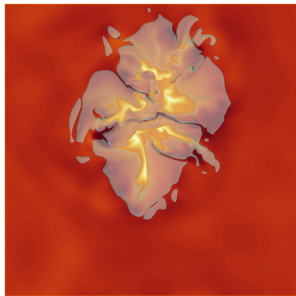
$$Ra_M = 373420$$



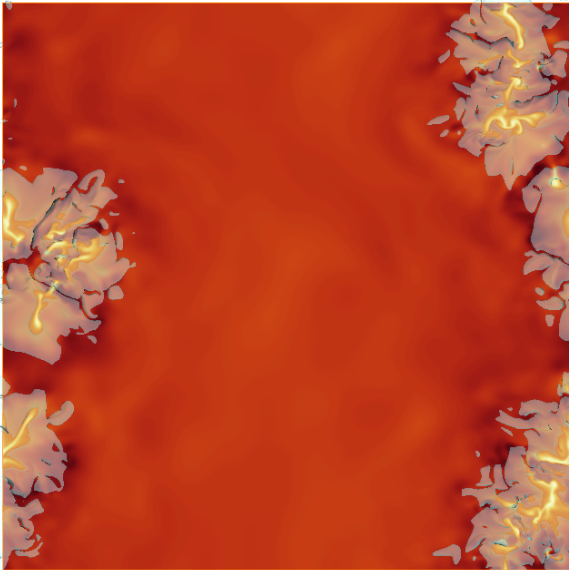
# Cloud aggregation



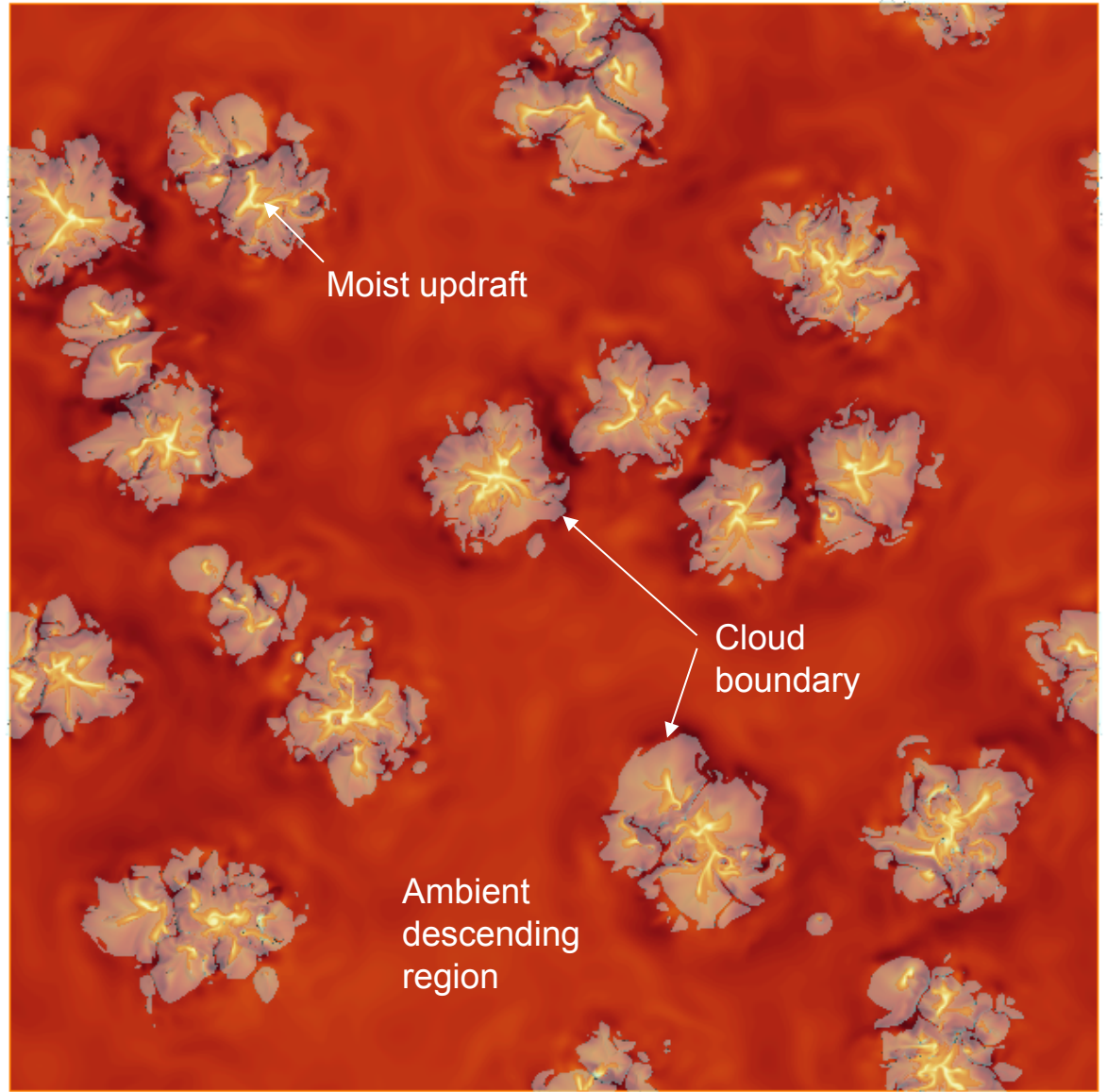
$\Gamma = 8$



$\Gamma = 16$

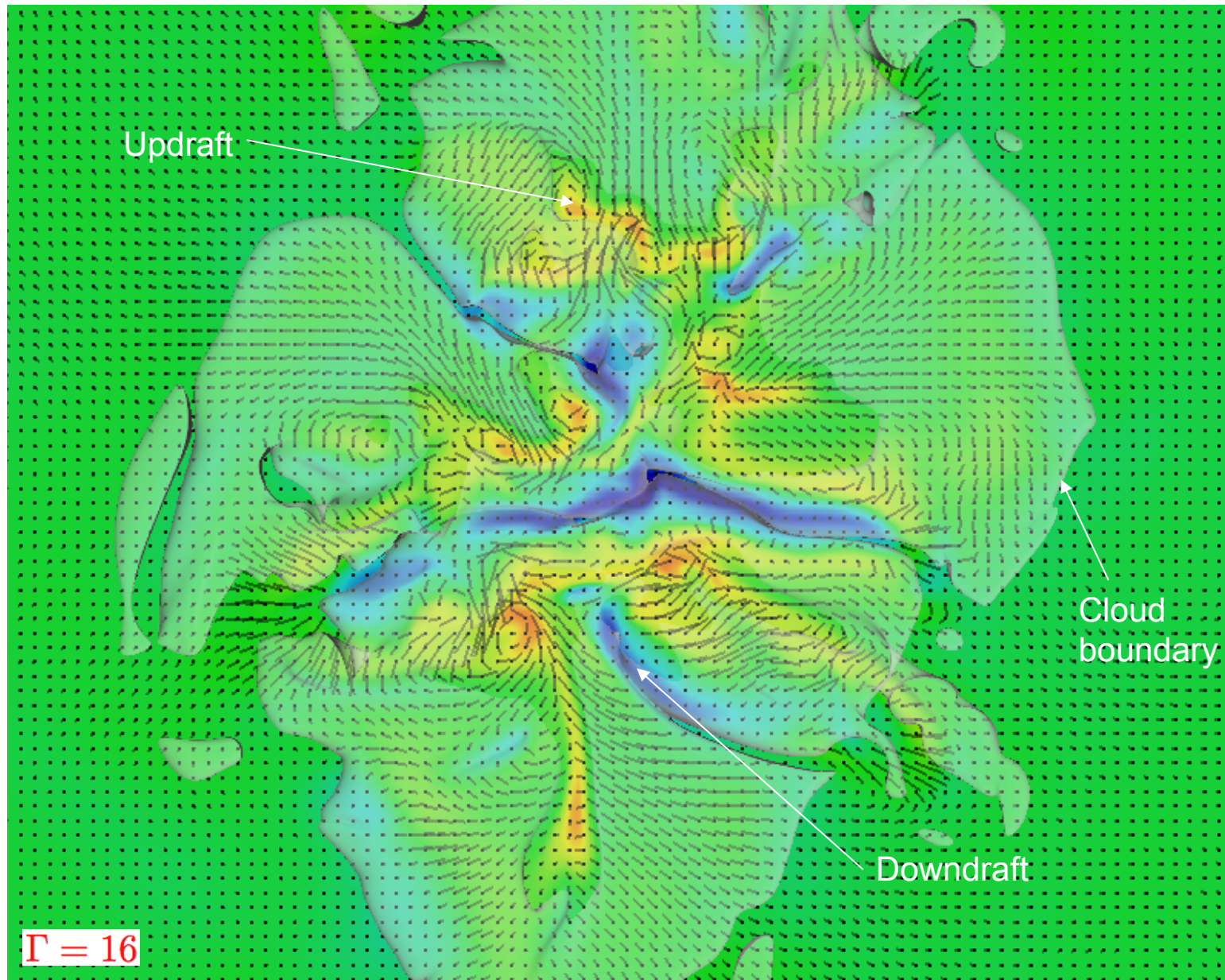


$\Gamma = 32$

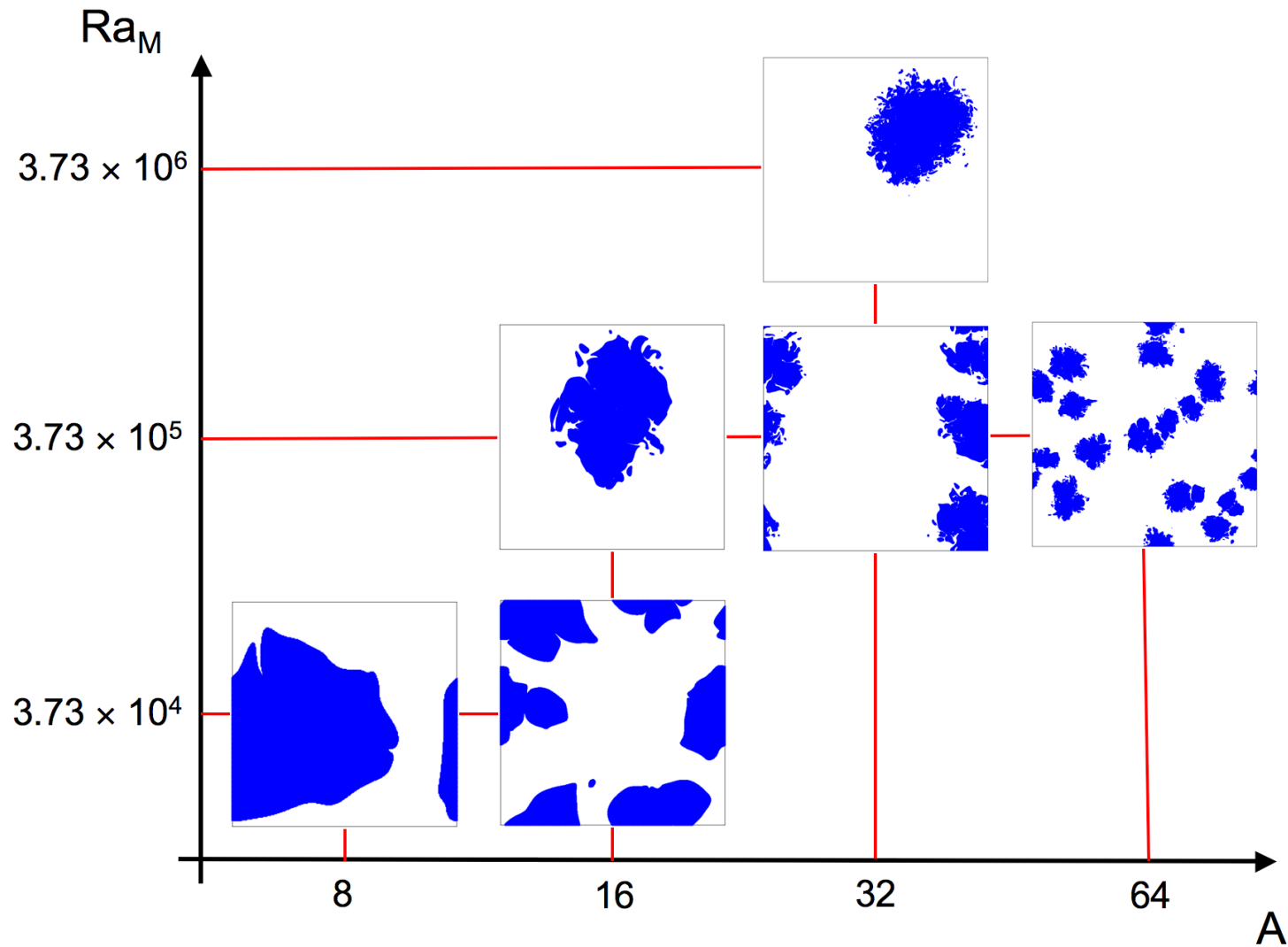


$\Gamma = 64$

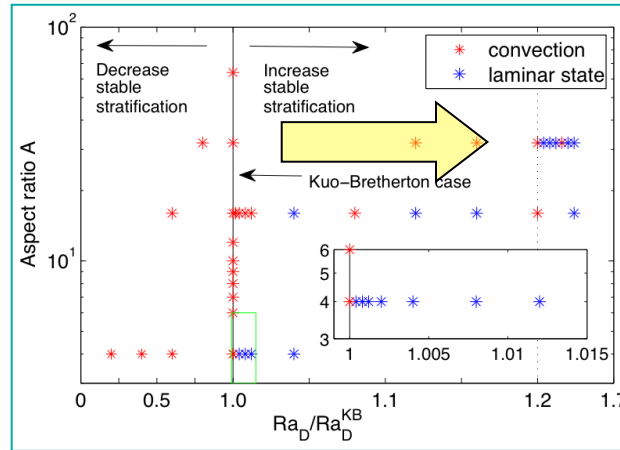
# Turbulent velocity field inside cloud aggregate



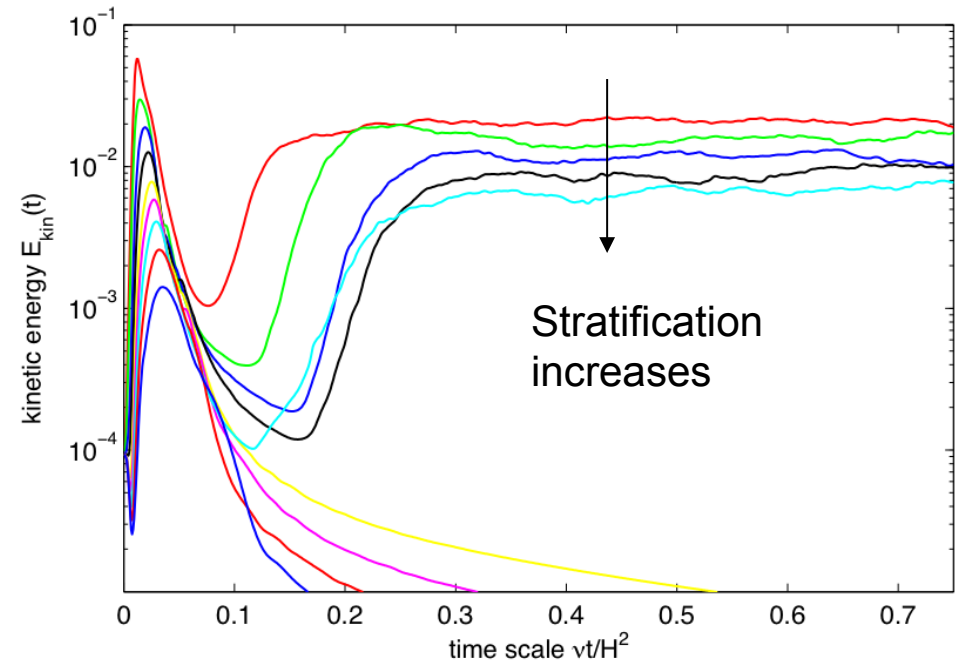
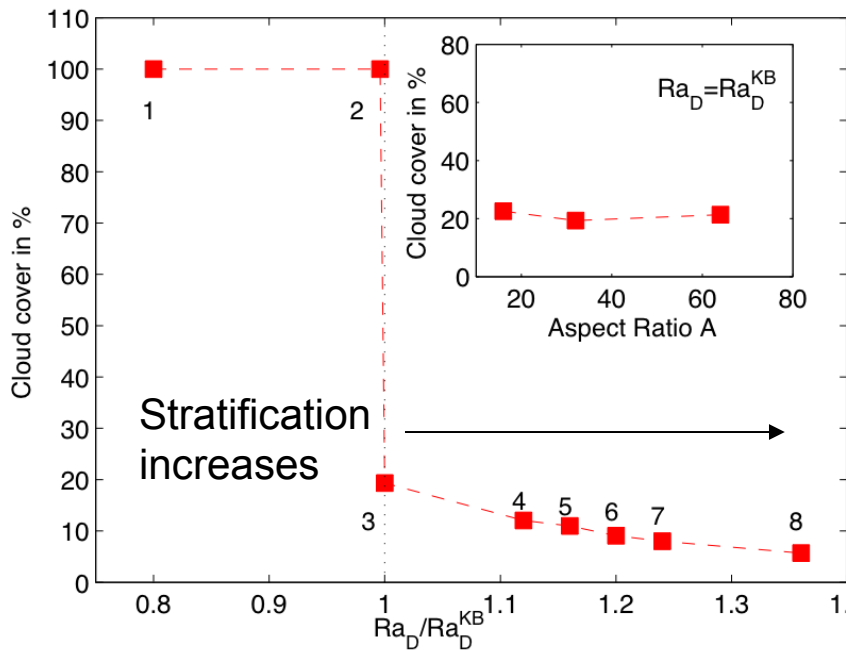
# Rayleigh number vs. aspect ratio



# Increase stable stratification

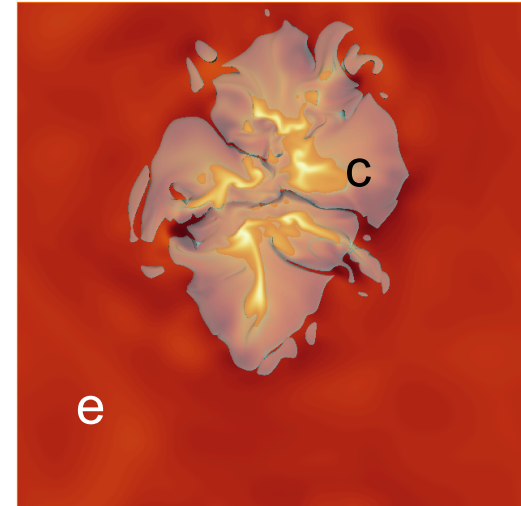
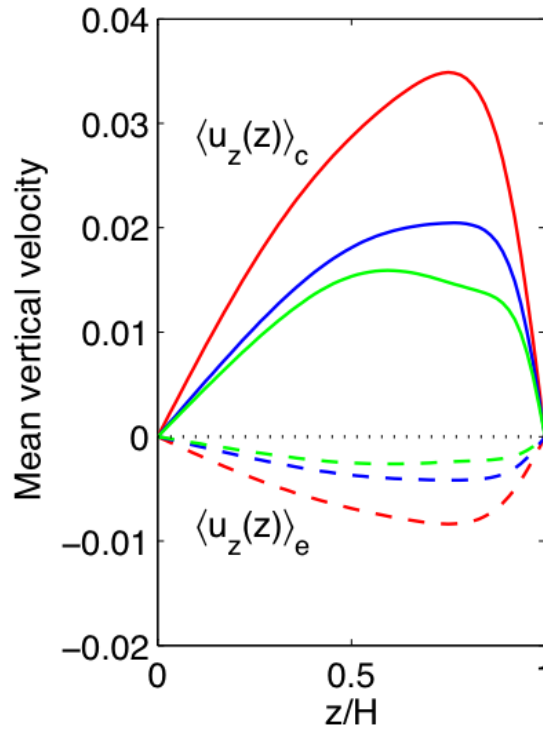
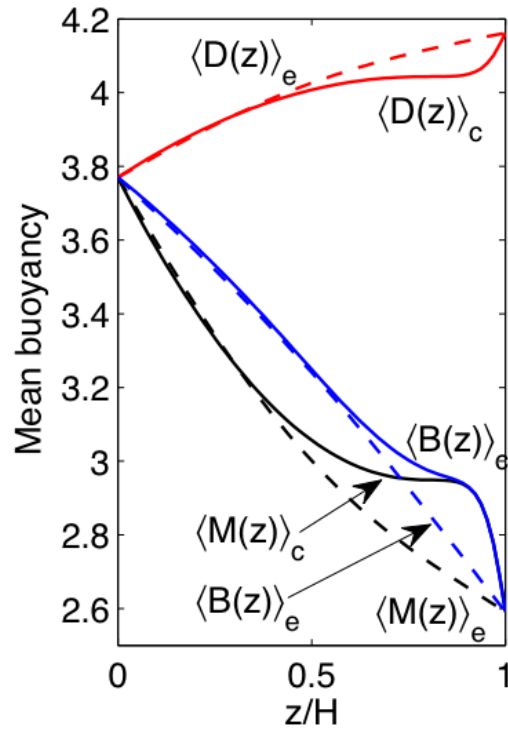


All runs at  $A=32$



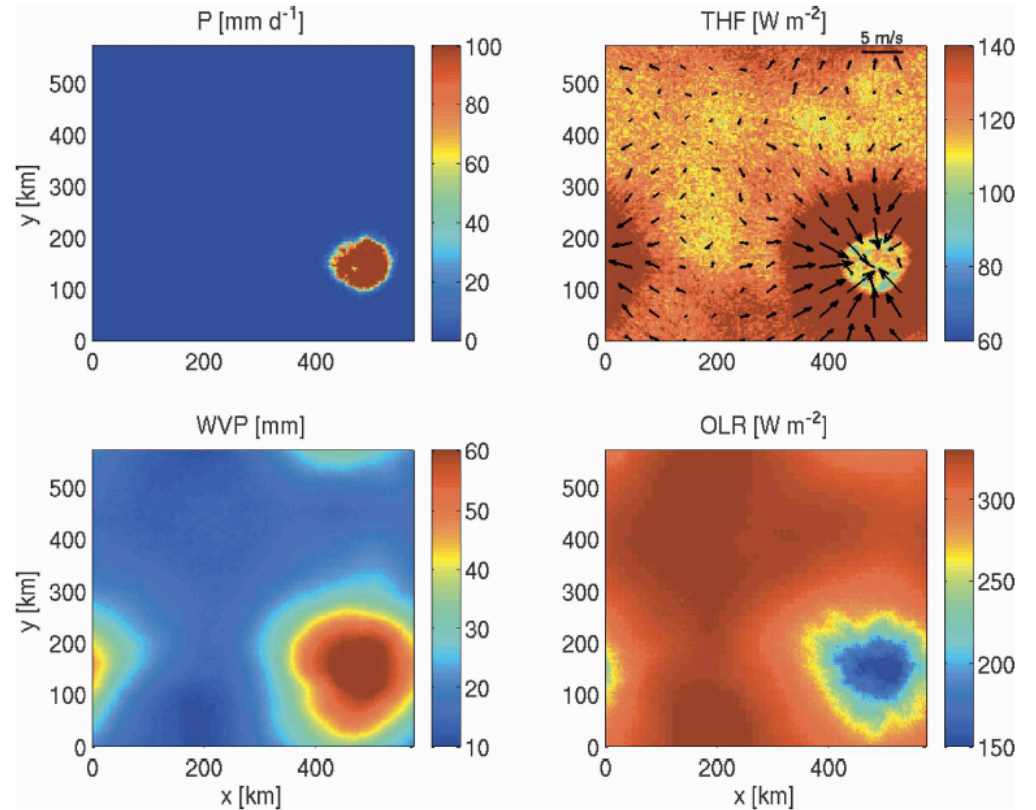
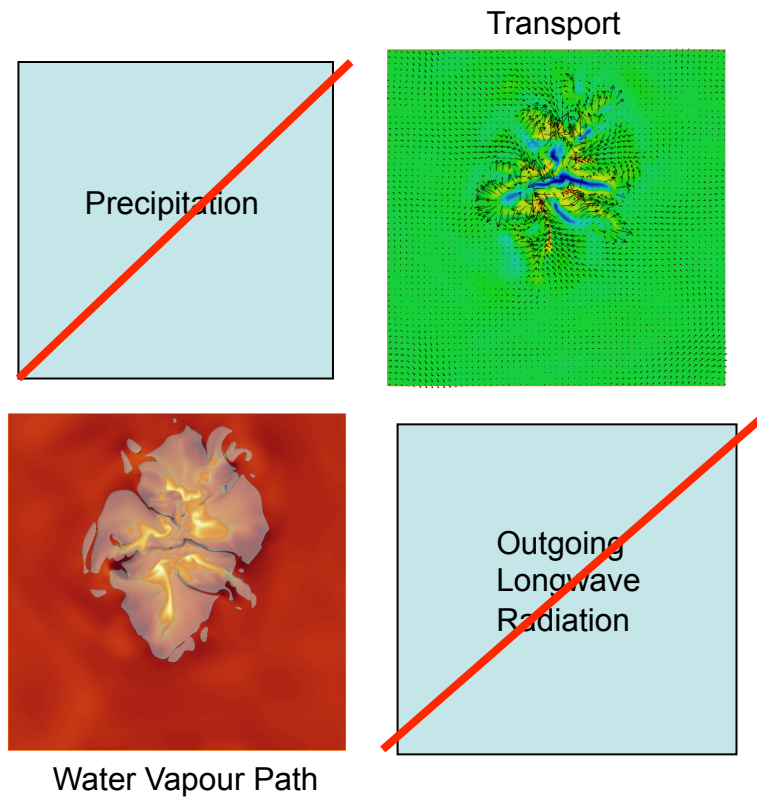
# Statistics inside/outside clouds

What is the high-Ra limit?



- $Ra_M = 373416$  — (red line)
- $Ra_M = 1867080$  — (blue line)
- $Ra_M = 3734160$  — (green line)

# Comparison with LES



Aggregation after 350 convective time units

$$16H \times 16H \times H$$

$$1024 \times 1024 \times 65$$

Aggregation of 50 days

$$576\text{km} \times 576\text{km} \times 28\text{km}$$

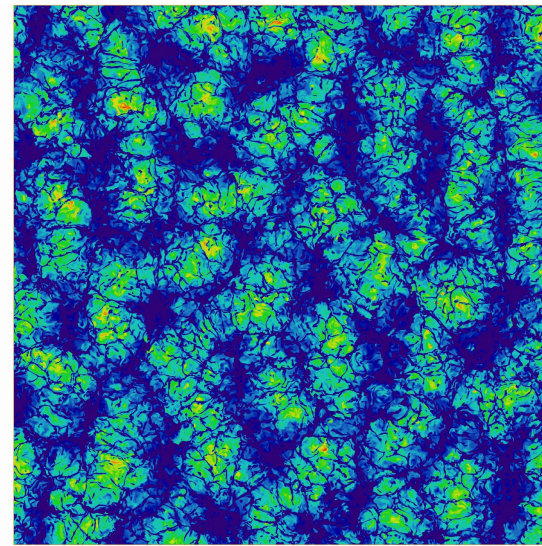
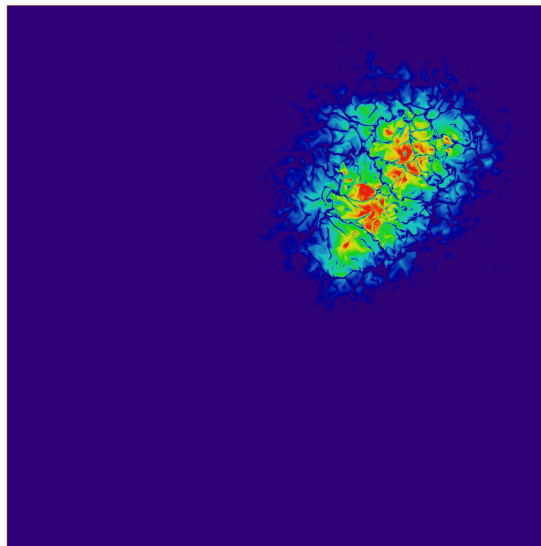
$$\Delta_h = 3\text{km} \quad \min(\Delta_z) = 500\text{m}$$

*Bretherton et al., J. Atmos. Sci. 2005*

# Summary and outlook

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- Our shallow moist convection model yields different degrees of cloud cover and makes a systematic statistical analysis as a function of the Rayleigh number possible
- Up-down dynamics is highly asymmetric and transition to convective regime depends on aspect ratio
- Turbulent cloud aggregates in conditionally unstable case for sufficiently large aspect ratio



- Effects of precipitation and radiative cooling



# References & Thanks to

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HIL02



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